

# ***New Directions in Hard and Soft X-Ray Photoemission with Synchrotron Radiation, Including Standing Wave Excitation***



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**and**  
**Materials Sciences Division**  
**Lawrence Berkeley National Laboratory**



**Supported by:**

**DOE: LBNL Materials Sciences Division**

***“Nanoscale Magnetic Materials” and LDRD***

***DOE, BES, Materials Sciences, X-Ray Scattering Program***

**ARO-Multi-University Research Initiative:**

***“Emergent Phenomena at Mott Oxide Interfaces”***

**Peter Grünberg Institute, PGI 6, Jülich Research Center**

**APTCOM Project, Triangle de Physique, Paris**

**Seminar at the Federal University of Parana State, 7 April 2016**



Lukasz Plucinski  
→ Jülich



Julian Rault  
(Paris/  
Berkeley)

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Slavo  
Nemšák  
→ Jülich

Gunnar Pálsson  
→ Uppsala Univ.

Postdocs

Senior  
Scientist

Grad  
students

1-2 External  
Student Visitors

+ experimental and theoretical collaborators at LBNL, UCB, UCD, in the U.S., Europe, and Asia

# Other Institutions and Collaborators



The Advanced  
Light Source



PAUL SCHERRER INSTITUT



## Experiments/Data Analysis

## Sample Synthesis/Charac.

## Theory/Modeling

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Y. Yamashita<sup>3,4</sup>, K. Kobayashi<sup>3,4</sup>, M. Gorgoi<sup>5</sup>, S.-H. Yang<sup>6</sup>, L. Plucinski<sup>7</sup>, S. Döring<sup>8</sup>,  
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F. Kronast<sup>5</sup>, C. Westphal<sup>8</sup>, V. Strocov<sup>18</sup>, M. Kobayashi<sup>18</sup>, J.-P. Rueff<sup>19</sup>, C.M. Schneider<sup>7</sup>, R.  
Ramesh<sup>2,9,11</sup>, J. Son<sup>17</sup>, P. Moetakef<sup>17</sup>, S. Stemmer<sup>17</sup>, A. Janotti<sup>17</sup>,  
C. Van der Welle<sup>17</sup>, R. Pentcheva<sup>20</sup>



Julich  
Research  
Center



UNIVERSITY OF TWENTE



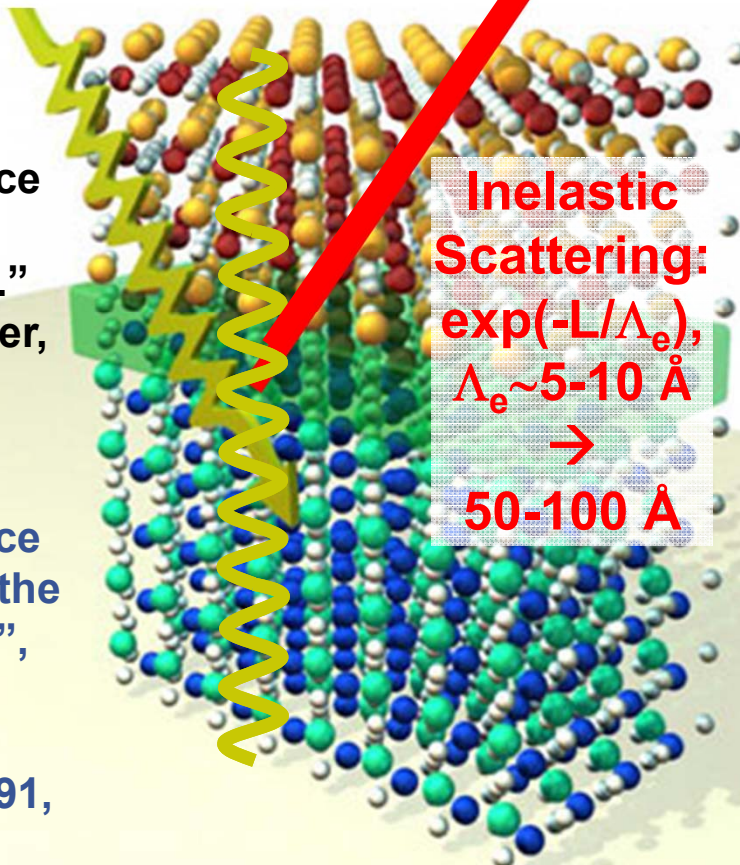
# Photoemission from surfaces, complex bulk materials, buried layers, interfaces

Resonant  
Photon  
 $h\nu$

Photoelectron

$$E_{\text{kin}}, \vec{p} = \hbar\vec{k}, \vec{s}$$

“The interface is the device.”  
Kroemer, Nobel, 2000  
“The interface is still the device”,  
Nat. Mater., 11, 91-91, (2012)

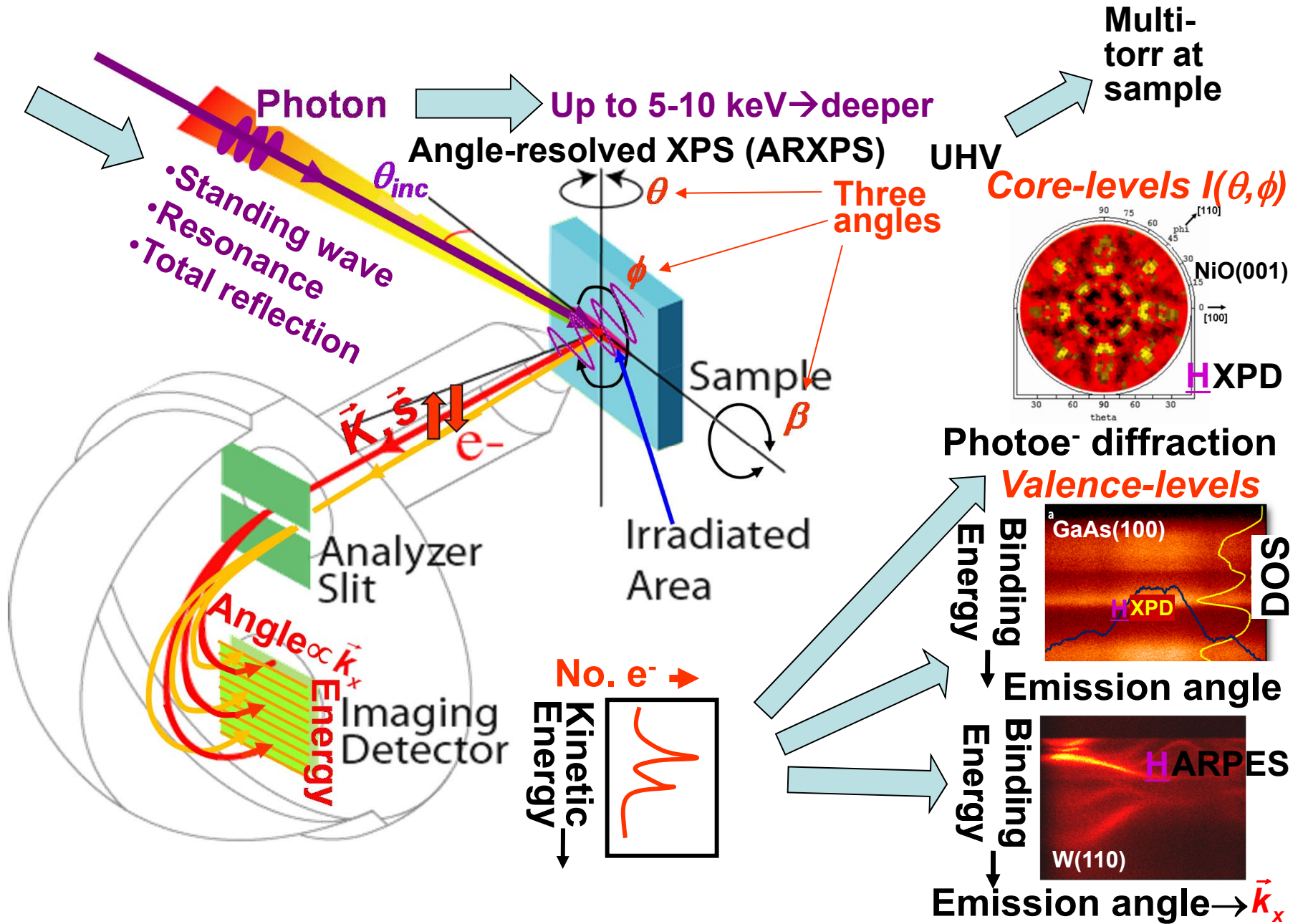


Inelastic Scattering:  
 $\exp(-L/\Lambda_e)$ ,  
 $\Lambda_e \sim 5-10 \text{ \AA}$   
 $\rightarrow$   
 $50-100 \text{ \AA}$

What do we want to know?

- Atomic structure, lattice/octahedral distortions
- Depth profiles of composition, optical properties, magnetization, from surface inward, and at interfaces
- Core-levels  $\rightarrow$  element-specific binding energies, charge states electronic configurations, magnetic moments/magnetization
- Band offsets, depth-dependent pot'ls.
- Valence-band densities of states, element-resolved, behavior near  $E_F$  (XPS limit)
- Valence-band dispersions, via depth-, angle-, and element-resolved photoemission (ARPES limit)
- Lateral resolution in all of the above through microscopy

# X-ray photoemission: some key elements

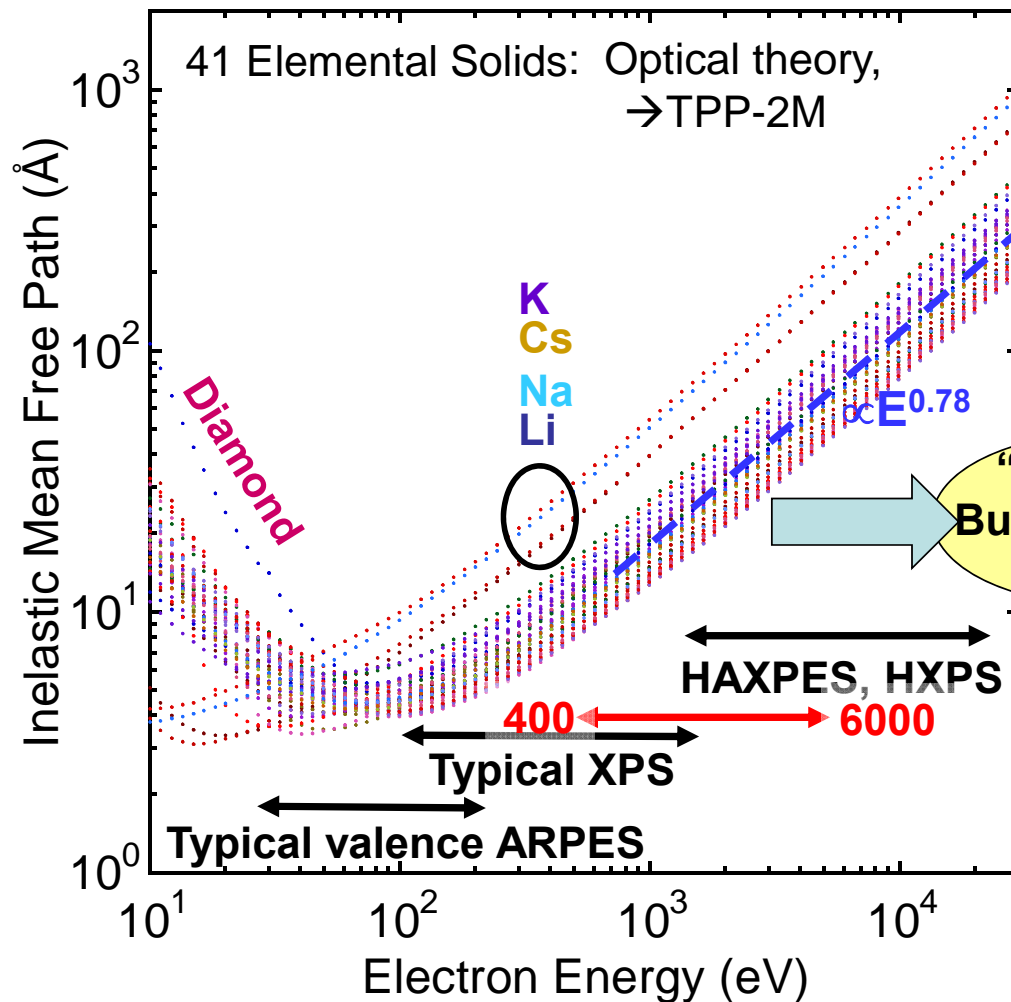


## *Photoemission from complex materials, heterostructures, and interfaces*

Three ways to address the limitations of traditional photoemission:

- Use of **harder x-ray excitation** (SXPS→2 keV, HXPS, HAXPES→10 keV) for deeper probing: core levels and valence DOSs, incl. soft and hard x-ray ARPES
- Use of soft and hard x-ray **standing waves, total reflection, other x-ray optical effects, resonant excitation**, to selectively look below the surface, at buried interfaces, including ARPES
- Use of differentially-pumped systems to provide **multi-Torr ambient pressure photoemission**, more real-world conditions for studying surface chemical processes, catalysis, electrochemistry

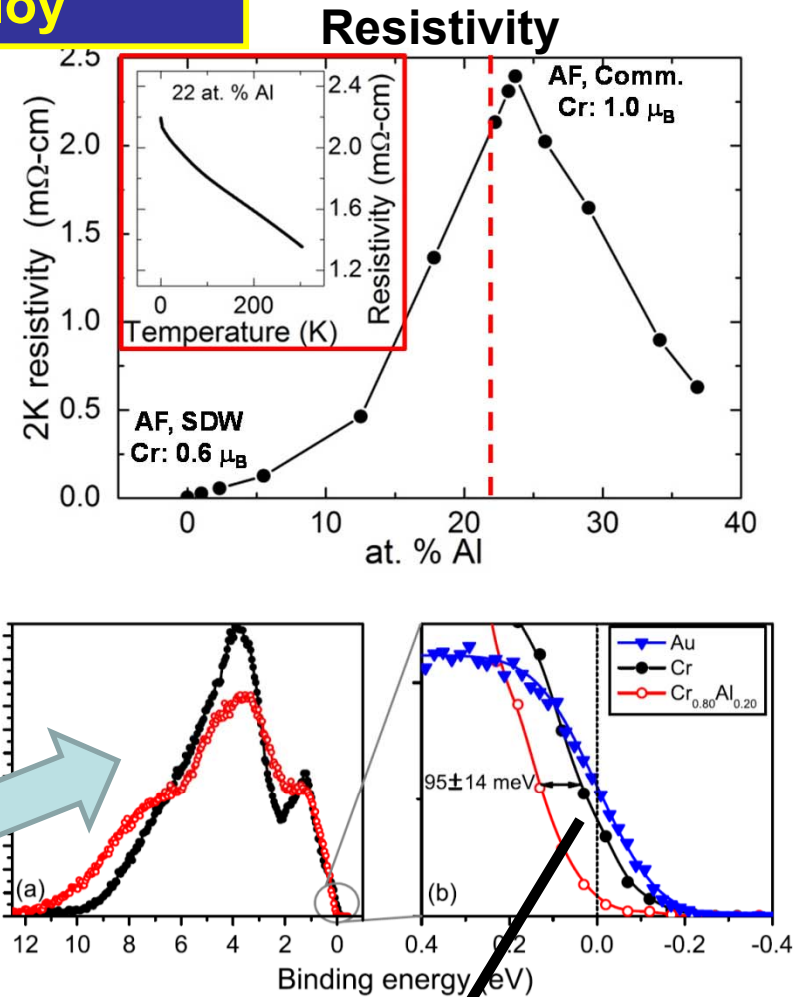
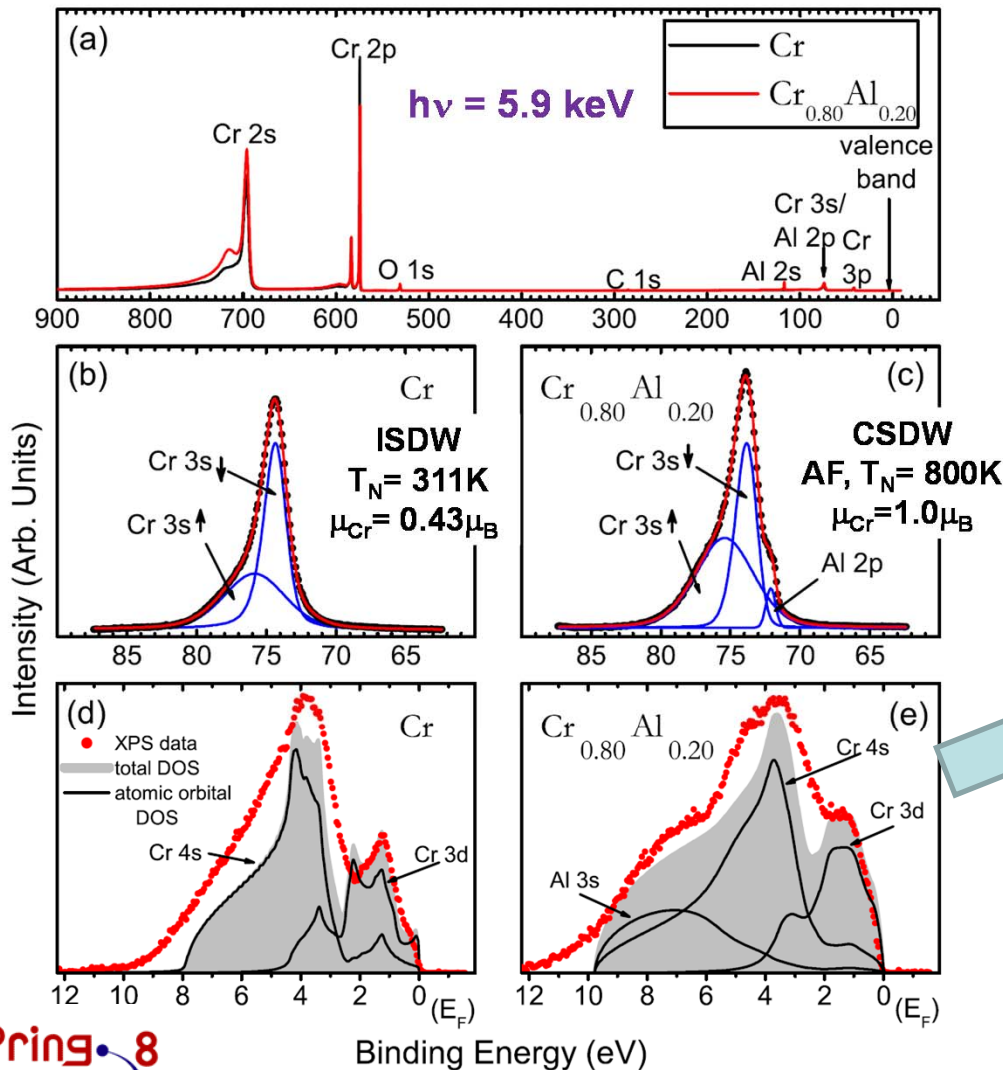
# The reason for higher photon energies



Tanuma, Powell, Penn, Surf. and Interf. Anal. 43, 689 (2011)

- “X-Ray Photoelectron Spectroscopy and Diffraction in The Hard X-Ray Regime: Fundamental Considerations and Future Possibilities”, CSF, Nuc. Inst. & Meth. A 547, 24-41 (2005)
- “X-ray Photoelectron Spectroscopy : Progress and Perspectives”, C.S. Fadley, invited review, J. Electron Spectrosc. 178–179, 2 (2010),
- “Looking Deeper: Angle-Resolved Photoemission with Soft and Hard X-rays”, CSF, Synchrotron Radiation News 25, 26 (2012)

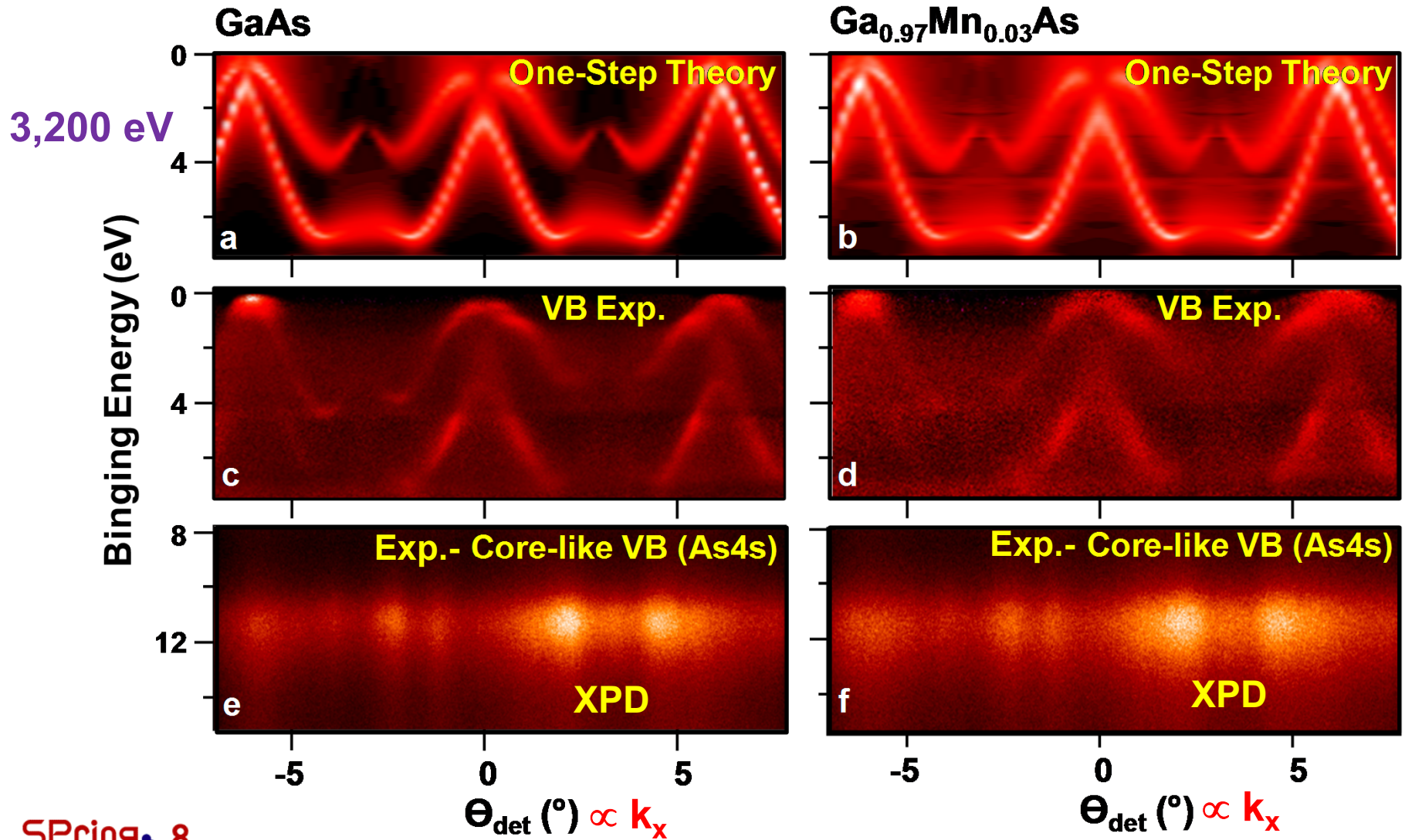
# Hard x-ray photoemission example: Opening of a semiconducting gap in the “bulk” of a magnetic CrAl alloy



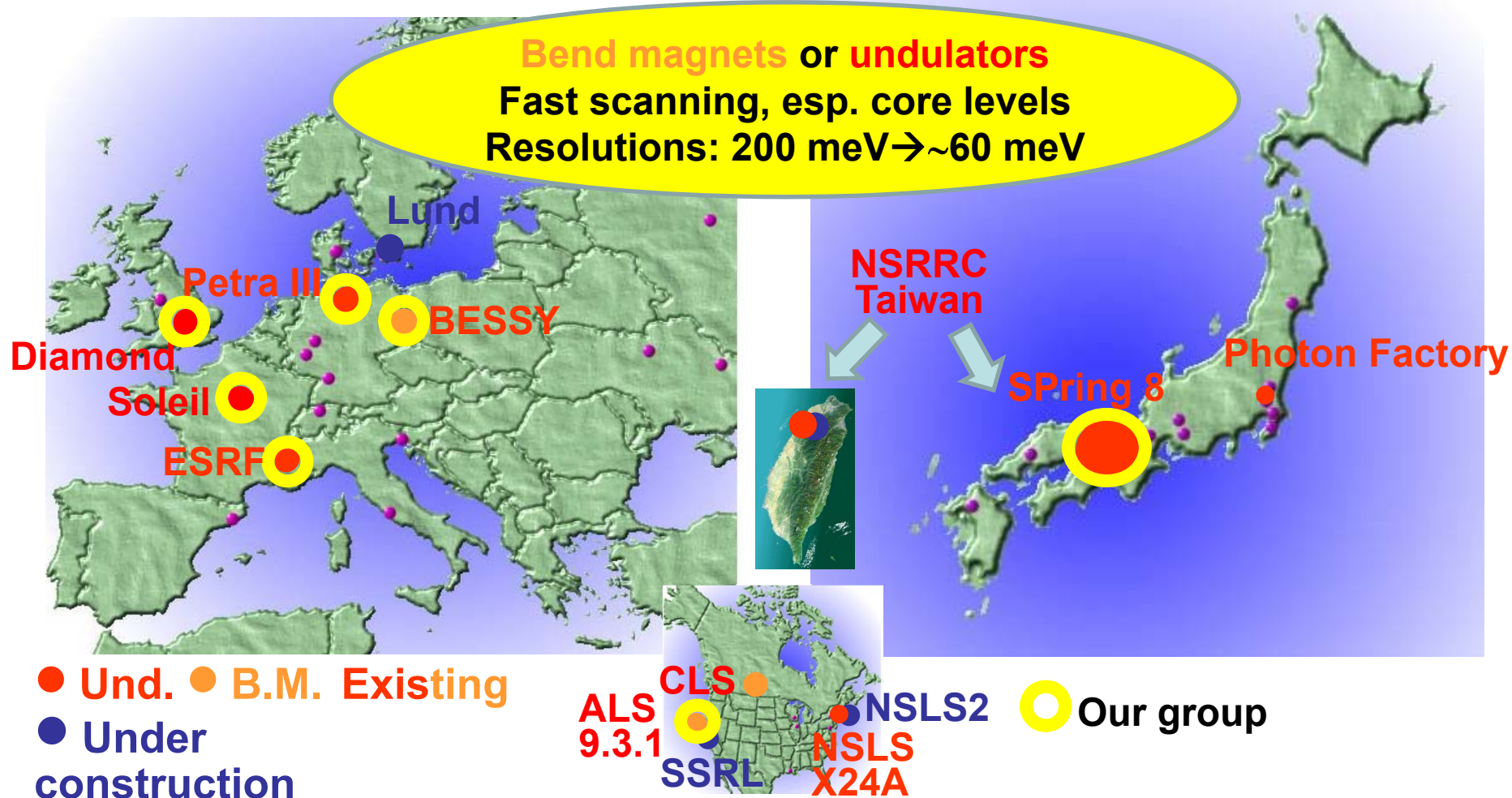
**Opening of ~90 meV  
semiconducting gap**



# Hard x-ray ARPES--GaAs and DMS $\text{Ga}_{0.97}\text{Mn}_{0.03}\text{As}$ Comparing Experiment (3.2 keV, 30K) and One-Step KKR Theory



# Hard X-Ray Photoemission (HXPS, HAXPES, HX-PES, HIKE...) in the World



## Workshops/conferences:

HAXPES03, ESRF--Nucl. Inst. and Meth. A, Volume 547, Issue 1, Pages 1-238 (2005)

HAXPES06, SPring8-- <http://haxpes2006.spring8.or.jp/program.html>

HAXPES-ALS-- <http://ssg.als.lbl.gov/ssgdirectory/fedorov/workshops/index.html>

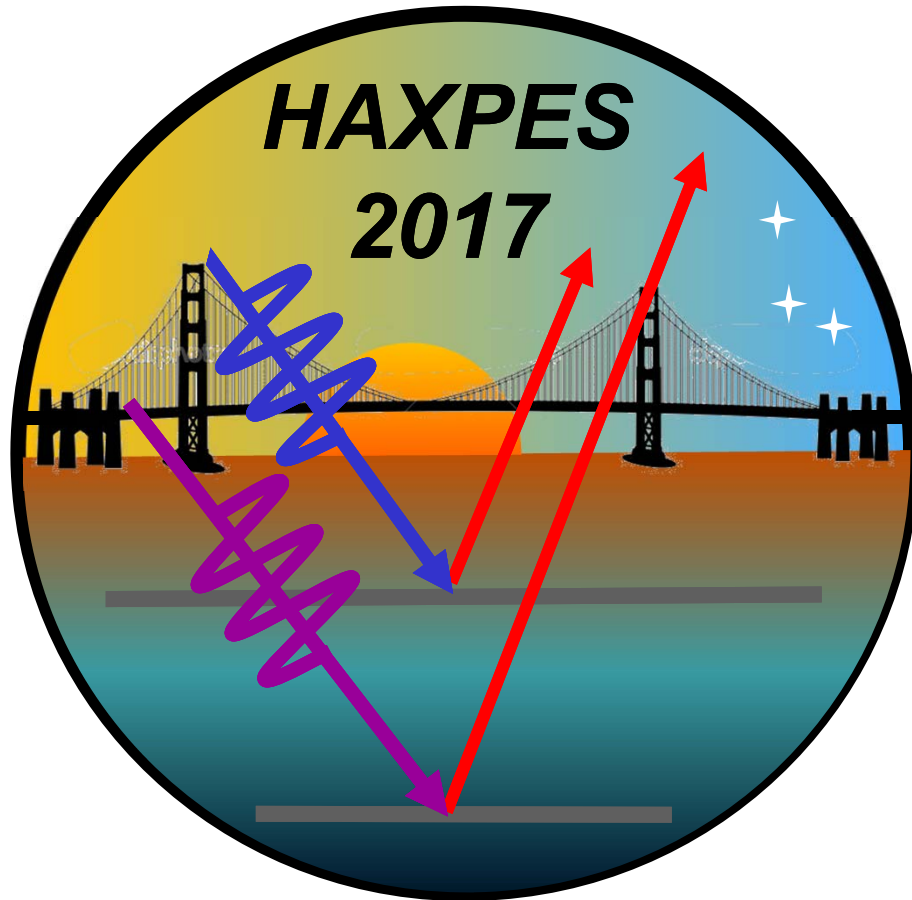
HAXPES09-NSLS-- <http://www.nsls.bnl.gov/newsroom/events/workshops/2009/haxpes/>

HAXPES11-DESY-- <http://haxpes2011.desy.de>

HAXPES13-Uppsala-- <http://www-conference.slu.se/haxpes2013/>

HAXPES15-Taiwan-- <http://www.nsrrc.org.tw/haxpes-2015/>

***7<sup>th</sup> International Conference on  
Hard X-Ray Photoemission***



***11-15 September, 2017  
At LBNL***

***Sponsors: LBNL & SLAC  
Co-Chairs: C. Fadley,  
Z. Hussain, P. Pianetta***

## *Photoemission from complex materials, heterostructures, and interfaces*

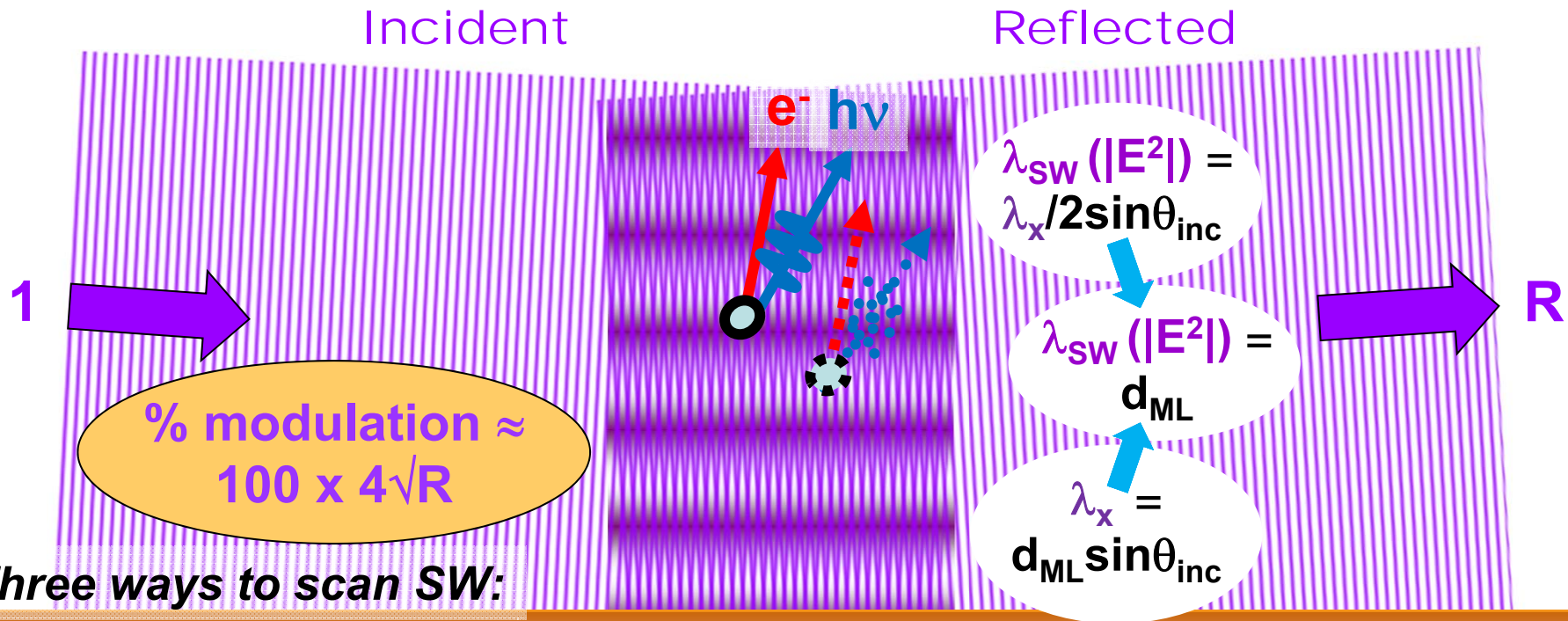
Three ways to address the limitations of traditional photoemission:

➤ Use of **harder x-ray excitation** (SXPS→2 keV, HXPS, HAXPES→10 keV) for deeper probing: core levels and valence DOSs, incl. soft and hard x-ray ARPES

➤ Use of soft and hard x-ray **standing waves, total reflection, other x-ray optical effects, resonant excitation**, to selectively look below the surface, at buried interfaces, including ARPES

➤ Use of differentially-pumped systems to provide **multi-Torr ambient pressure photoemission**, more real-world conditions for studying surface chemical processes, catalysis, electrochemistry

# Three ways to scan a standing wave formed in reflection from single-crystal Bragg planes, or a multilayer mirror



Three ways to scan SW:

## 1. Rocking curve:

$$I(\theta_{inc}) \propto 1 + R(\theta_{inc}) + 2\sqrt{R(\theta_{inc})} f \cos[\varphi(\theta_{inc}) - 2\pi P]$$

## 2. Photon energy scan:

$$I(h\nu) \propto 1 + R(h\nu) + 2\sqrt{R(h\nu)} f \cos[\varphi(h\nu) - 2\pi P]$$

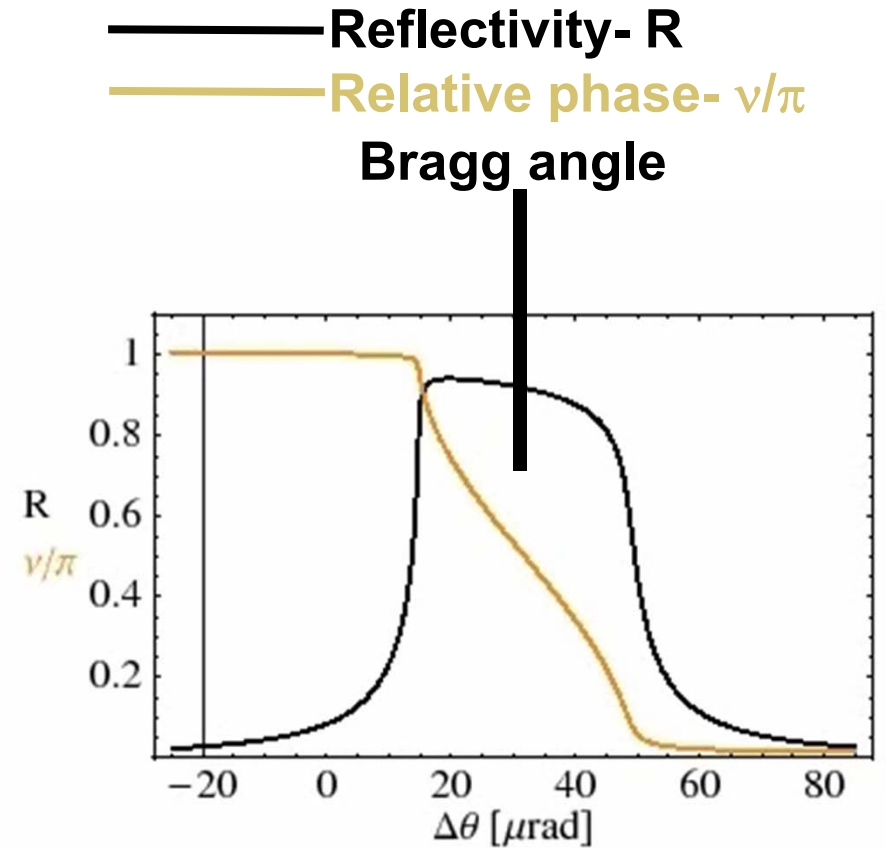
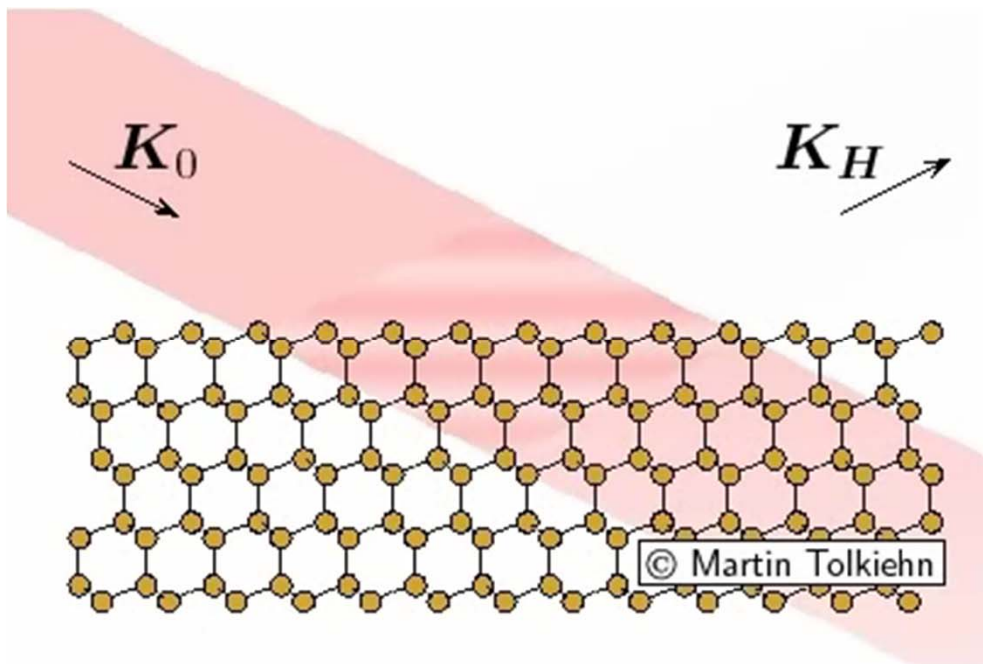
with:  $f$  = coherent fraction of atoms,  $P$  = phase of coherent-atom position

## 3. Phase scan with wedge-shaped sample ("Swedge" method):

Multilayer Mirror

$d_{ML}$

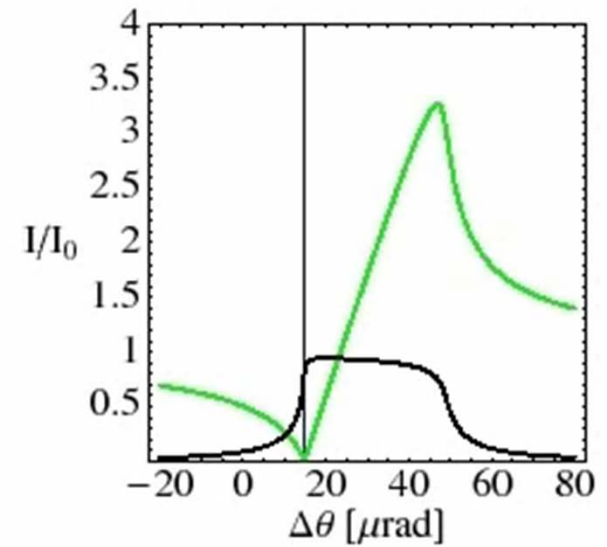
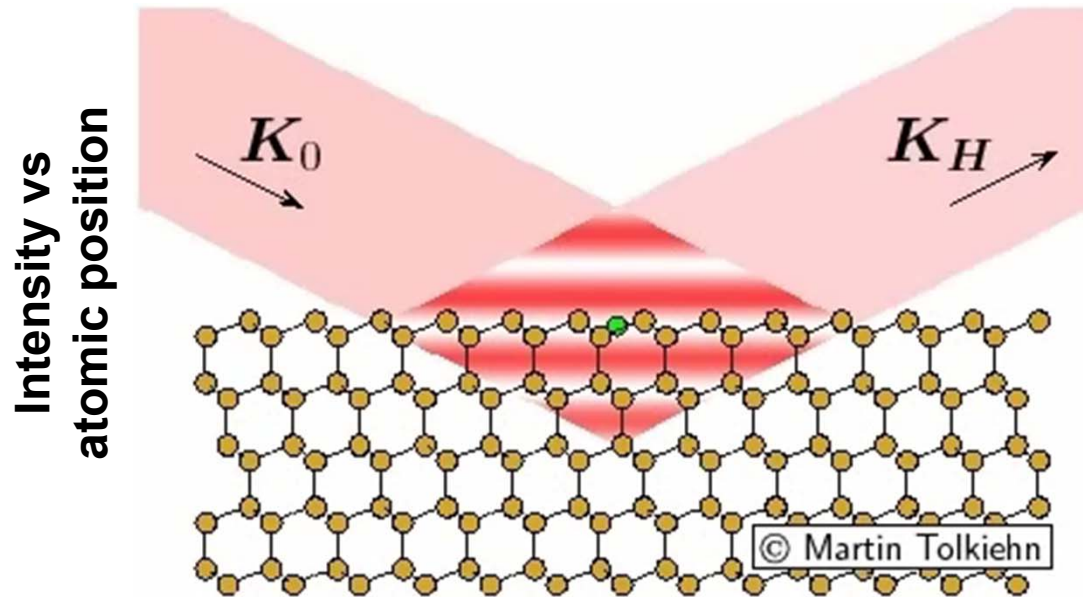
# Standing Wave Behavior During a Rocking Curve or Photon-Energy Scan



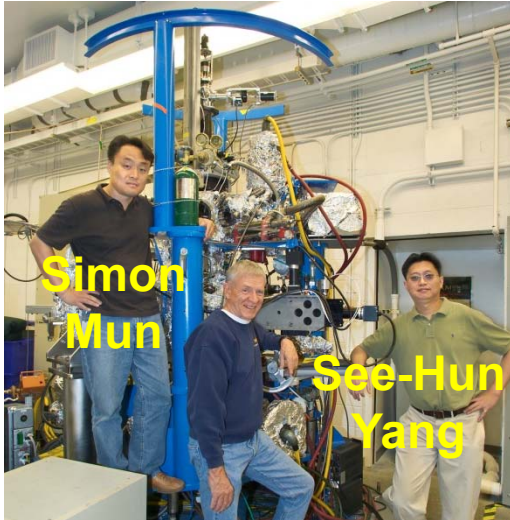
+Same general forms if **photon energy** is scanned

With thanks to Martin Tolkiehn, Dimitri Novikov, DESY

# Form of rocking curve is unique to position of emitter



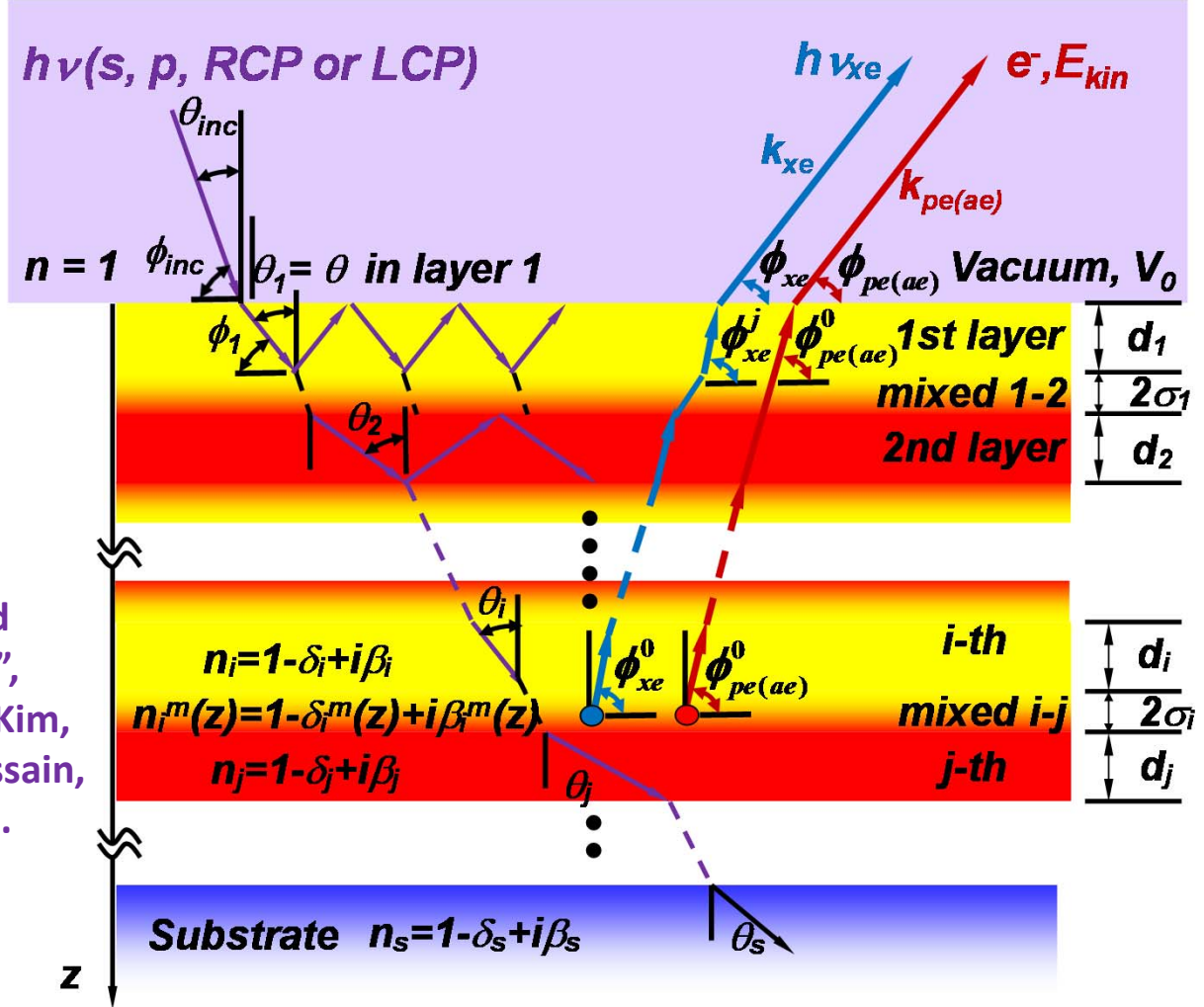
# X-ray optical effects in photoelectron or x-ray emission from a multilayer structure



Simon Mun

See-Hun Yang

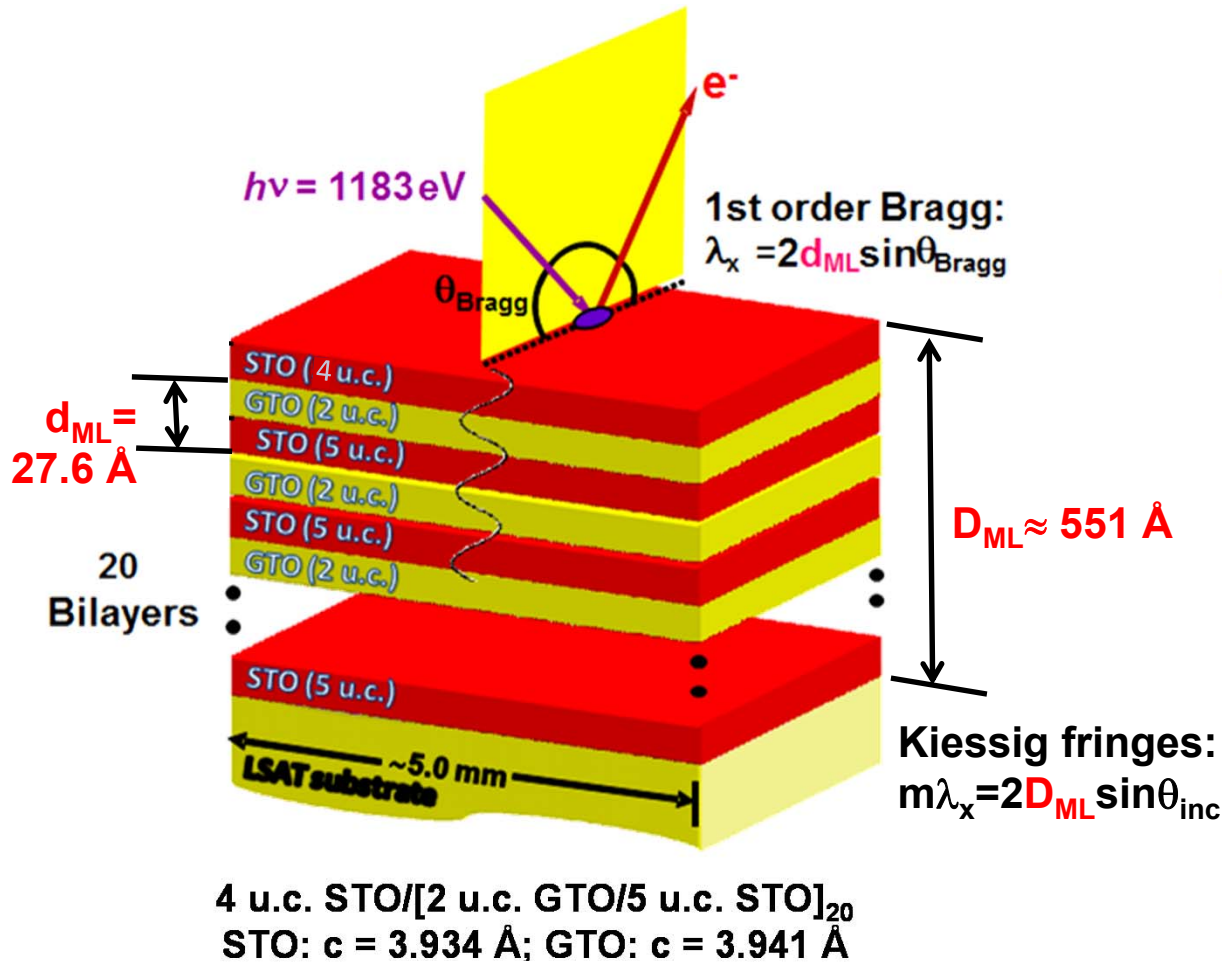
“Depth-resolved photoemission spectroscopy from surface and buried layers with soft X-ray standing waves”, S.-H. Yang, B.S. Mun, A.W. Kay, S.-K. Kim, J.B. Kortright, J.H. Underwood, Z. Hussain, C.S. Fadley, *Surf. Sci.* **461**, L557 (2000).



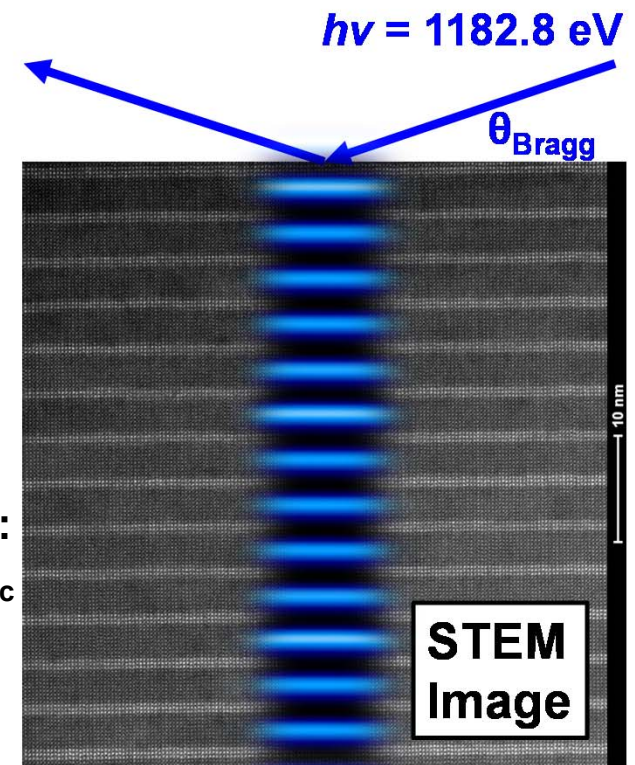
“Making use of x-ray optical effects in photoelectron-, Auger electron-, and x-ray emission spectroscopies: total reflection, standing-wave excitation and resonant effects”, S.-H. Yang et al., *J. Appl. Phys.* **113**, 073513 (2013); downloadable Yang XRO software package: <https://sites.google.com/a/lbl.gov/yxro/home>



# Multilayer GTO/STO – Resonance effects



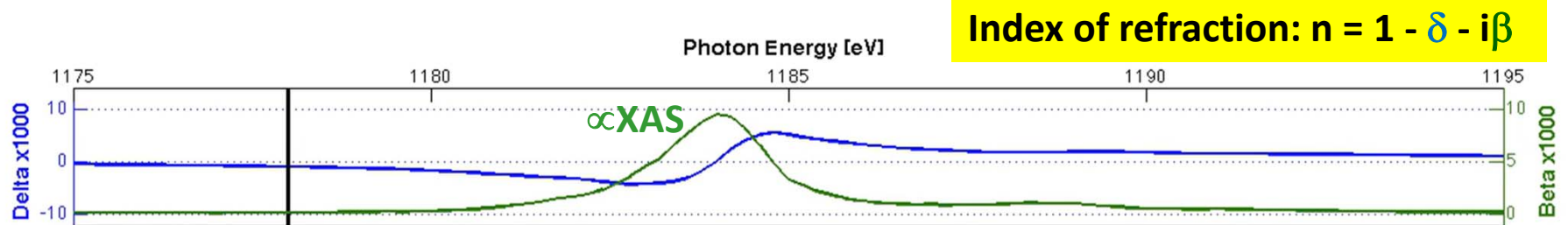
## Standing-Wave Excited Photoemission



P. Moetakef, S. Stemmer,  
 UCSB

# Resonant effects: SrTiO<sub>3</sub>/GdTiO<sub>3</sub> multilayer

## Sweeping the photon energy through the Gd M<sub>5</sub> resonance



Going above the edge: A new trick to focus better on buried interfaces →  
Observing a 2D electron gas at the STO/GTO interface

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- Use of differentially-pumped systems to provide **multi-Torr ambient pressure photoemission**, more real-world conditions for studying surface chemical processes, catalysis, electrochemistry

# Ambient Pressure XPS → HXPS Systems

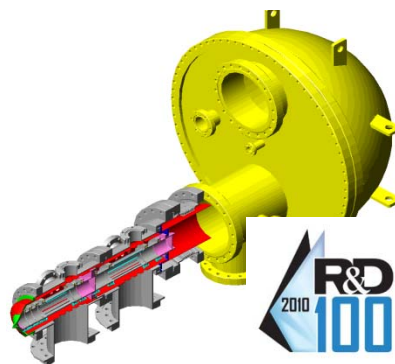
1<sup>st</sup> Gen



2000: Differentially-pumped electrostatic transfer lens allows operation at  $p \sim 5$  torr (equilibrium vapor pressure of water at 0 °C)

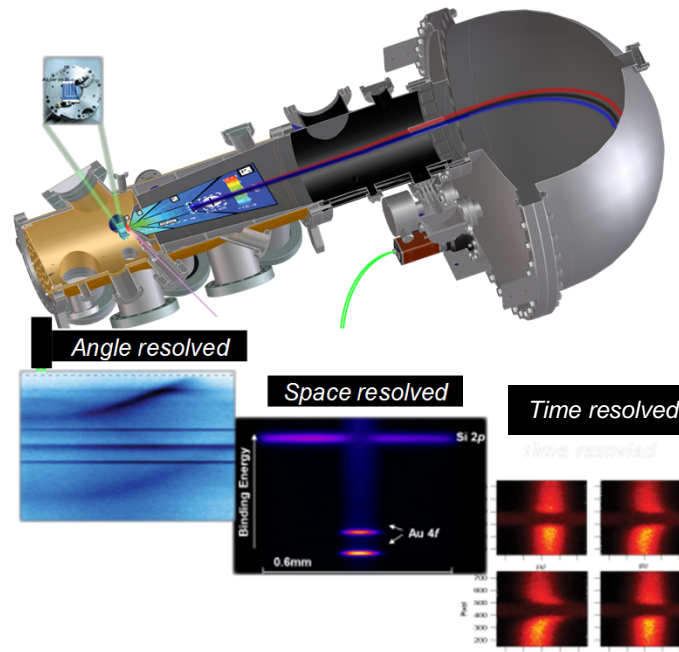
D.F. Ogletree, H. Bluhm, G. Lebedev, C.S. Fadley, Z. Hussain, M. Salmeron, *Rev. Sci. Instrum.* **73** (2002) 3872.

2<sup>nd</sup> Gen



2005: The first commercial system from Specs. Installed at ALS and BESSY

3<sup>rd</sup> Gen



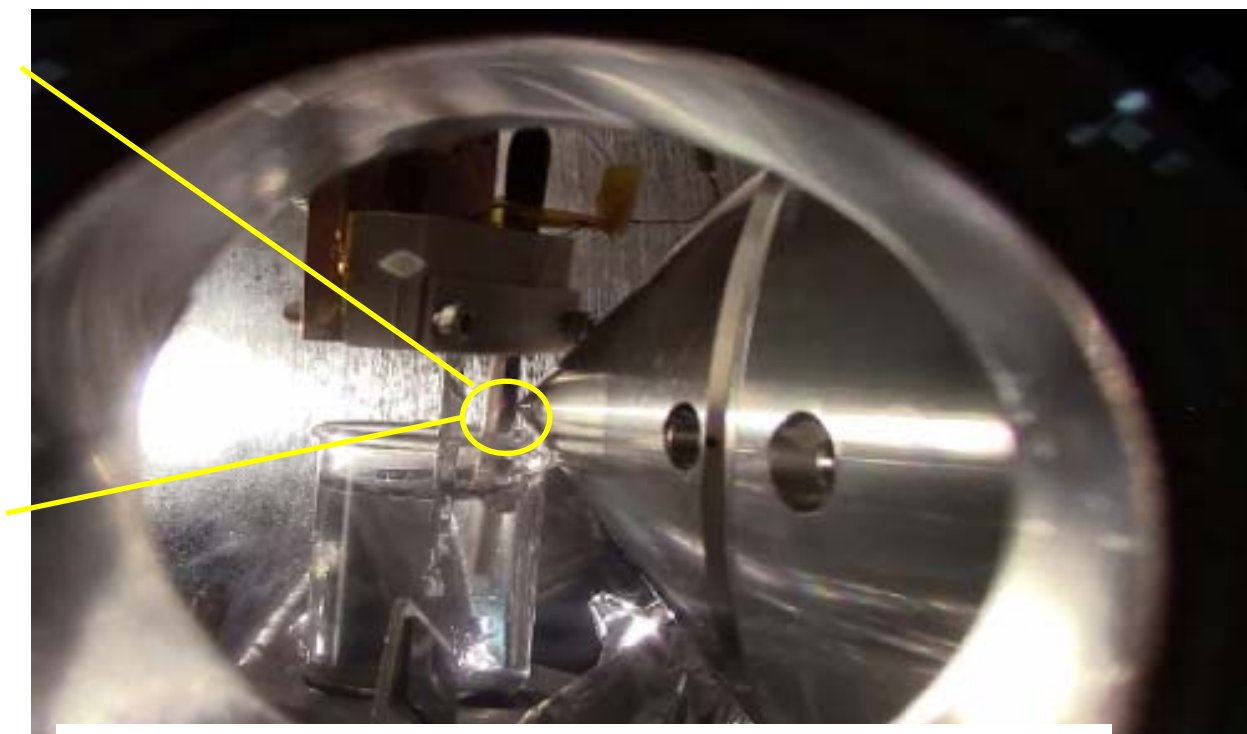
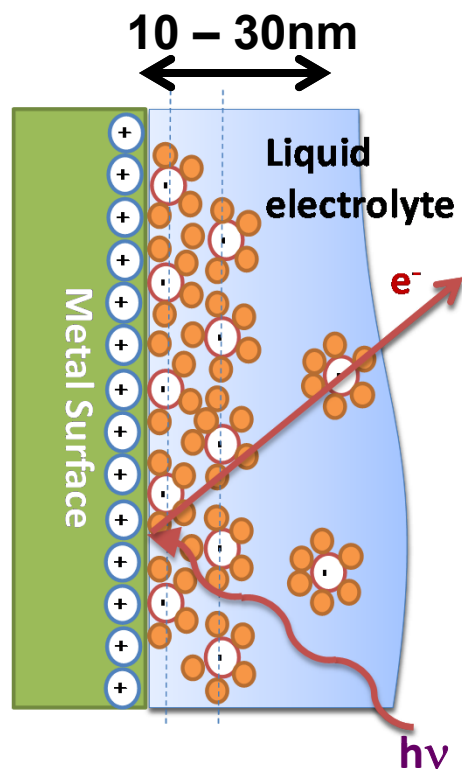
2009: Fast 2D detector and superior electron transmission from Scienta Hipp 4000 installed at ALS BL9.3.2. New Specs at BL 11.0.2

M.E. Grass, P.G. Karlsson, F. Aksoy, M. Lundqvist, B. Wannberg, B.S. Mun, Z. Hussain, Z. Liu, *Rev. Sci. Instrum.* **81**, 053106 (2010)

**AP XPS/HXPS systems in use/ in commissioning or construction:**  
 ALS, BESSY, ALBA, MAXLAB, SSRL, NSLS, Soleil, Photon Factory, **Sirius**...  
 First hard x-ray endstation @ ALS BM, + soft/hard x-ray @ EMIL-BESSY  
 →100 Torr, even 1 atm (Nilsson, SSRL)

**Looking *in operando* at the solid-liquid interface of an electrode**  
***The dip-stick method with hard x-rays → higher pressures***

**20 Torr, Room Temperature:  
“Dipstick Method”**



Axnanda, Crumlin, Mao, Rani, Chang, Karlsson, Edwards, Lundqvist,  
Moberg, Ross, Hussain, Liu, Scientific Reports 5, 09788 (2015)

**Soft → hard x-rays and standing waves:  
a few example studies**

**Fe/MgO-tunnel junction**

Depth-resolved composition, chemical states,  
magnetization

**SrTiO<sub>3</sub>/La<sub>2/3</sub>Sr<sub>1/3</sub>MnO<sub>3</sub>-tunnel junction**

Depth-resolved composition, dielectric properties, bonding,  
k-resolved electronic structure

**SrTiO<sub>3</sub>/GdTiO<sub>3</sub>-2D electron gas**

Depth-resolved composition, charge states,  
k-resolved electronic structure

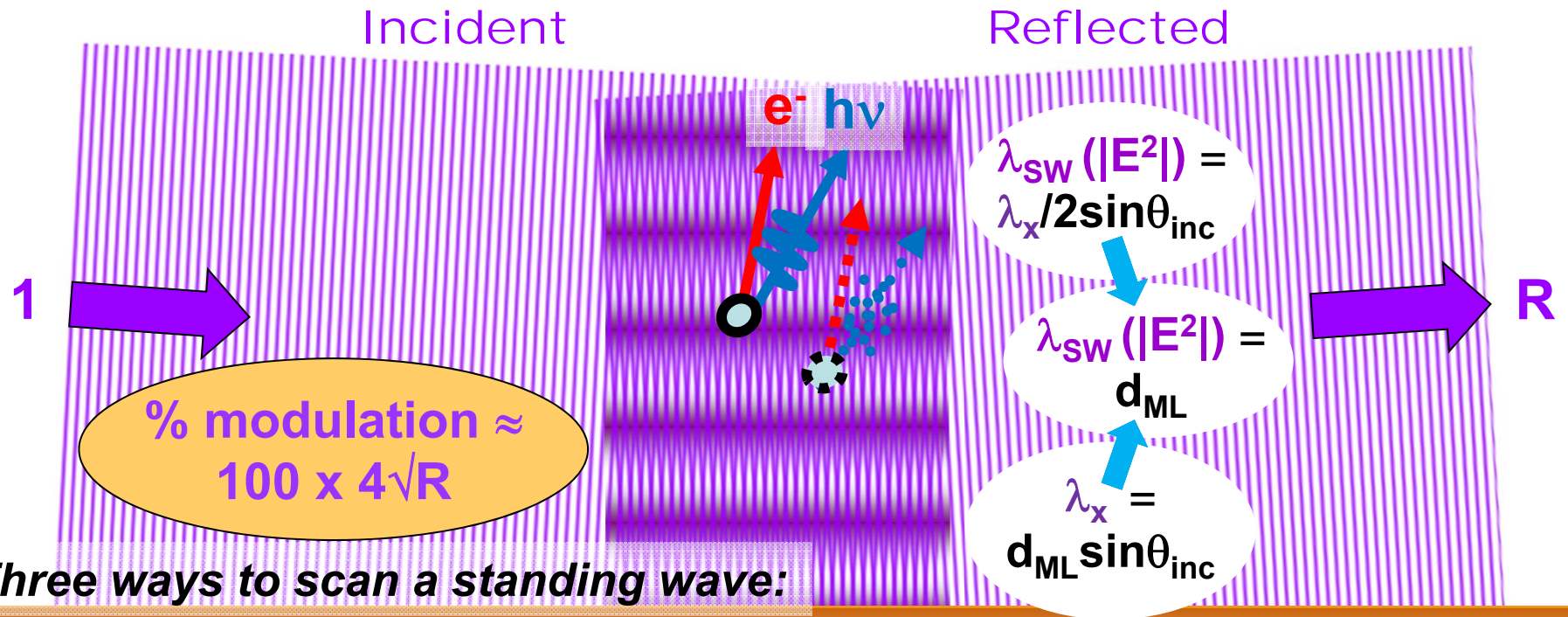
**BiFeO<sub>3</sub>/(Ca,Ce)MnO<sub>3</sub> interface (Ferroelectric/Mott insulator)**

Depth-resolved electronic structure from  
near-total-reflection (NTR) angle scans

**Fe<sub>2</sub>O<sub>3</sub> reacting with NaOH, CsOH, and H<sub>2</sub>O**

Using standing wave XPS to probe the solid/gas and solid/liquid  
interface: some first ambient pressure results

# Three ways to scan a standing wave formed in reflection from single-crystal Bragg planes, or a multilayer mirror



Three ways to scan a standing wave:

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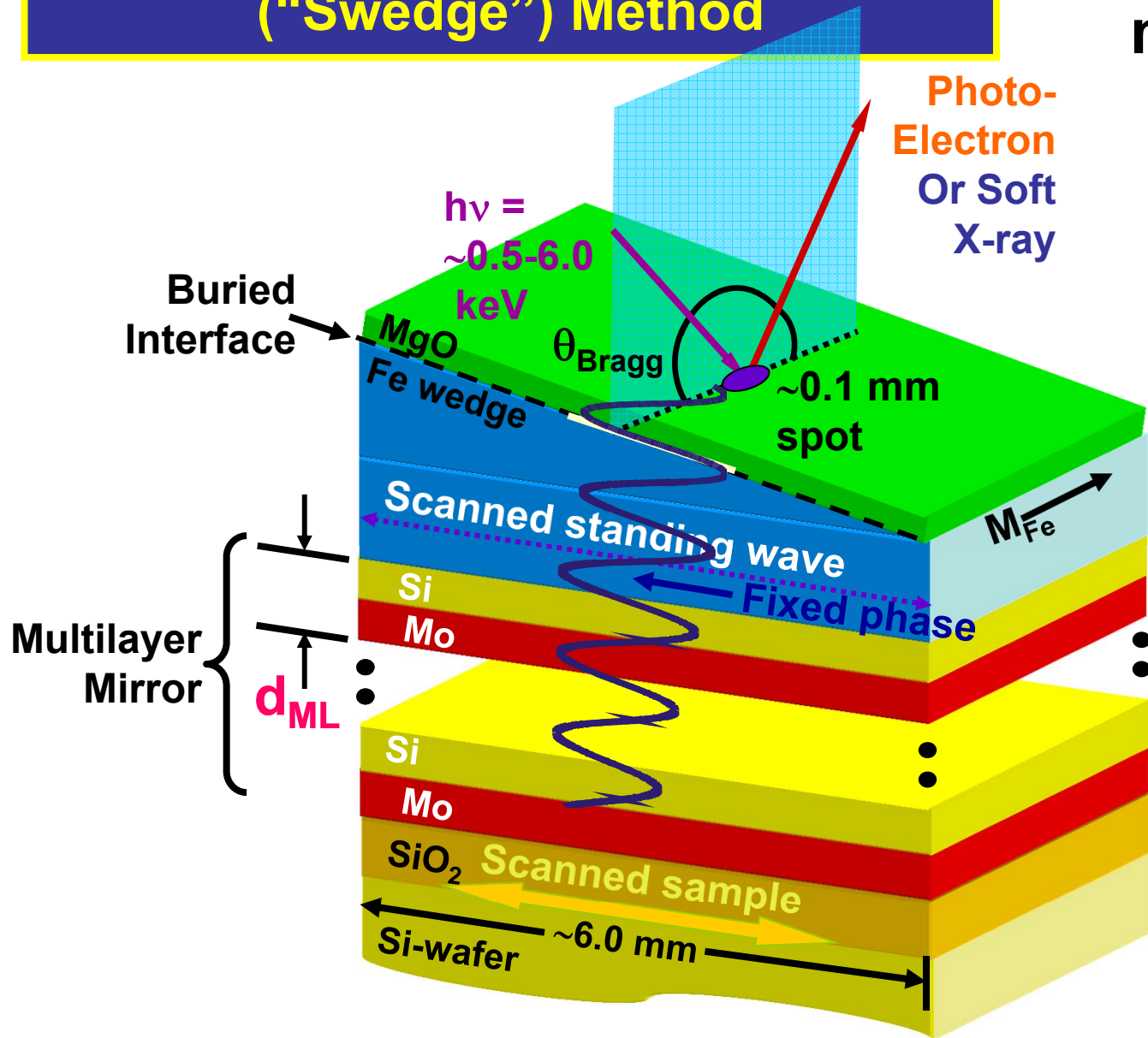
with:  $f$  = coherent fraction of atoms,  $P$  = phase of coherent-atom position

**Phase scan with wedge-shaped sample ("Swedge" method):**

Multilayer Mirror

$d_{ML}$

# Probing Buried Interfaces: The Standing Wave-Wedge ("Swedge") Method



Example: The  
MgO/Fe  
magnetic tunnel  
junction  
interface

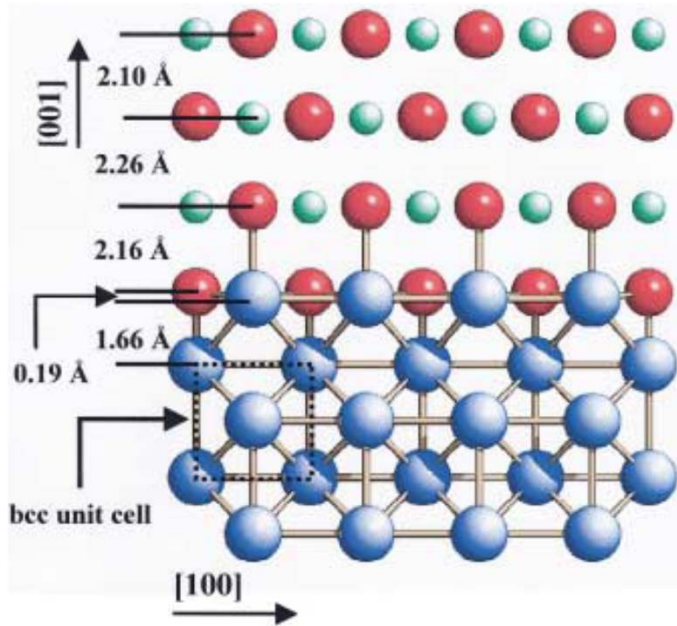
$$\lambda_{\text{sw}} (|E^2|) = \lambda_x / 2 \sin \theta_{\text{inc}} \approx d_{\text{ML}}$$

• 1st order Bragg:  
 $\lambda_x = 2 d_{\text{ML}} \sin \theta_{\text{Bragg}}$

S.-H. Yang et al., Phys. Rev. B 84, 184410 (2011)



# MgO/Fe tunnel junction- the real interface



Meyerheim PRL 87, 076102 (2001).

- *Is there FeO at the interface?*
- *What is the density of states at the interface?*
- *$\Delta_1$  band controls tunneling?*
- *Can we see bands at epitaxial interfaces? (Soleil-June, 2014!)*

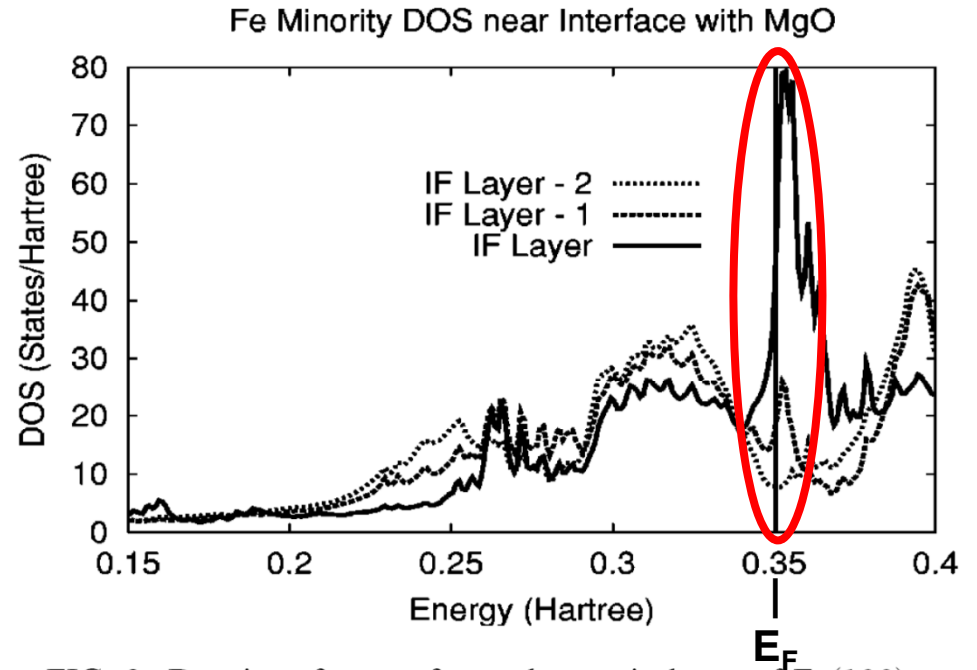
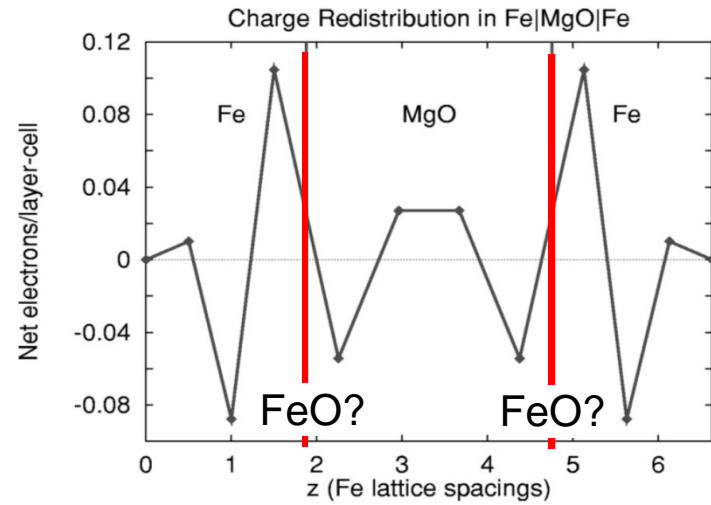
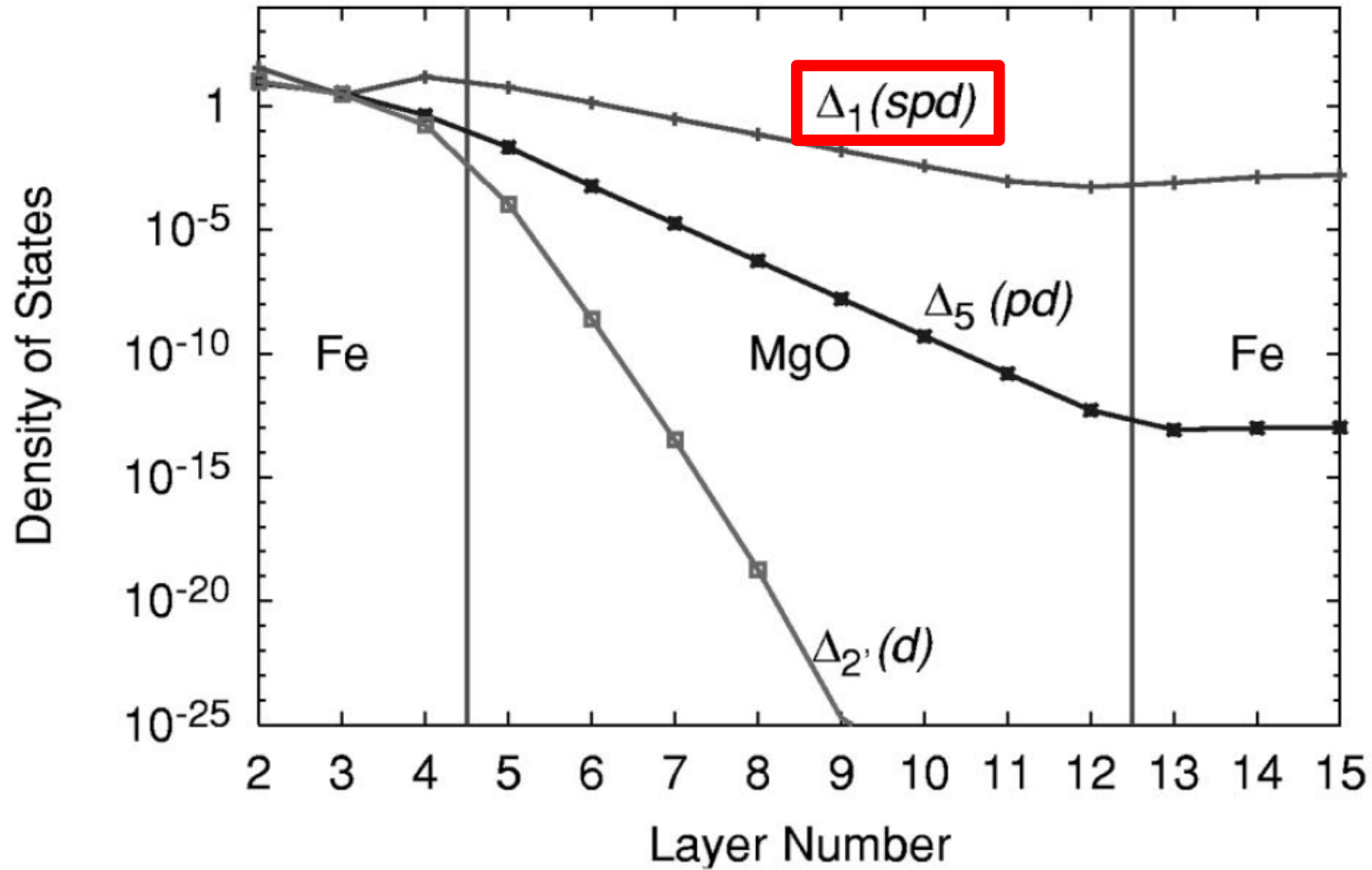


FIG. 3. Density of states for each atomic layer of Fe(100) near an interface with MgO. One hartree equals 27.2 eV.

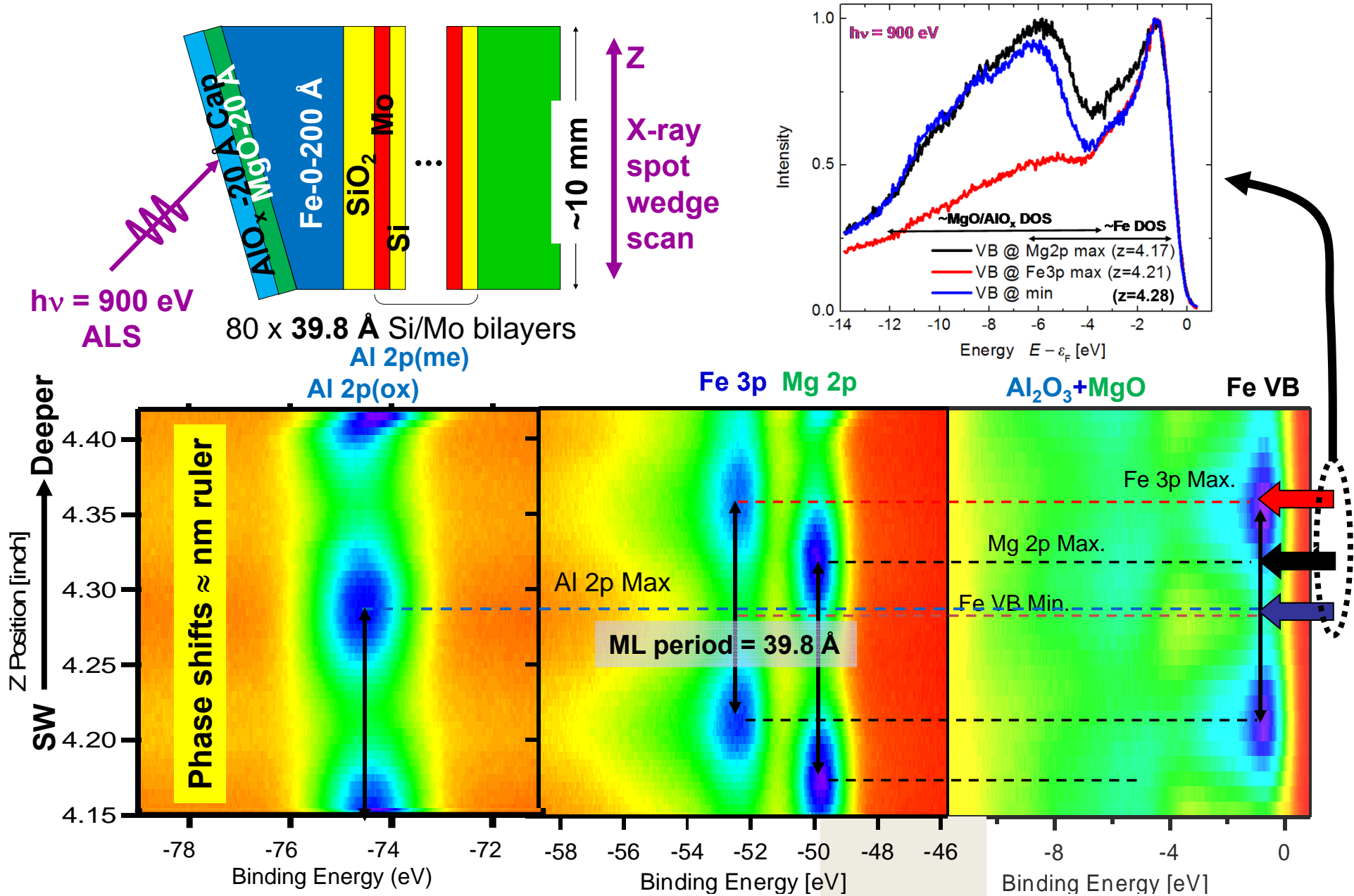
Butler et al., PRB 63, 054416 (2001);  
Mathon & Umerski, PRB 63, 220403 (2001);  
Mertig et al., PRB 73, 214441 (2006)

**MgO/Fe tunnel junction- $\Delta_1$  states dominant in tunneling for ideal interface**

Majority Density of States for Fe|MgO|Fe

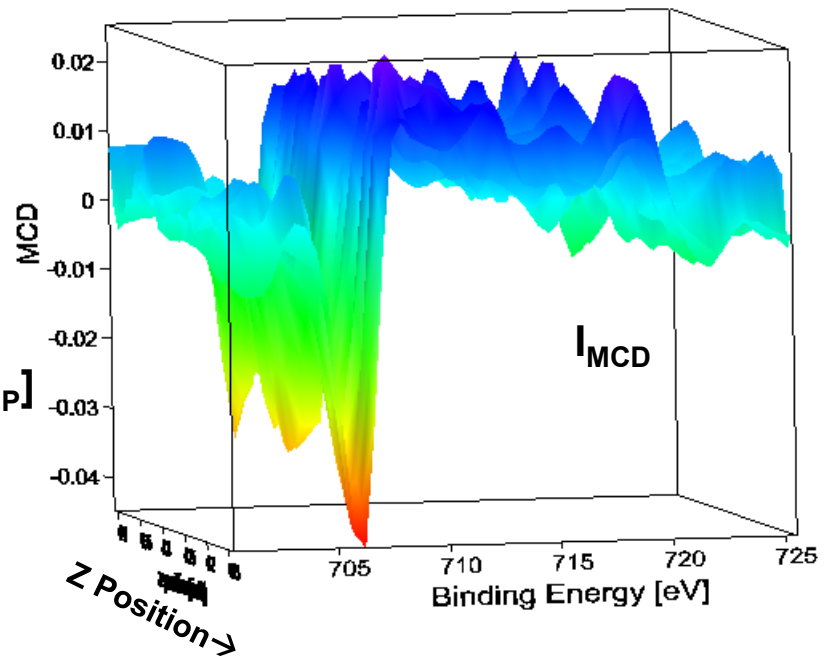
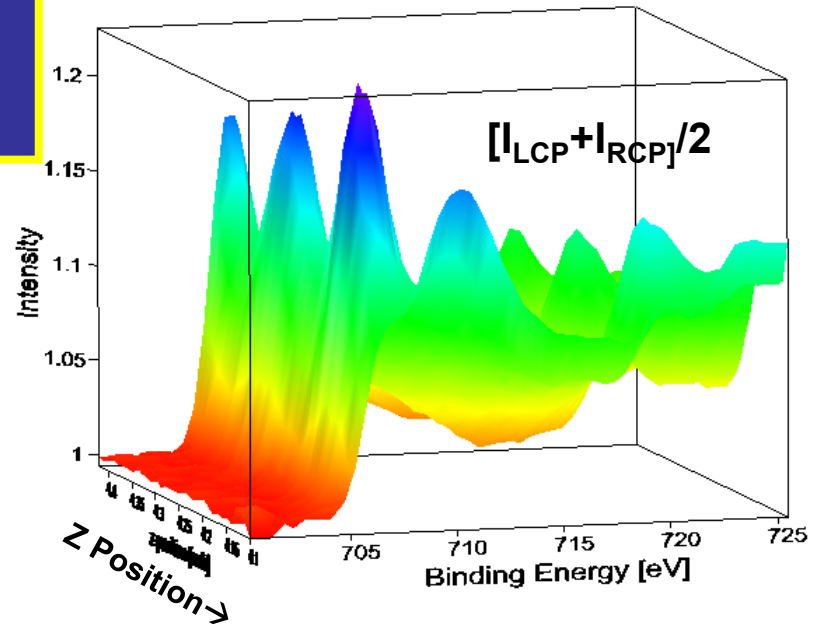
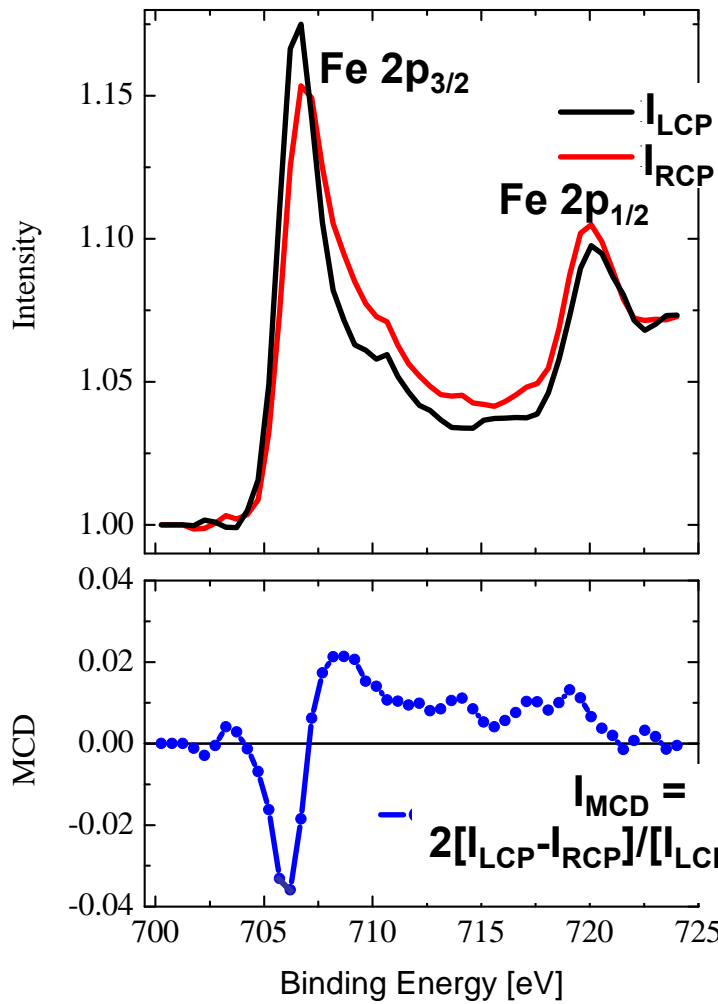


# Soft x-ray standing-wave wedge scans through a magnetic tunnel junction

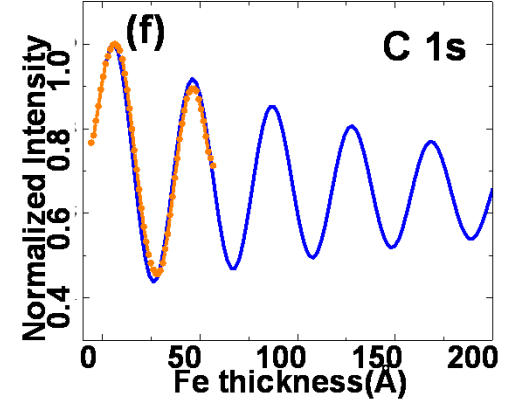
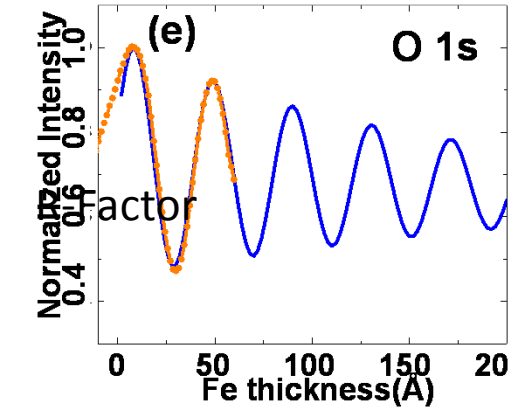
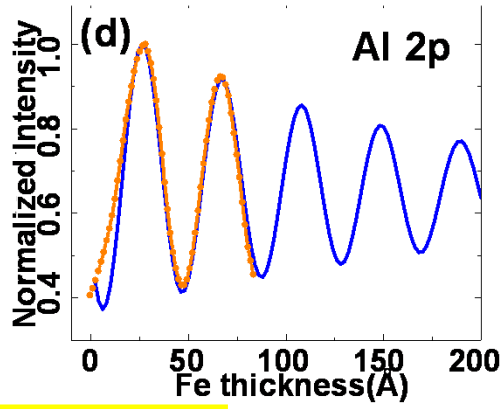
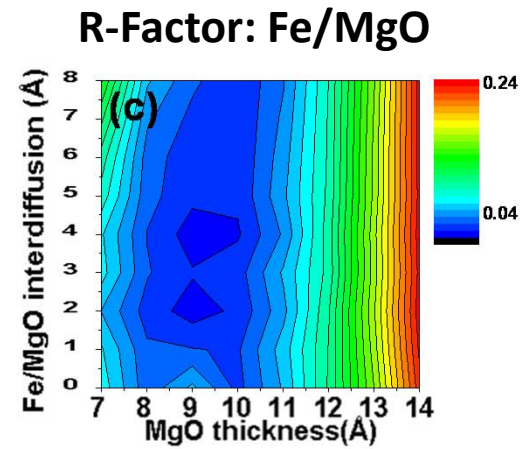
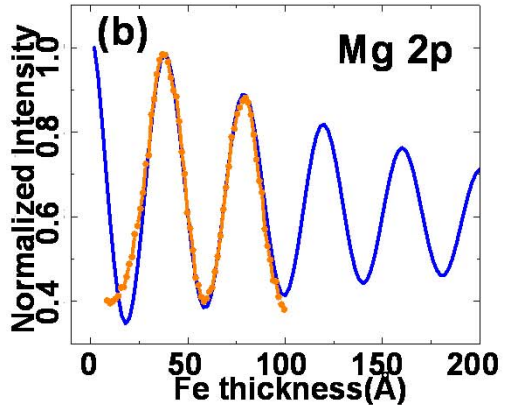
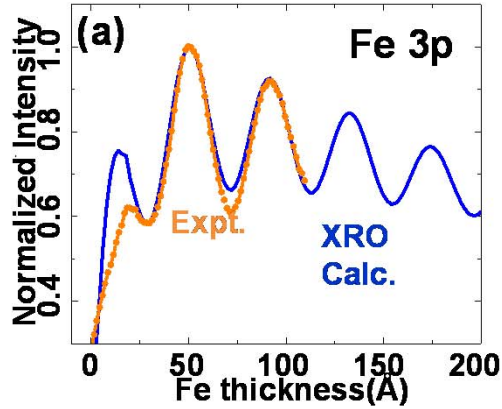
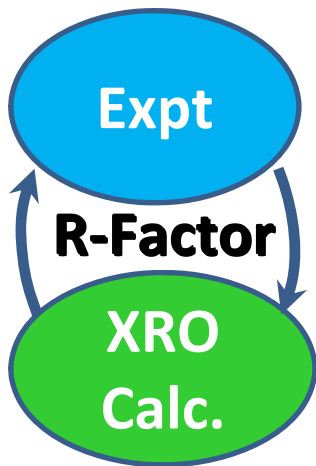


Yang et al., Phys. Rev. B 84, 184410 (2011)

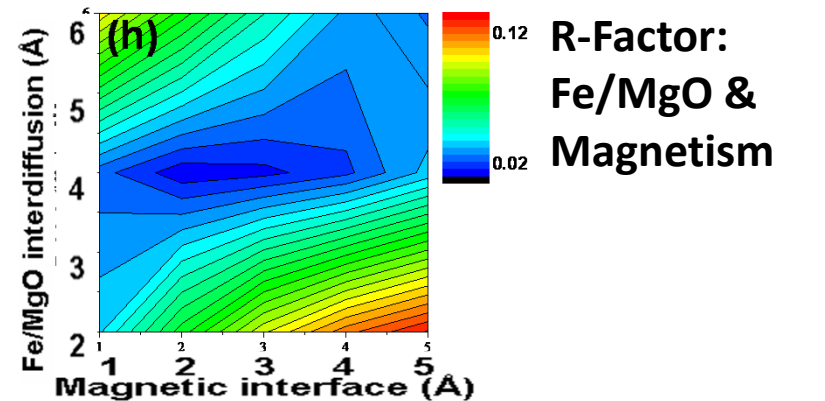
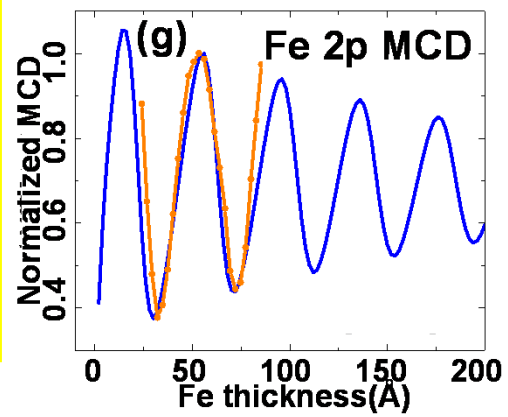
**Magnetic Circular Dichroism with Standing Wave Excitation- MgO/Fe,  $h\nu = 900$  eV**



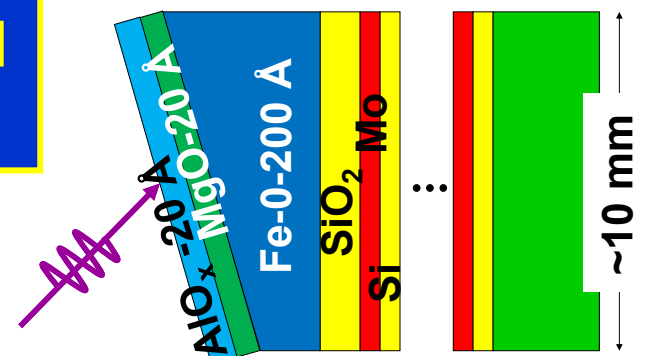
Yang, Balke et al., Phys. Rev. B 84, 184410 (2011)



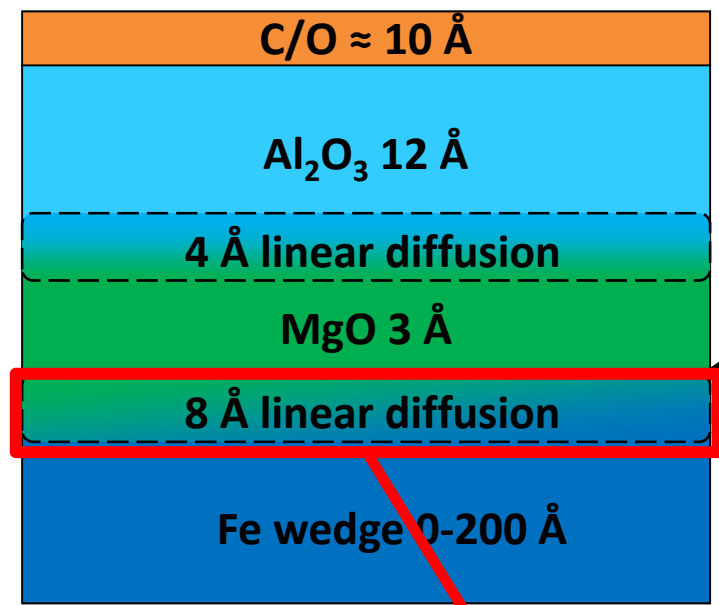
**Standing wave/wedge analysis of an Fe/MgO tunnel junction multilayer: final fits of expt. to x-ray optical calcs.**



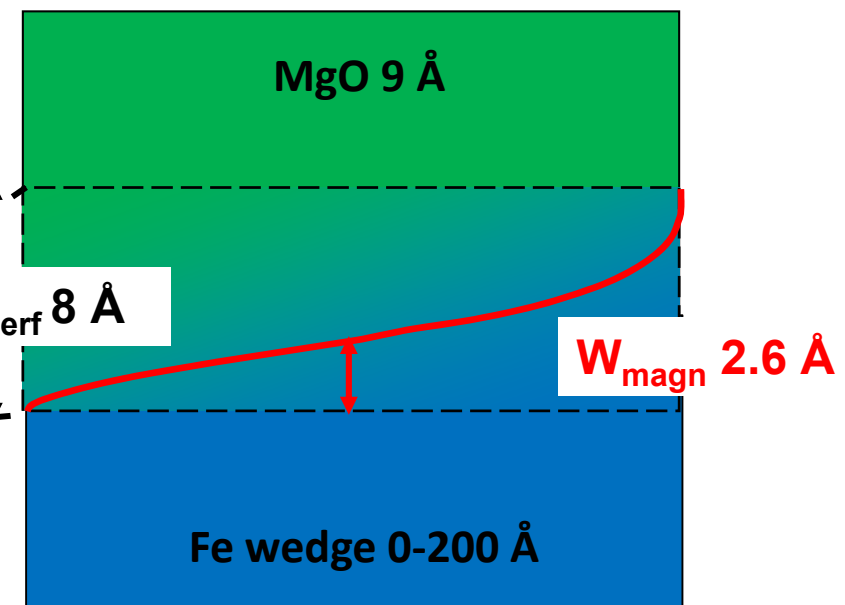
# Final profiles of concentration and magnetization



## Concentration



## Magnetization

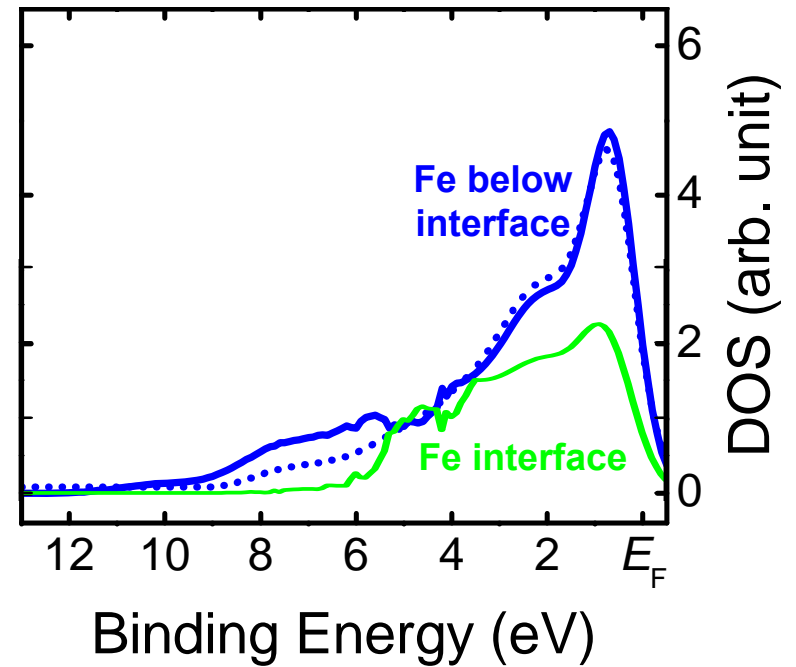
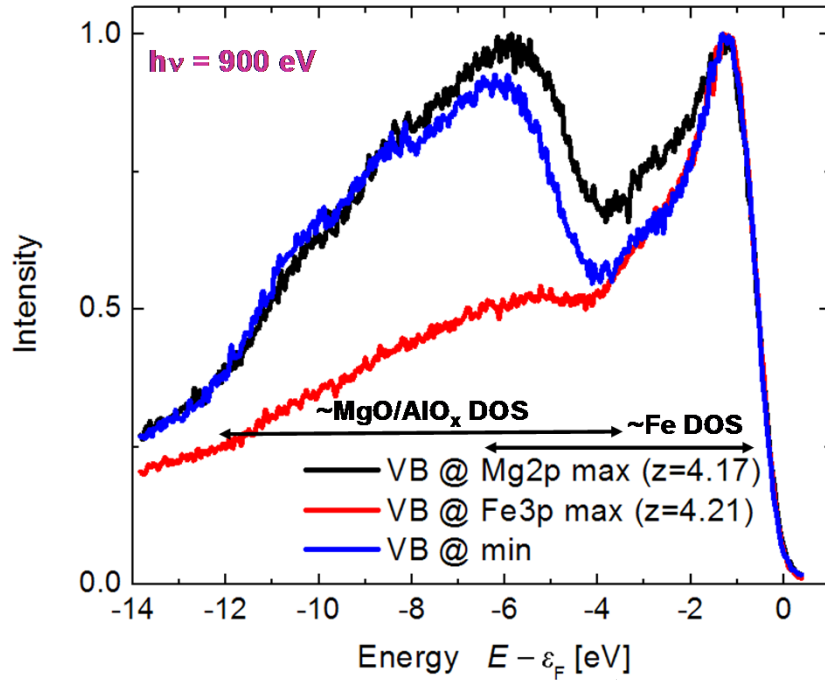


And what is the density of states in this interface region?

Yang, Balke et al., Phys. Rev. B 84, 184410 (2011)

# Standing wave/wedge derivation of depth-dependent densities of states: Fe/MgO tunnel junction

→ Oxidation at the Fe/MgO interface



Self-consistent  
X-ray optical  
modeling of layer-  
resolved densities  
of states

Yang, Balke et al., Phys. Rev. B 84, 184410 (2011)

## Conclusions: Standing-Wave Soft X-Ray Photoemission of the Fe/MgO Interface

- Measured the depth distribution of concentration and magnetization (via core-level PMCD) through the interface with ca.  $\pm 2$  Å resolution
- Resolved the density of states into interface and bulk Fe components, indicating Fe oxidation at the interface
- Demonstrated the standing-wave wedge approach as a new and powerful way to study buried interfaces.



**Soft → hard x-rays and standing waves:  
a few example studies**

**Fe/MgO-tunnel junction**

Depth-resolved composition, chemical states,  
magnetization

**SrTiO<sub>3</sub>/La<sub>2/3</sub>Sr<sub>1/3</sub>MnO<sub>3</sub>-tunnel junction**

Depth-resolved composition, dielectric properties, bonding,  
k-resolved electronic structure

**SrTiO<sub>3</sub>/GdTiO<sub>3</sub>-2D electron gas**

Depth-resolved composition, charge states,  
k-resolved electronic structure

**BiFeO<sub>3</sub>/(Ca,Ce)MnO<sub>3</sub> interface (Ferroelectric/Mott insulator)**

Depth-resolved electronic structure from  
near-total-reflection (NTR) angle scans

**Fe<sub>2</sub>O<sub>3</sub> reacting with NaOH, CsOH, and H<sub>2</sub>O**

Using standing wave XPS to probe the solid/gas and solid/liquid  
interface: some first ambient pressure results

# $\text{SrTiO}_3/\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3$ A classic magnetic tunnel junction

## $\text{SrTiO}_3$

- Band insulator ( $E_g=3.4$  eV)
- Low temperature superconductor

## $\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3$

- Half-metallic ferromagnet
- Colossal magnetoresistive material



Alex Gray  
→ Stanford  
→ Temple U.

## $\text{SrTiO}_3/\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3$ interface

- What does the interface look like?
- How are bonding and atomic/electronic structure at the interface different?

A. X. Gray, C. Papp, B. Balke, S.-H. Yang, M. Huijben, E. Rotenberg, A. Bostwick, S. Ueda, Y. Yamashita, K. Kobayashi, E. M. Gullikson, J. B. Kortright, F. M. F. de Groot, G. Rijnders, D. H. A. Blank, R. Ramesh, CSF, PRB 82, 205116 (2010); EPL 104, 17004 (2013)



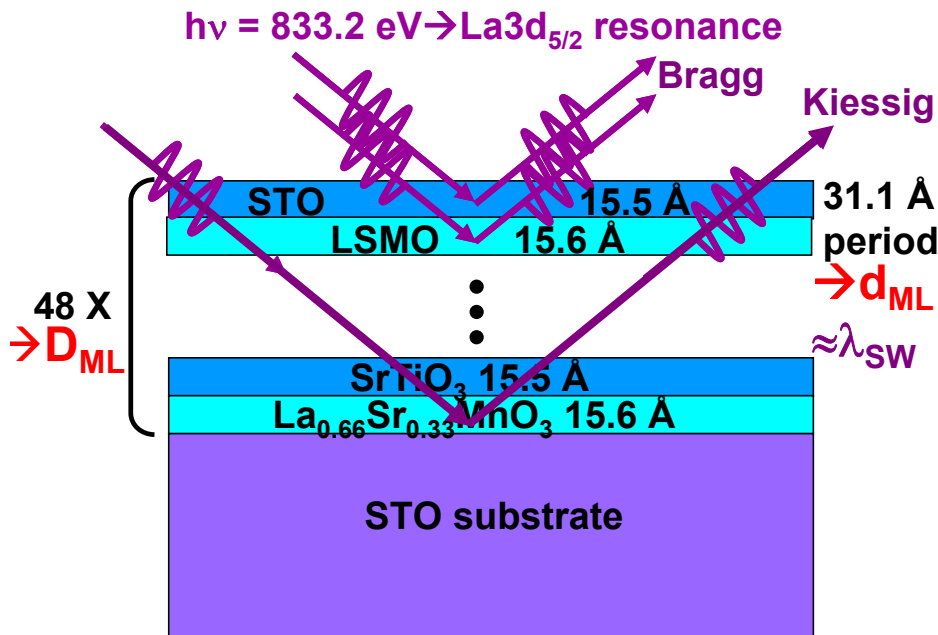
UNIVERSITY OF TWENTE 50

# Standing wave/rocking curve analysis of an epitaxial $\text{SrTiO}_3/\text{La}_{0.67}\text{Sr}_{0.33}\text{MnO}_3$ interface: near-resonant soft x-ray excitation



BL 7.0.2

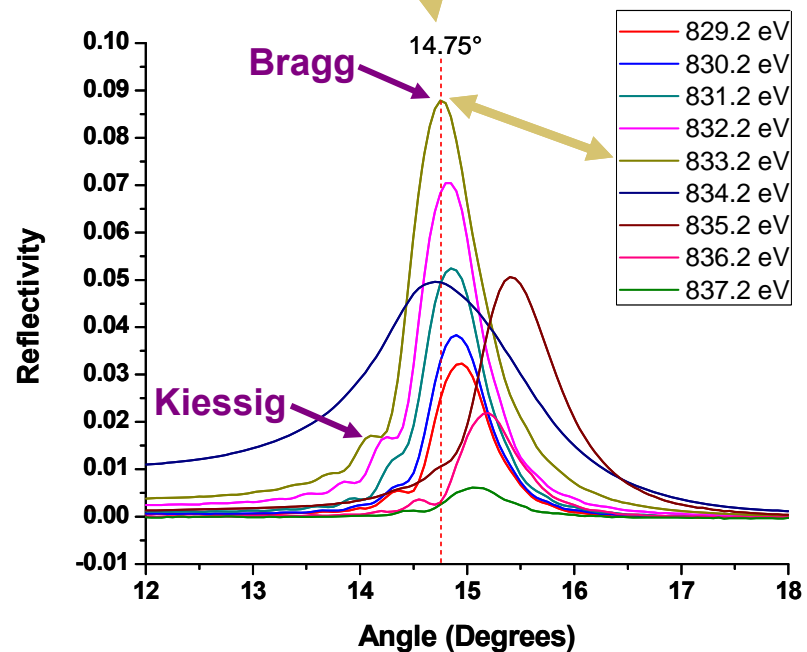
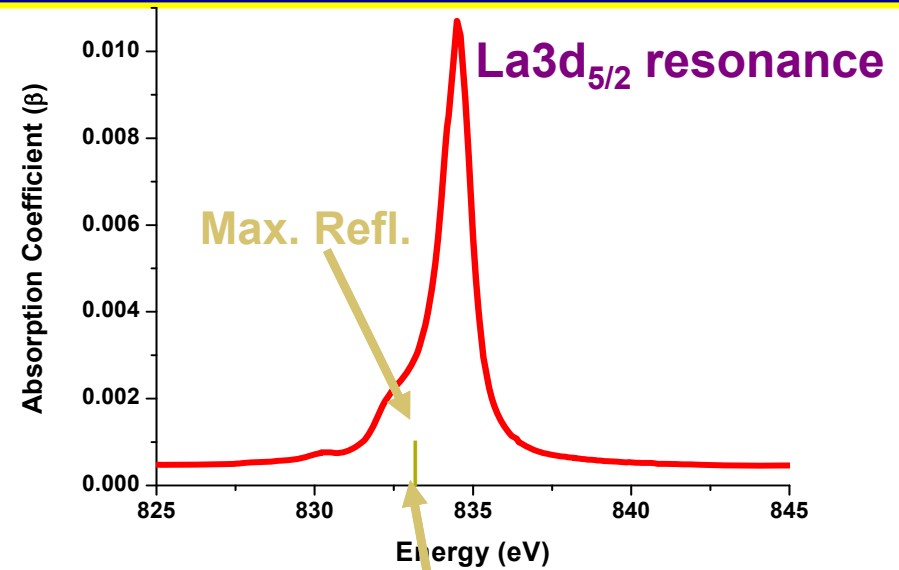
The Advanced Light Source



$$\lambda_x = 2d_{ML} \sin\theta_{\text{Bragg}}$$

$$m\lambda_x = 2D_{ML} \sin\theta_{\text{Kiessig}}$$

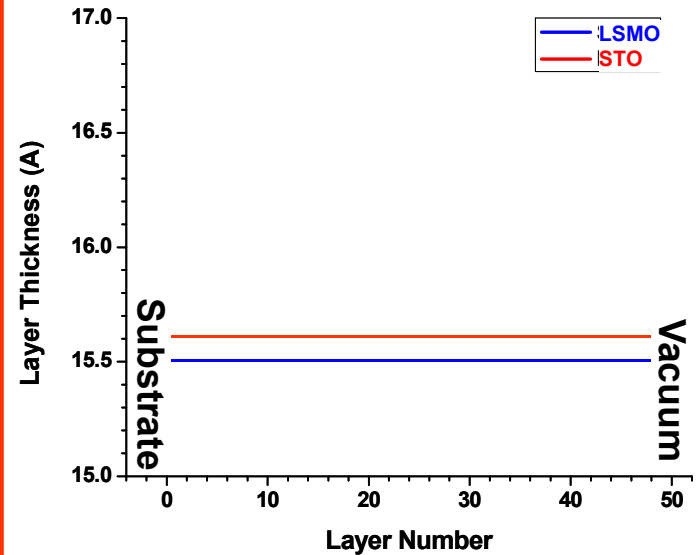
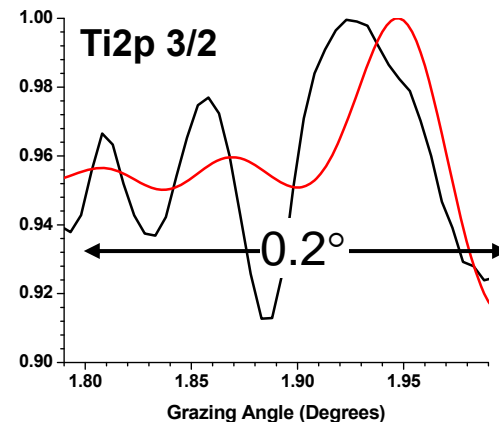
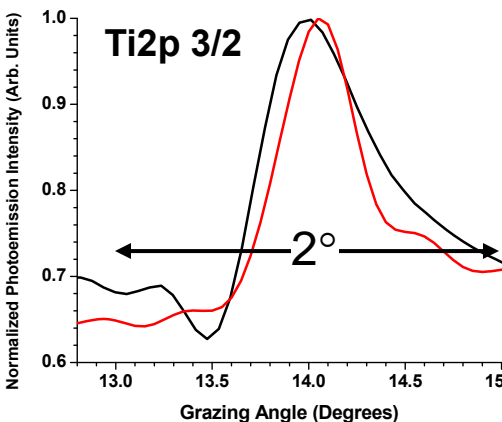
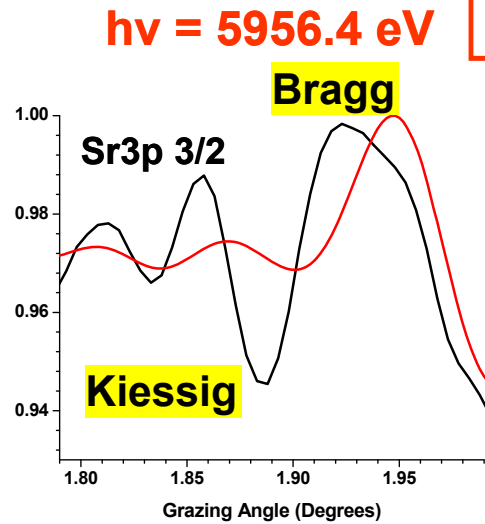
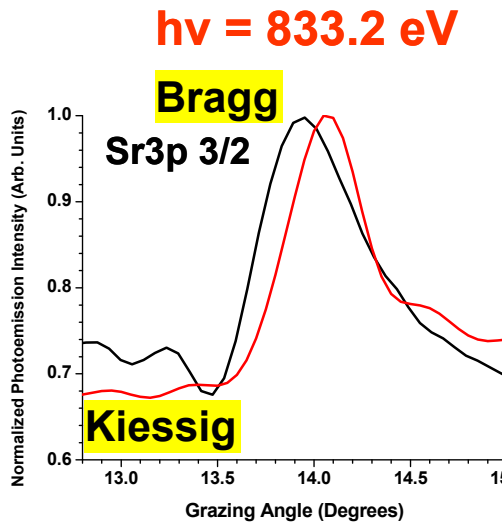
Gray et al., Phys. Rev. B 82, 205116 (2010);  
 Europhysics Letters 104, 17004 (2013)  
 Samples: Ramesh, Huijben



# SrTiO<sub>3</sub>/La<sub>0.67</sub>Sr<sub>0.33</sub>MnO<sub>3</sub> Multilayer Analysis of Rocking Curves

Expt.  
Calc.

Ideal Bilayer  
Thickness  
Gradient Profile



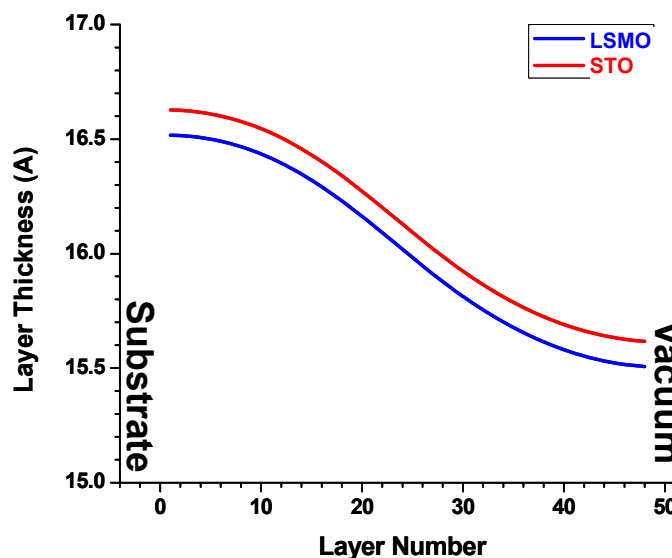
- Relative amplitude of the predicted Kiessig fringes does not agree with experiment
- Strong Kiessig fringes predicted on both sides of the rocking curves, esp. 5.9 keV



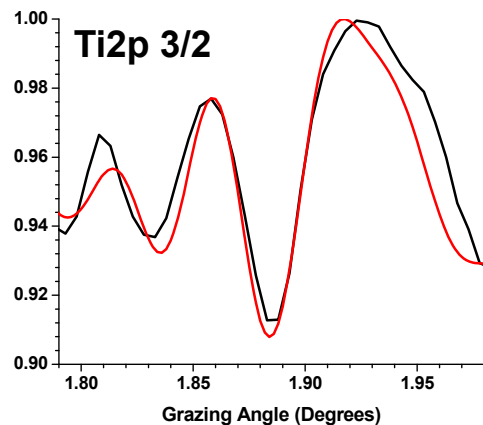
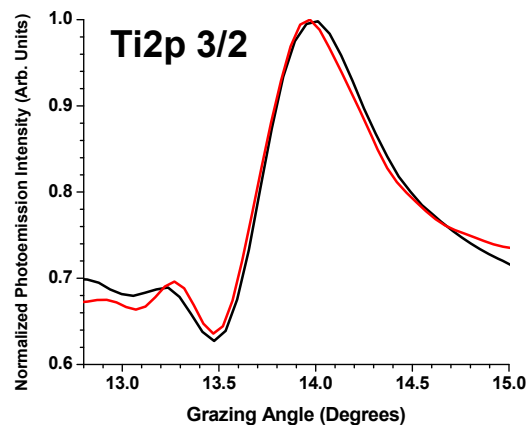
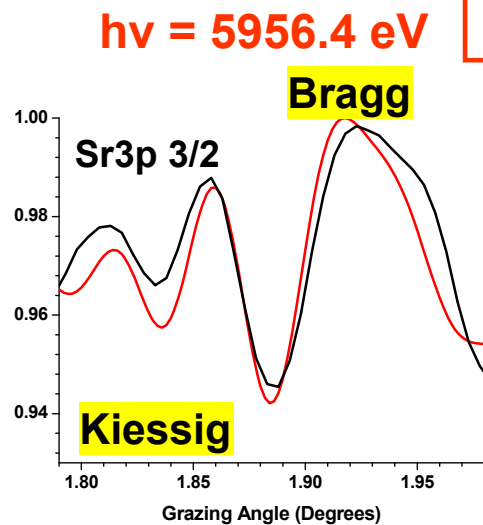
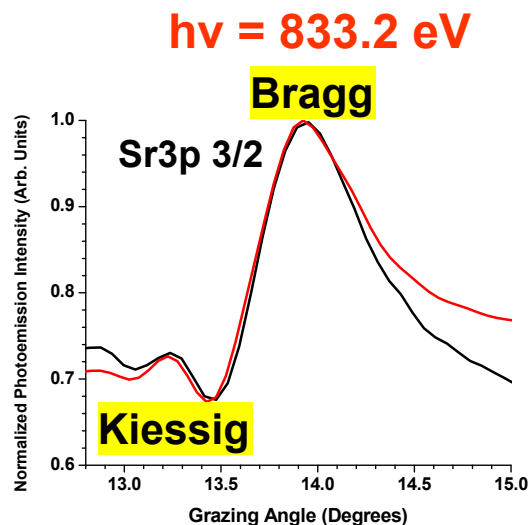
# SrTiO<sub>3</sub>/La<sub>0.67</sub>Sr<sub>0.33</sub>MnO<sub>3</sub> Multilayer Analysis of Rocking Curves

Exp.  
Calc.

## Bilayer Thickness Gradient Profile



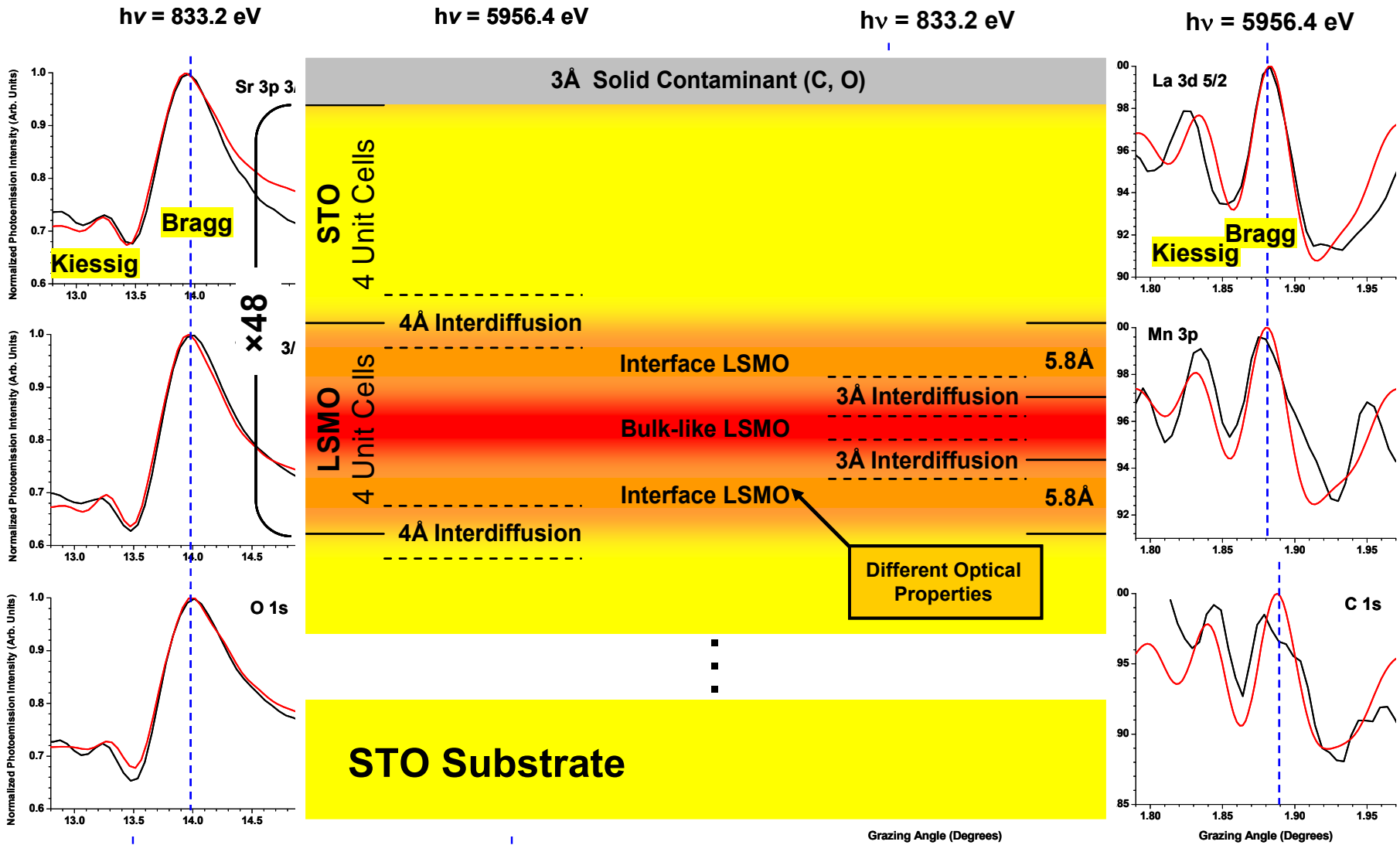
→ Average multilayer  $d_{ML}$  changes by about  $-2 \text{ \AA} \approx -6\%$  from top to bottom



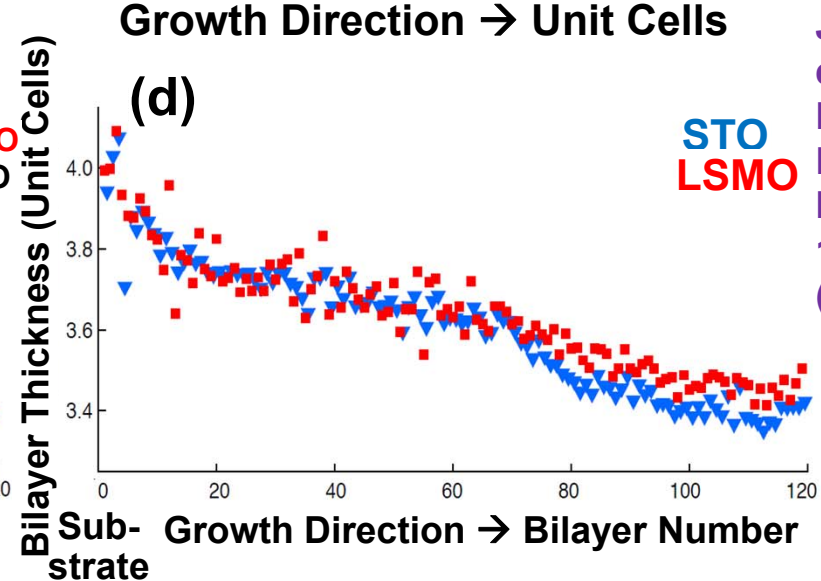
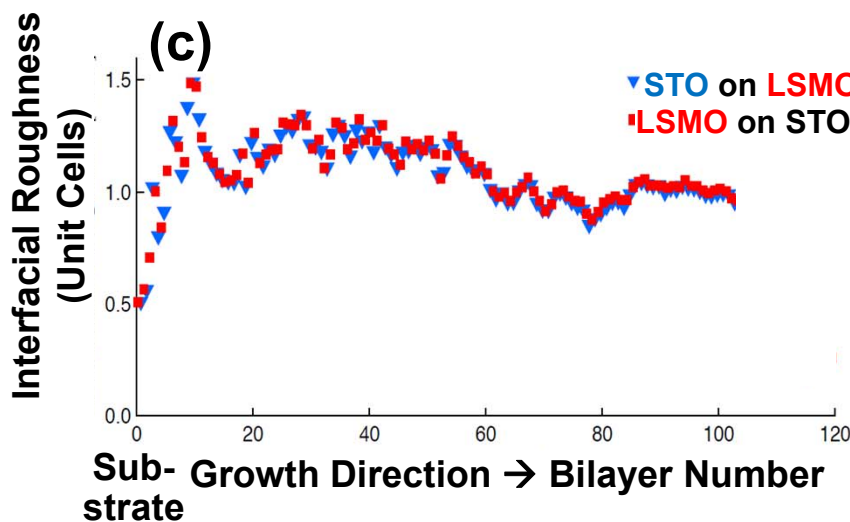
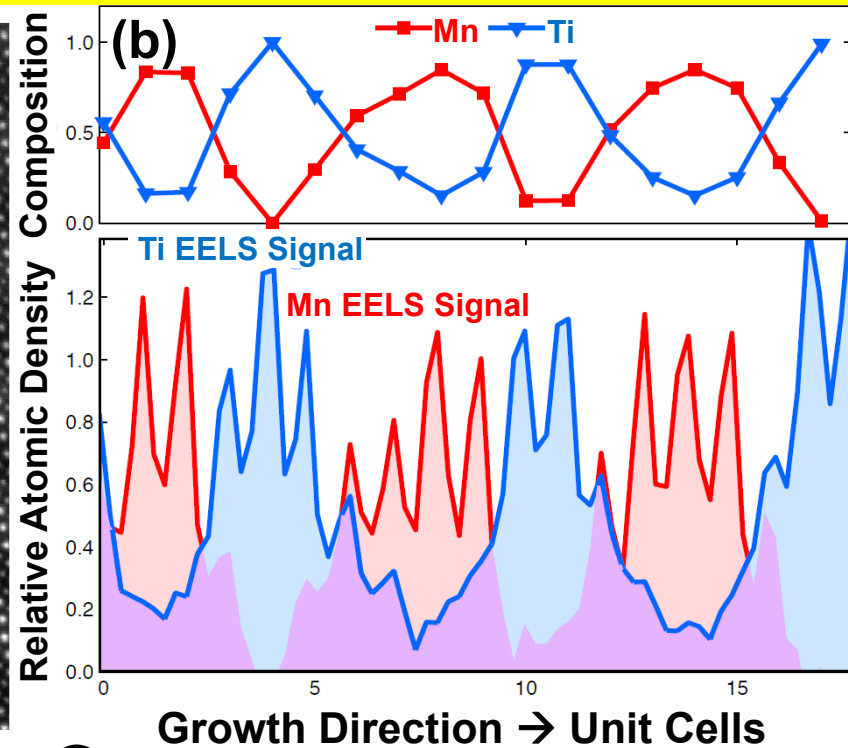
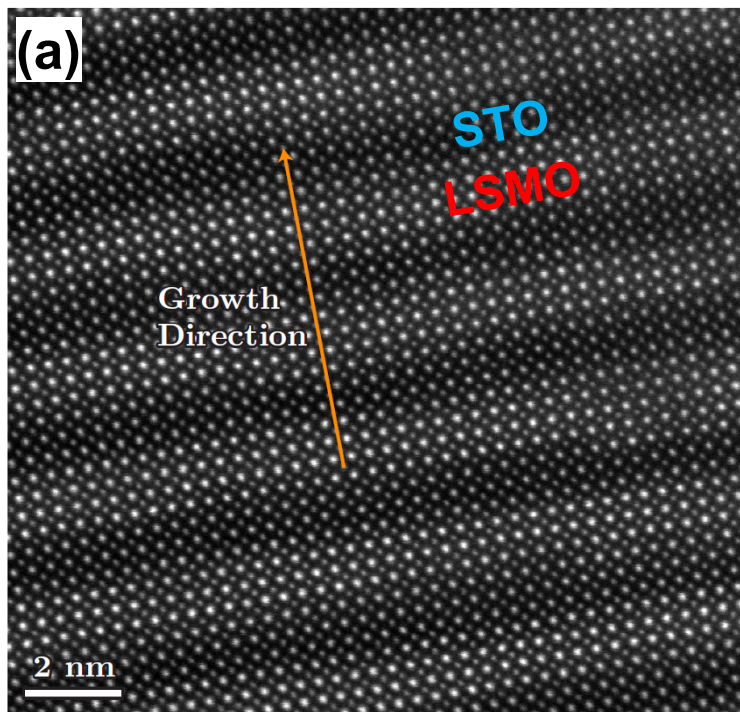
**BEST FIT**



# Fitting of Rocking Curves—All Elements Present, Soft and Hard X-rays

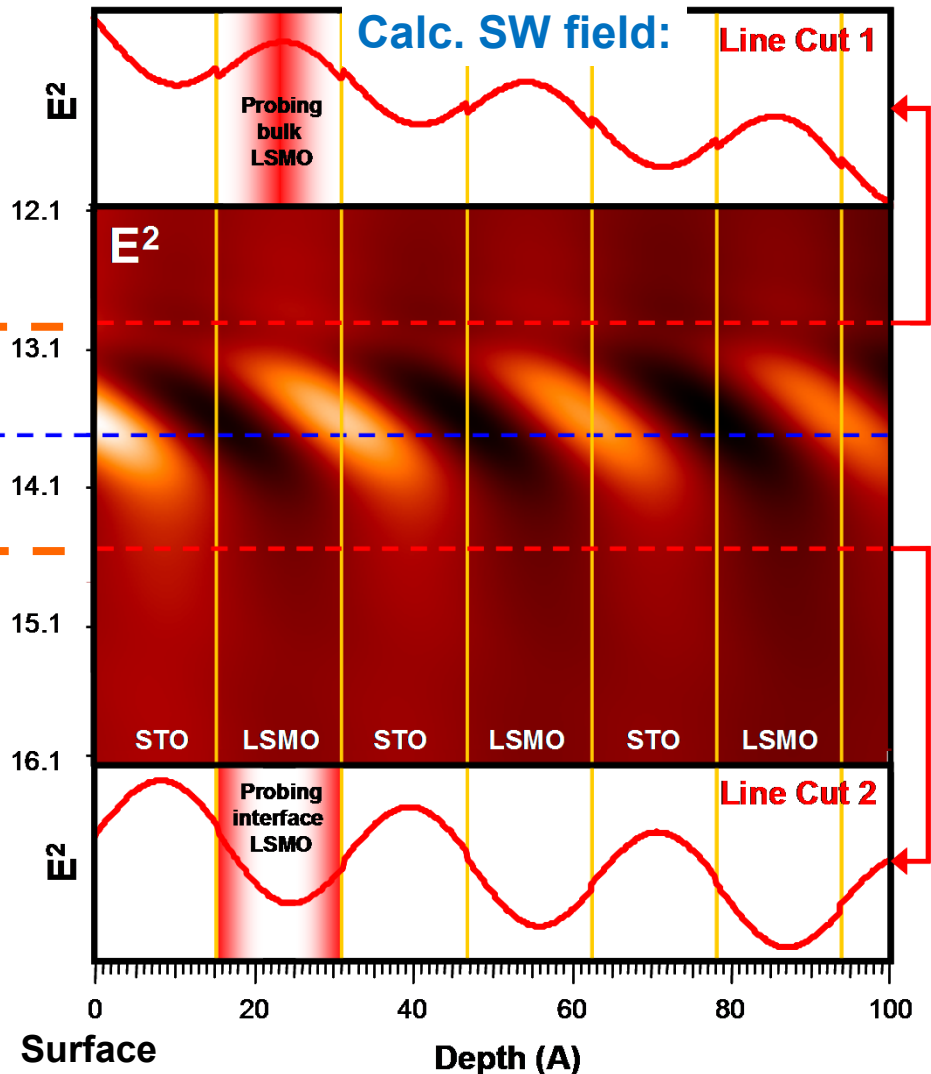
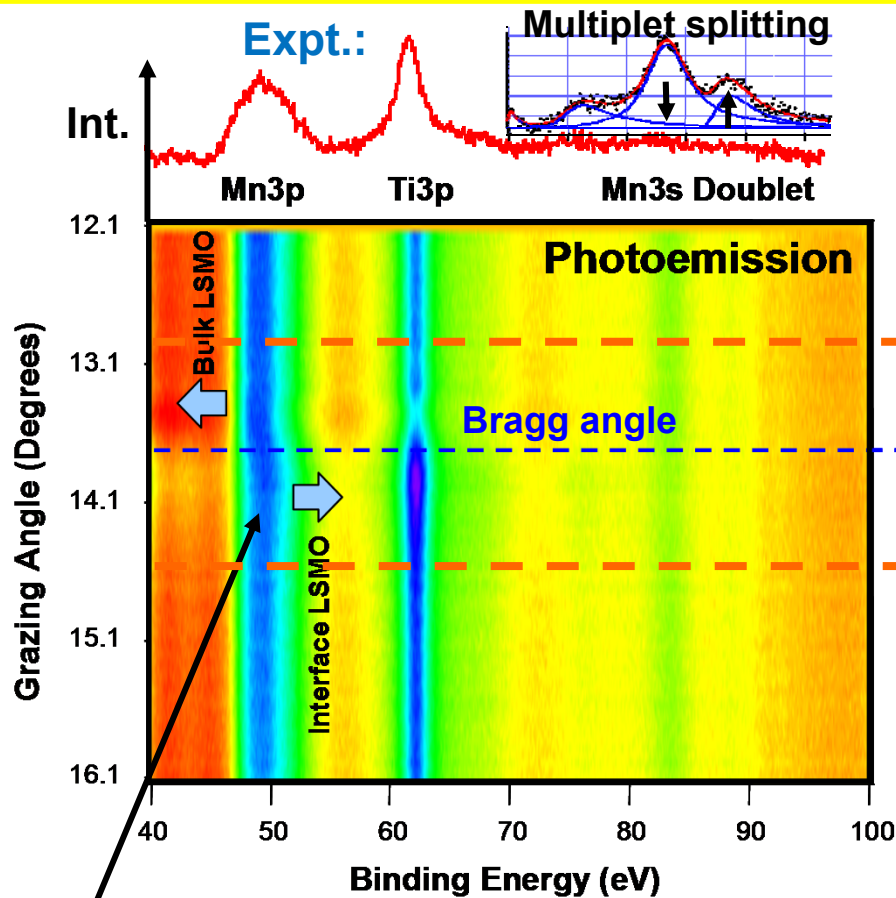


# TEM with EELS+HAADF-Confirms Conclusions of Standing-Wave Photoemission



J. Ciston  
et al.  
NCEM,  
LBNL,  
EPL 104,  
17004  
(2013)

# STO/LSMO-Resonant soft x-ray standing wave/rocking curves at 833 eV: core photoelectron peaks compared to calculated standing-wave field



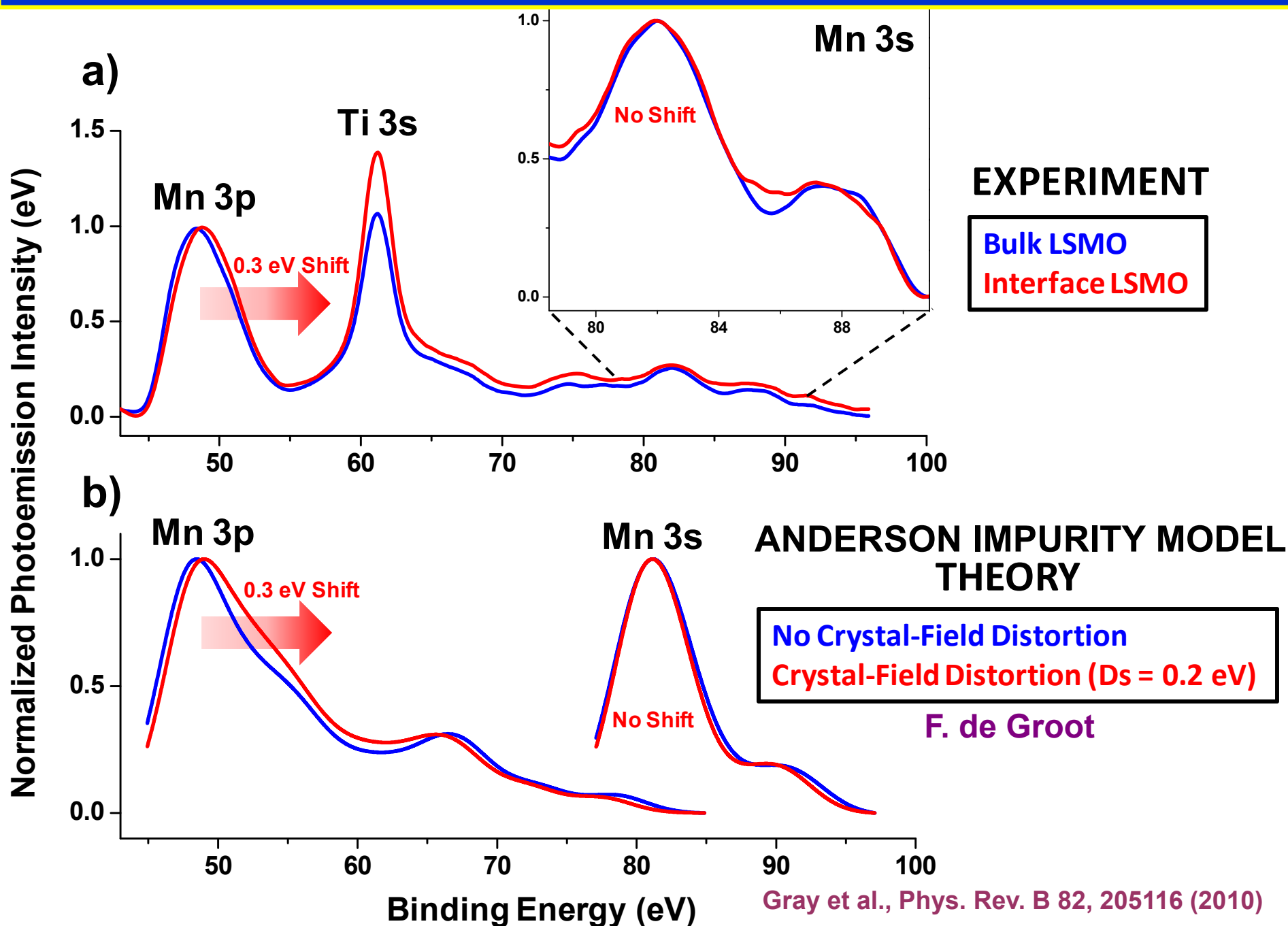
- Clear chemical/final-state shift at interface seen in Mn 3p
- No change in Mn 3s
- No change in Ti 3p—near surface



Gray, Yang et al., Phys. Rev. B 82, 205116 (2010)

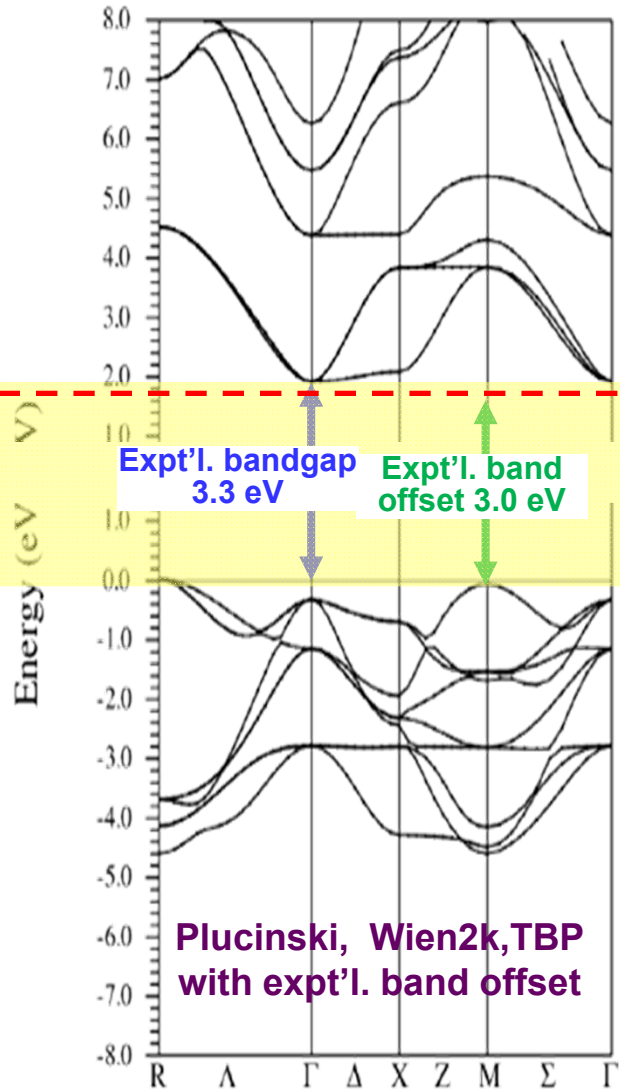


# STO/LSMO-Explaining the Difference Between Mn 3p and Mn 3s behavior

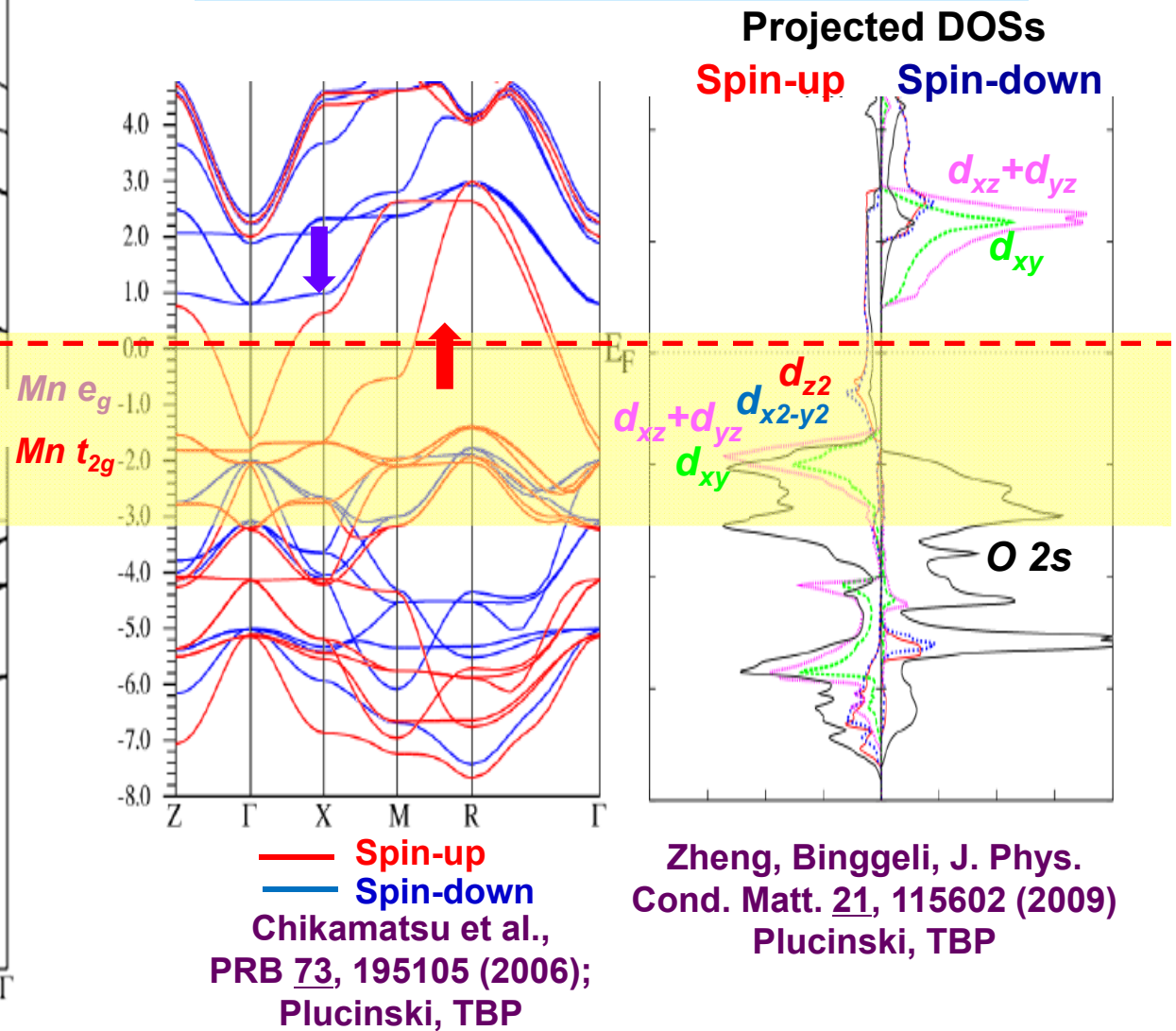


# SrTiO<sub>3</sub> and La<sub>0.67</sub>Sr<sub>0.33</sub>MnO<sub>3</sub> band structures and DOS

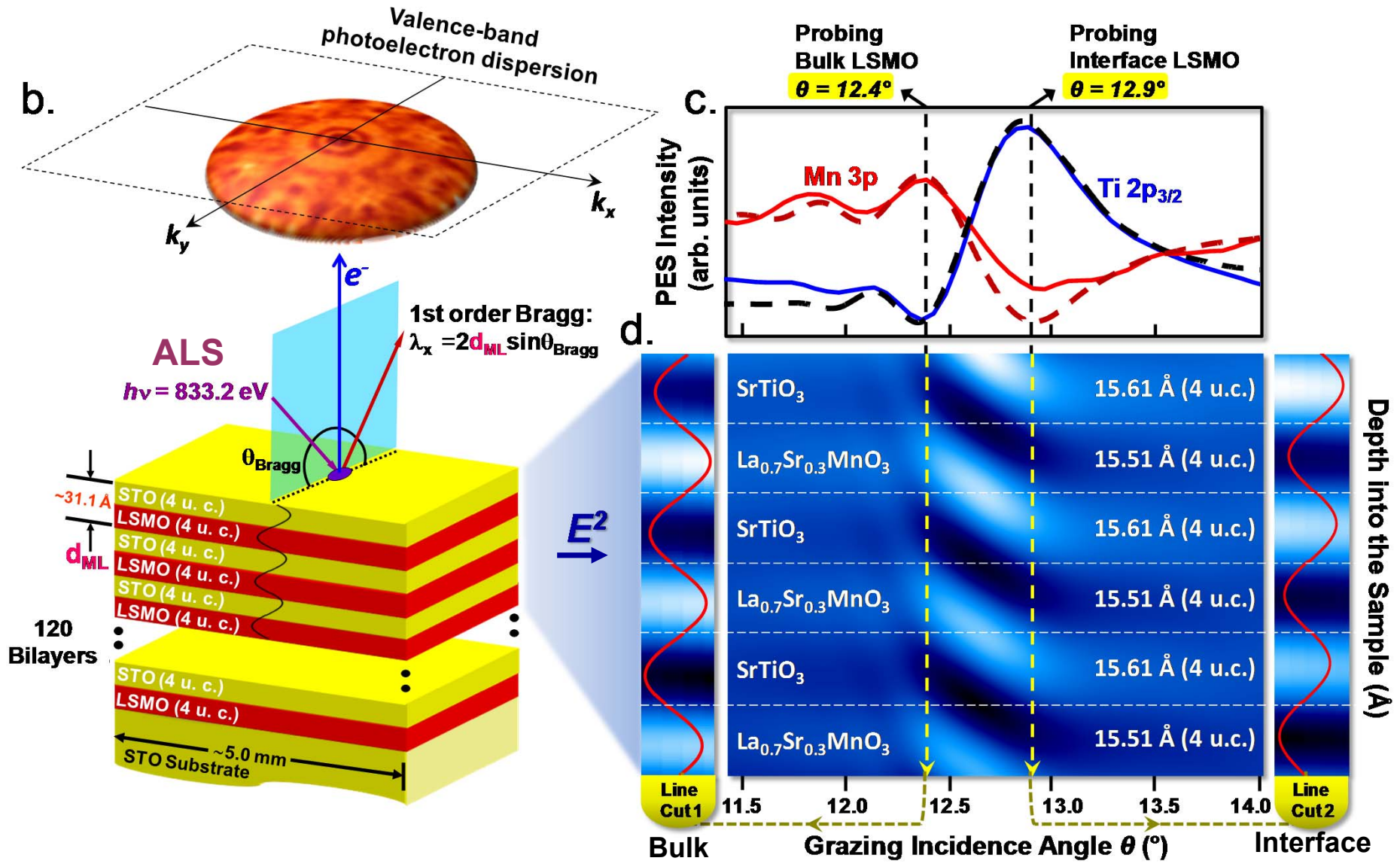
## SrTiO<sub>3</sub>-band insulator



## La<sub>0.67</sub>Sr<sub>0.33</sub>MnO<sub>3</sub>- Half-Metallic Ferromagnet



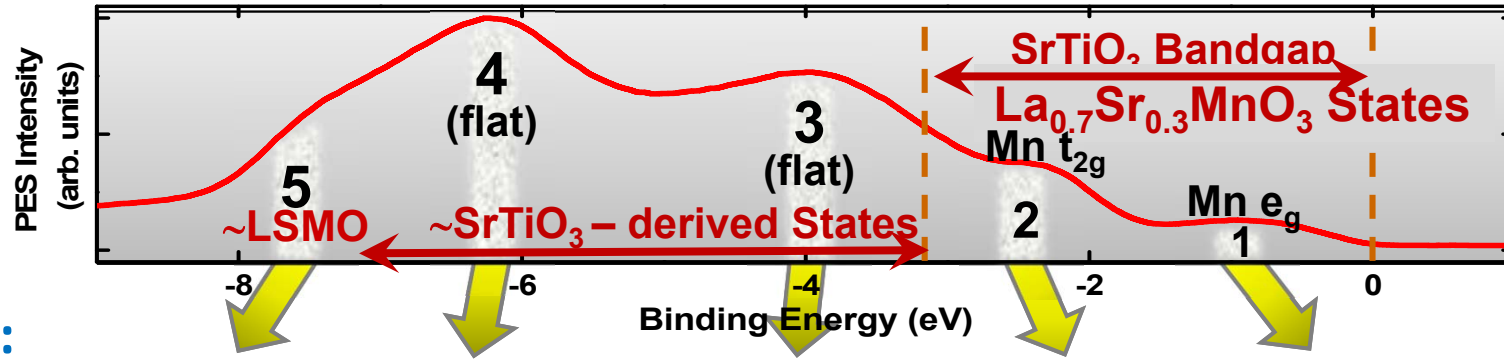
# Depth-Resolved Soft X-Ray ARPES? Cryocooling to suppress phonon smearing: DW factor



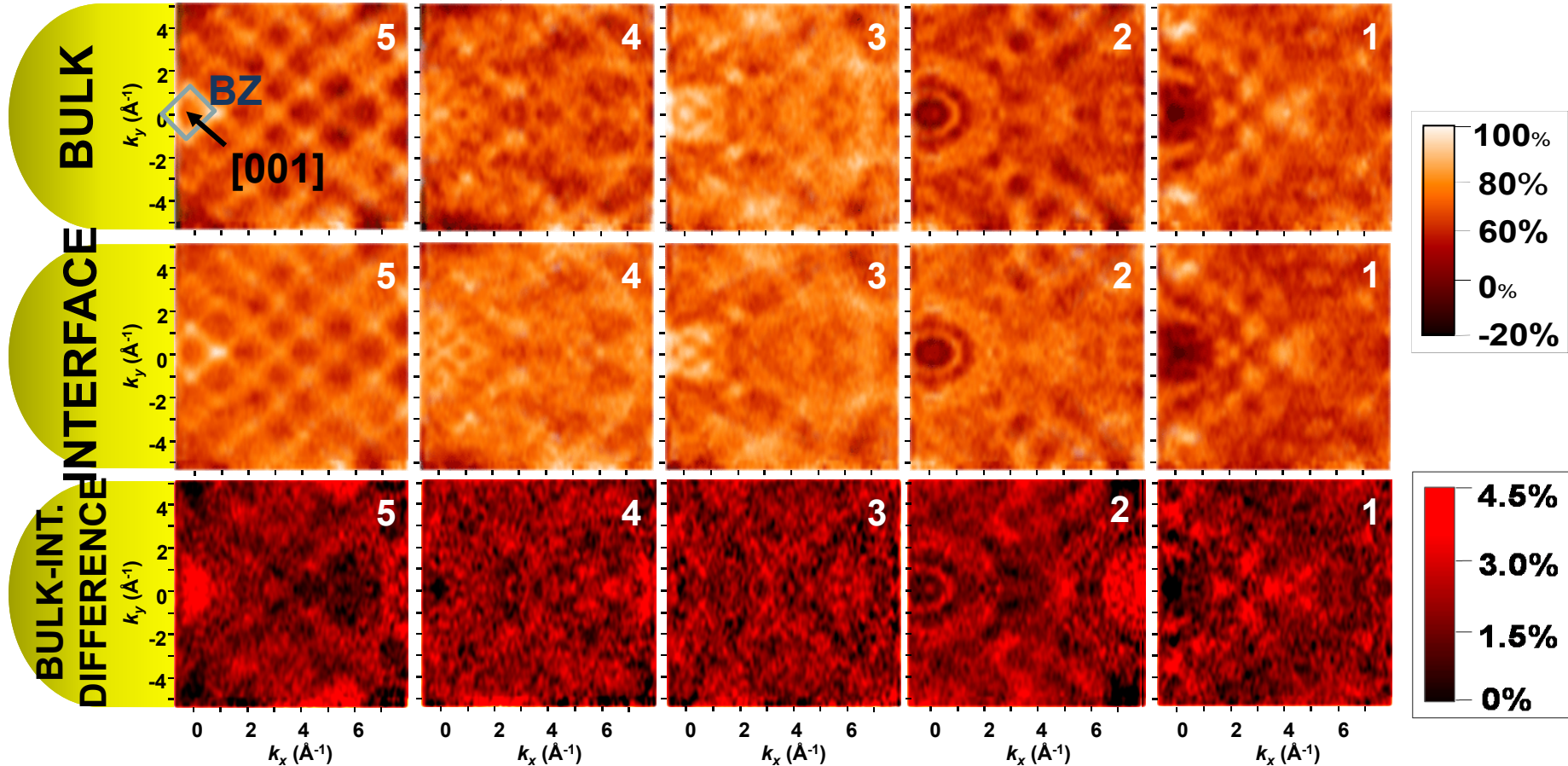
# STO/LSMO Depth-resolved ARPES: $h\nu=833$ eV, RT (DW = 0.13) and 30K (DW = 0.75)

300K  
DOS:

30 K  
ARPES:



Gray et al., EPL 104, 17004 (2013)

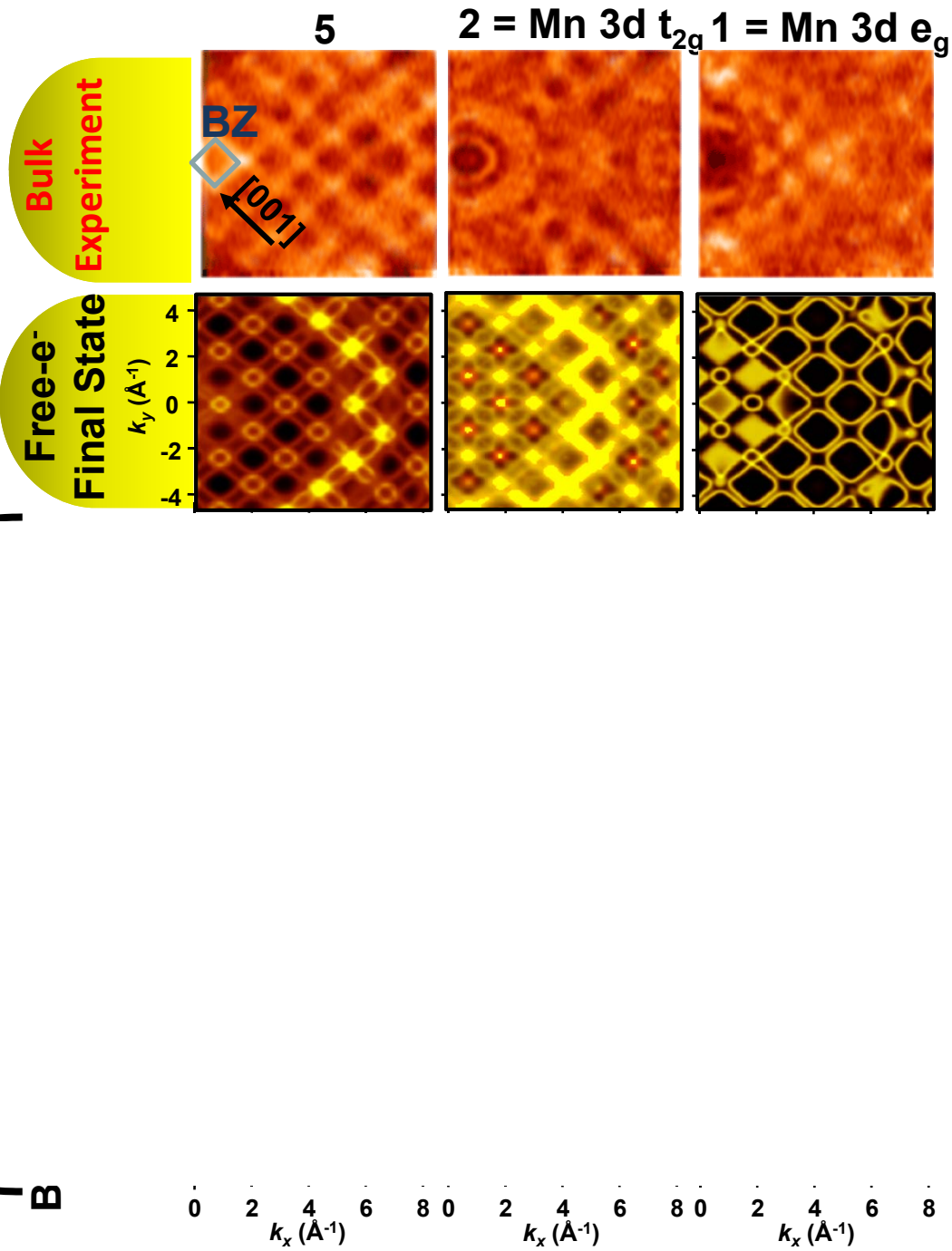


**First test case:  
STO/LSMO  
Depth-resolved ARPES:  
 $h\nu=833$  eV, 20K-  
Expt. vs Theory**

**Theory:  
Ground-state band  
structure  $\rightarrow$  k-conserving  
free-e<sup>-</sup> final state  
Plucinski**

**Theory:  
One-step, t-reversed  
LEED, spin-  
polarized relativistic  
KKR, alloy CPA  
Minar, Braun, Ebert**

Gray et al., Phys. Rev. B  
82, 205116 (2010);  
Europhysics Letters  
104, 17004 (2013)

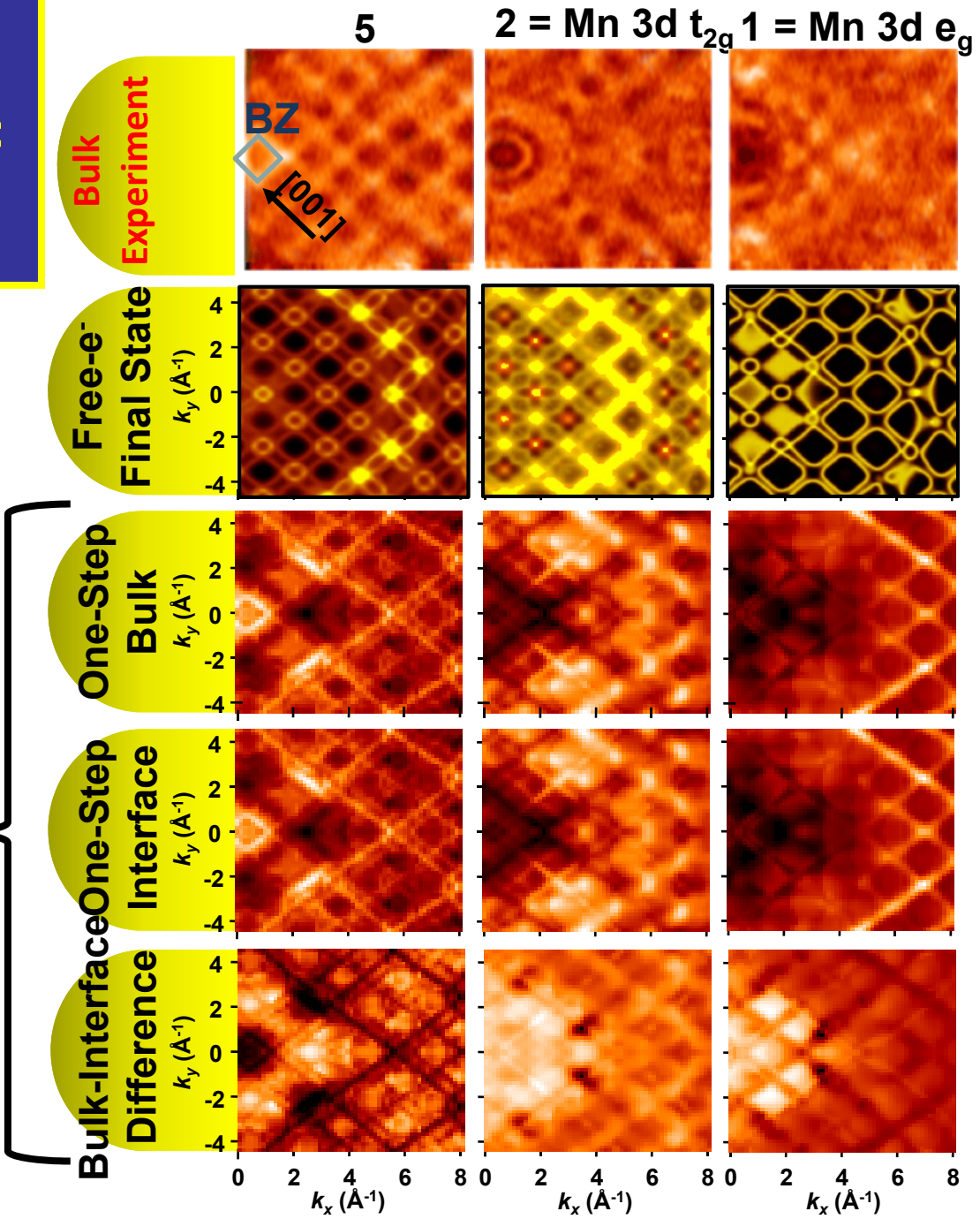


**First test case:  
STO/LSMO  
Depth-resolved ARPES:  
 $h\nu=833$  eV, 20K-  
Expt. vs Theory**

Theory:  
Ground-state band  
structure  $\rightarrow$  k-conserving  
free-e<sup>-</sup> final state  
Plucinski

Theory:  
One-step, t-reversed  
LEED, spin-  
polarized relativistic  
KKR, alloy CPA  
Minar, Braun, Ebert

Gray et al., Phys. Rev. B  
82, 205116 (2010);  
Europhysics Letters  
104, 17004 (2013)



## Conclusions: Soft and Hard X-Ray Standing-Wave PS and ARPES of SrTiO<sub>3</sub>/La<sub>0.7</sub>Sr<sub>0.3</sub>MnO<sub>3</sub>

- Depth distribution of concentration and index of refraction through the interface with ca.  $\pm 2$  Å resolution, confirmed by TEM/EELS/HAADF
- Interface Mn 3p binding energy shift consistent with crystal field distortion via AIM calculations
- Interface-specific changes in k-resolved electronic structure
- Results qualitatively in agreement with free-electron final state and one-step theory
- Future applications to other interfaces

**Soft → hard x-rays and standing waves:  
a few example studies**

**Fe/MgO-tunnel junction**

Depth-resolved composition, chemical states,  
magnetization

**SrTiO<sub>3</sub>/La<sub>2/3</sub>Sr<sub>1/3</sub>MnO<sub>3</sub>-tunnel junction**

Depth-resolved composition, dielectric properties, bonding,  
k-resolved electronic structure

**SrTiO<sub>3</sub>/GdTiO<sub>3</sub>-2D electron gas**

Depth-resolved composition, charge states,  
k-resolved electronic structure

**BiFeO<sub>3</sub>/(Ca,Ce)MnO<sub>3</sub> interface (Ferroelectric/Mott insulator)**

Depth-resolved electronic structure from  
near-total-reflection (NTR) angle scans

**Fe<sub>2</sub>O<sub>3</sub> reacting with NaOH, CsOH, and H<sub>2</sub>O**

Using standing wave XPS to probe the solid/gas and solid/liquid  
interface: some first ambient pressure results



# SrTiO<sub>3</sub>/GdTiO<sub>3</sub> An interface 2D electron gas



S. Nemšák et al.,  
<http://arxiv.org/abs/1508.01832>



## SrTiO<sub>3</sub>

- Band insulator ( $E_g=2.3$  eV)
- Low temperature superconductor

## GdTiO<sub>3</sub>

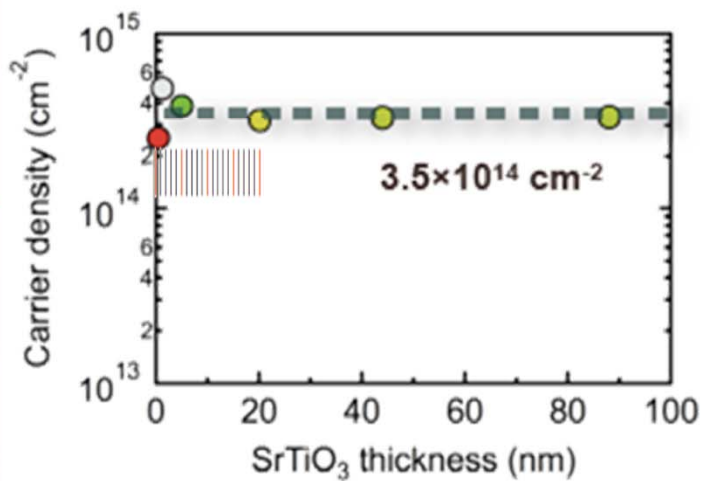
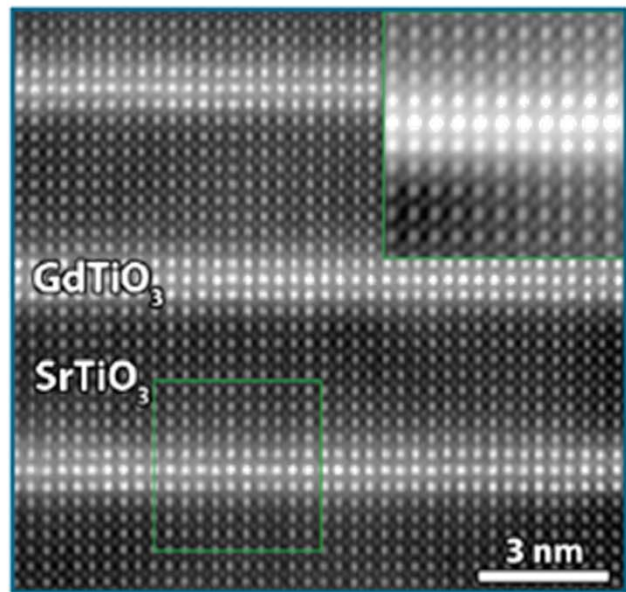
- Mott-Hubbard insulator

## GdTiO<sub>3</sub>/SrTiO<sub>3</sub> interface

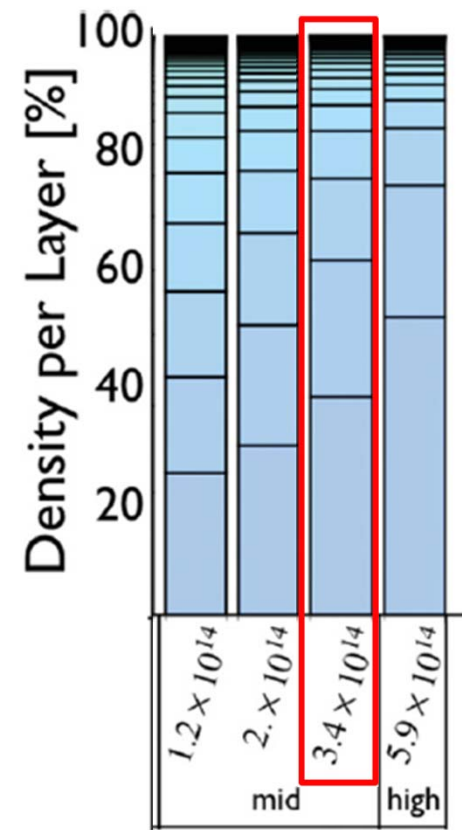
- Two-dimensional electron gas (2DEG) at the interface between two insulators (*Appl. Phys. Lett.* **99**, 232116, 2011)
- Sheet carrier density on the order of  $3 \times 10^{14}$  cm<sup>-2</sup>
- Ferromagnetism in the 2DEG at the interface (*Phys. Rev. X* **2**, 021014, 2012)

S. Nemšák, G. Pálsson, A.X. Gray, D. Eiteneer, A.M. Kaiser, G. Conti, A.Y. Saw, A. Perona, A. Rattanachata, C. Conlon, A. Bostwick, V. Strocov, M. Kobayashi, W. Stolte, A. Gloskovskii, W. Drube, M.-C. Asencio, J. Avila, J. Son, P. Moetakef, C. Jackson, L. Bjaalie, A. Janotti, C. G. Van de Walle, J. Minar, J. Braun, H. Ebert, J.B. Kortright, S. Stemmer, and C. S. Fadley

# The STO/GTO 2D Electron Gas



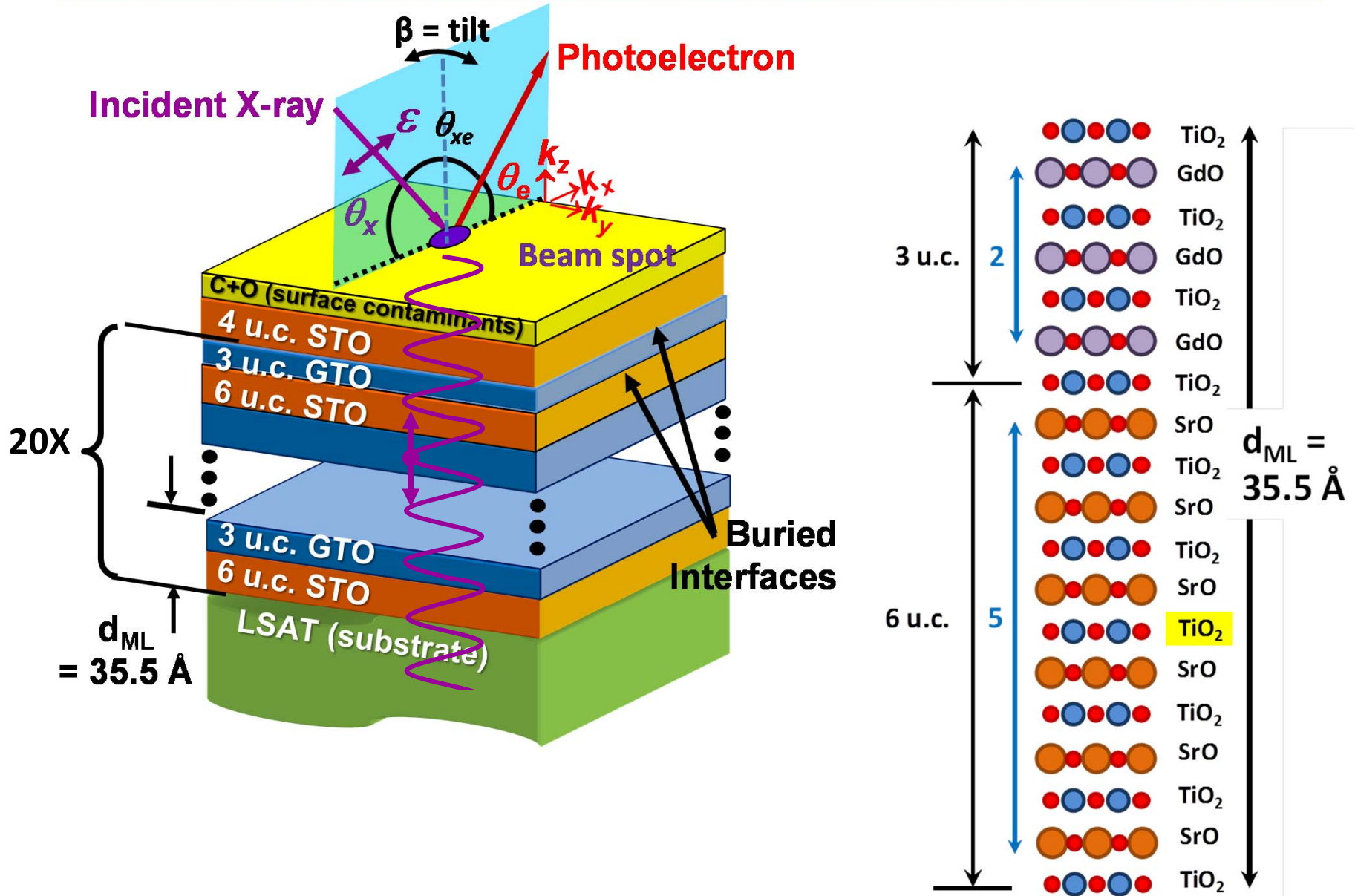
Appl. Phys. Lett. 99, 232116 (2011).  
Stemmer et al.



Calculated carrier density (cm<sup>-2</sup>)  
Khalsa and McDonald  
PRB 86, 125121 (2012)

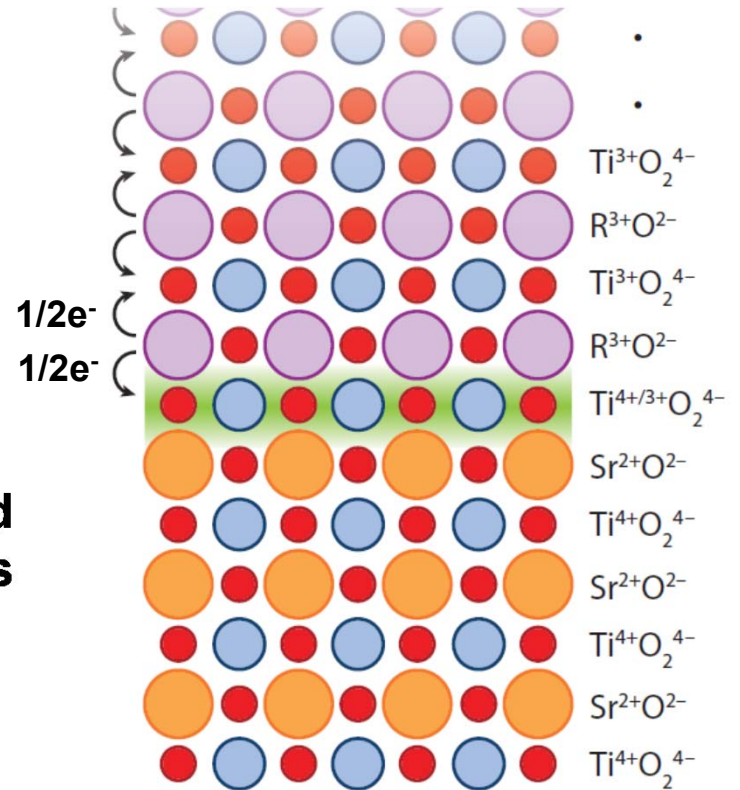
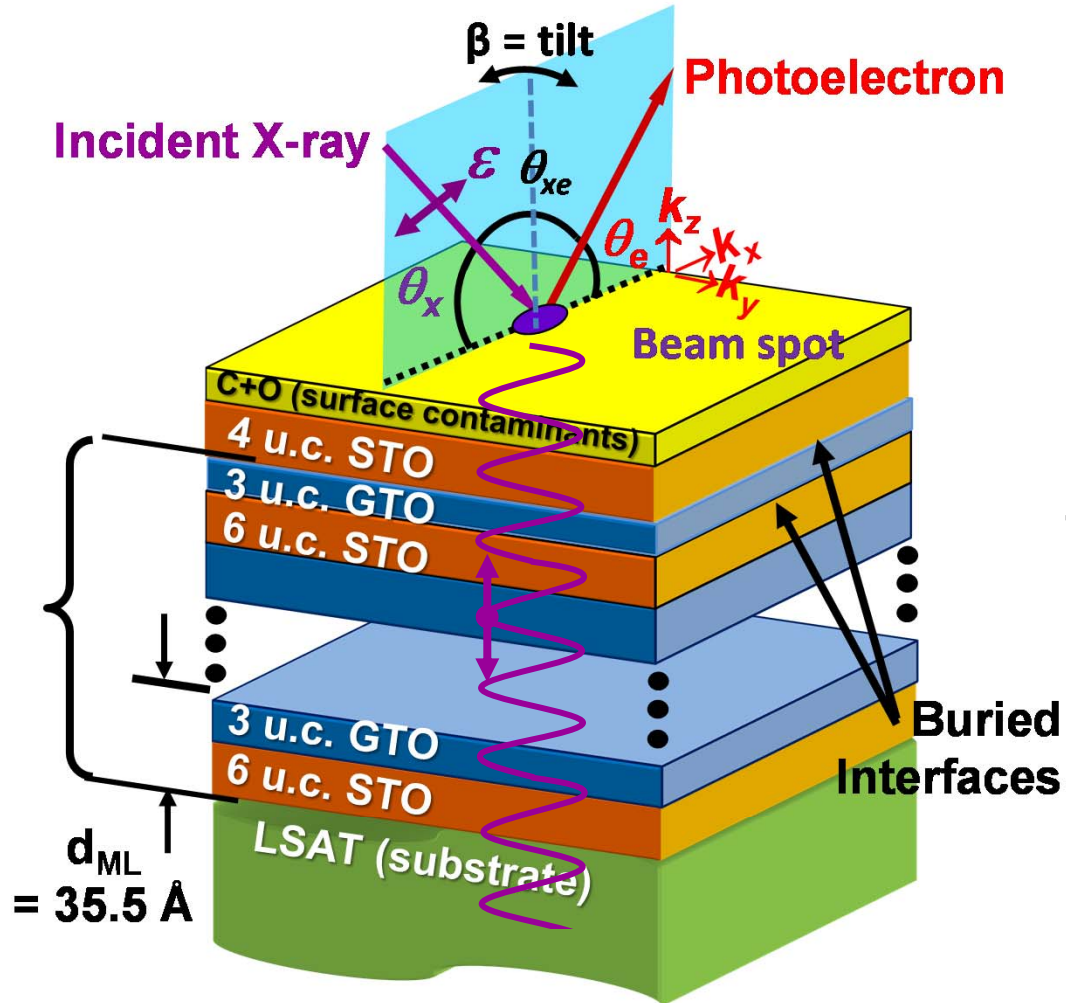
Can we see this 2DEG with standing wave ARPES, including its momentum dispersion and its depth distribution?

# Multilayer GTO/STO



Samples: P. Moetakef,  
S. Stemmer, UCSB

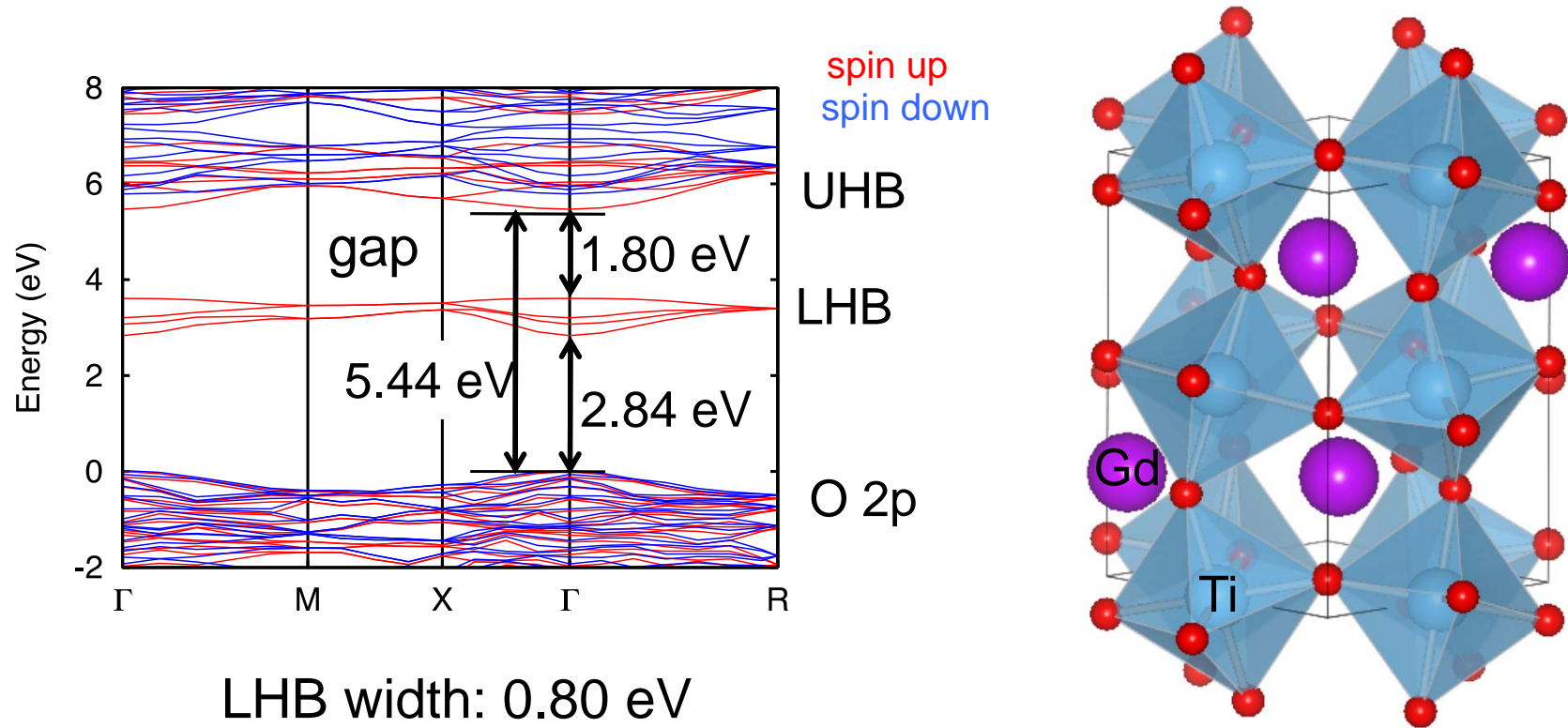
# Multilayer GTO/STO



Stemmer, Allen  
 Annu. Rev. Mater. Res.  
 44:, 51–71 (2014)

P. Moetakef, S. Stemmer,  
 UCSB

# Electronic structure of bulk $\text{GdTiO}_3$ - LDA+hybrid functionals

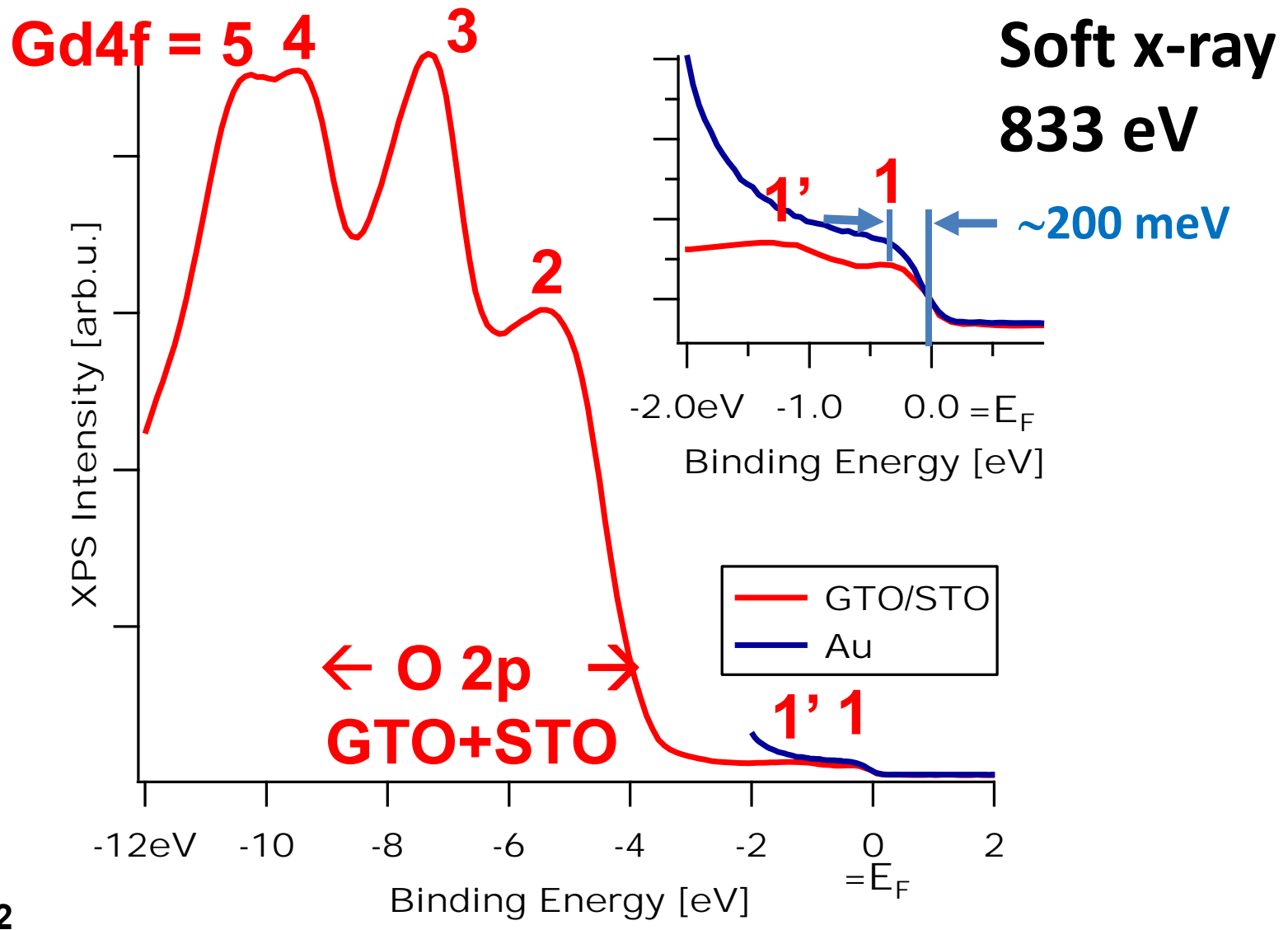


LHB width: 0.80 eV

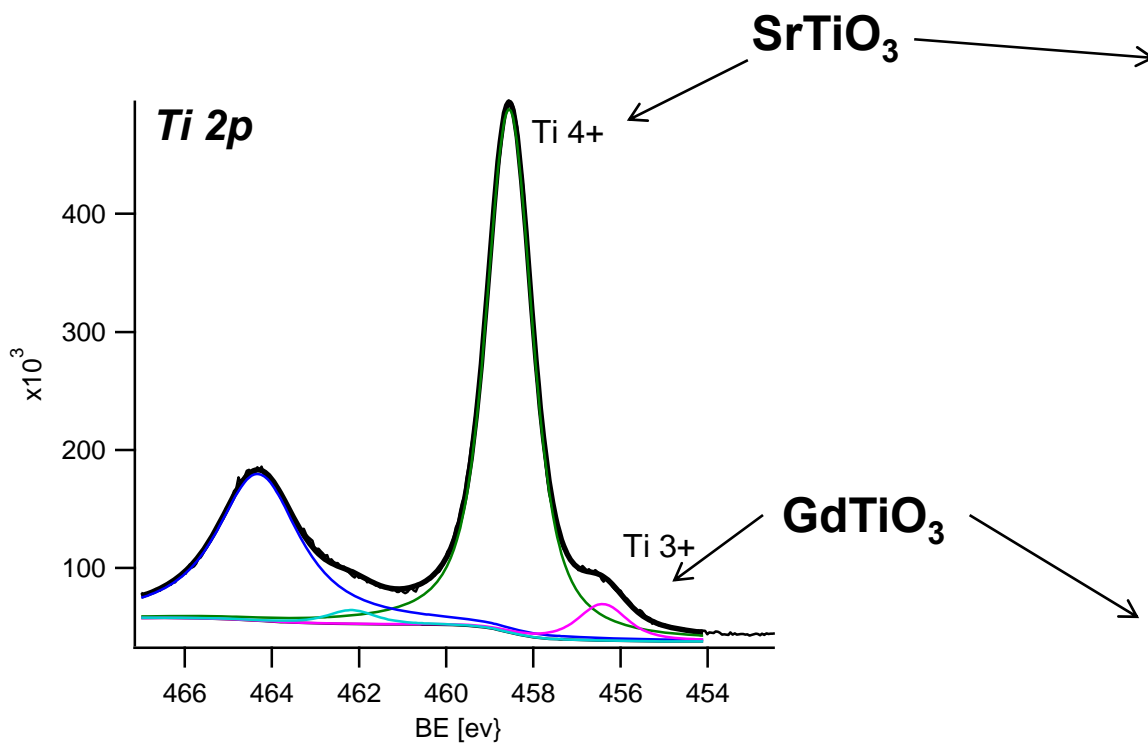
4 bands compose LHB, one  $e^-$  for each Ti

L. Bjaalie, A. Janotti, C. Van de Walle  
M32.7

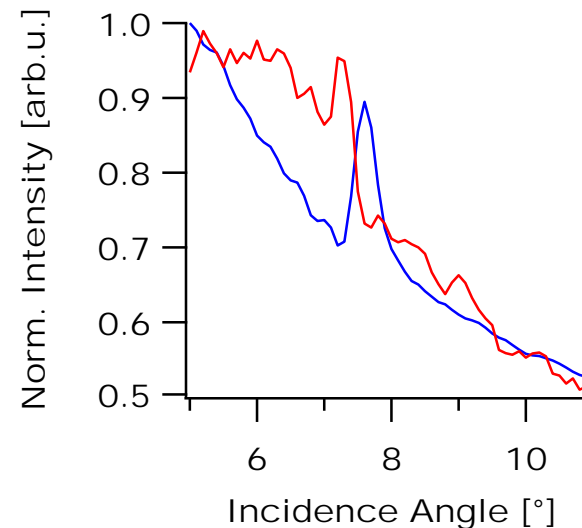
**GTO/STO multilayer:**  
**Soft x-ray photoemission in the XPS limit @ 833 eV,**  
**→ Matrix-element-weighted densities of states**



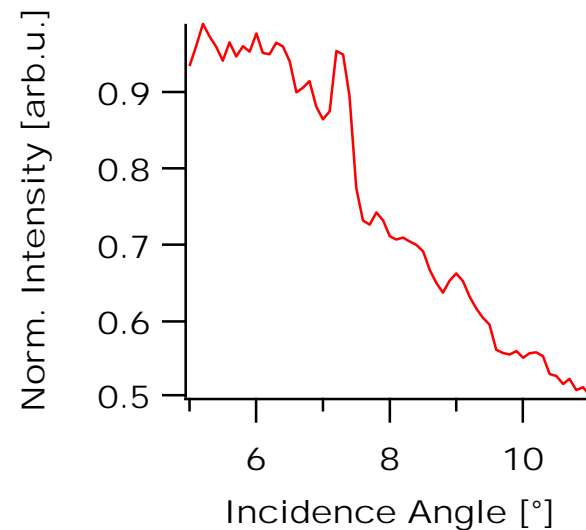
# Standing-wave rocking curves: Ti 2p spectra, 1182 eV



### Ti<sup>4+</sup> 2p rocking curve



### Ti<sup>3+</sup> 2p rocking curve

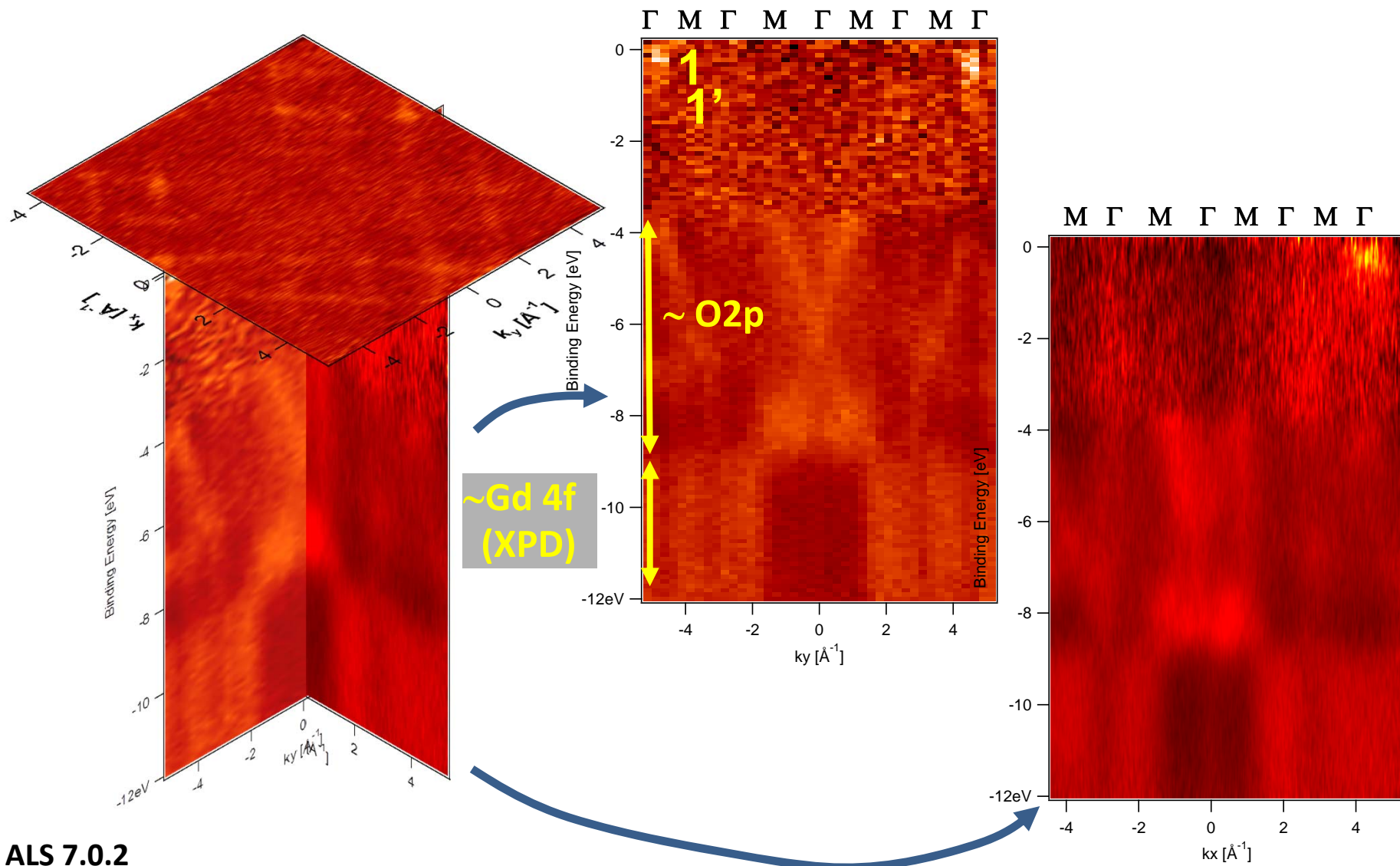


Rocking curves provide direct depth information

Swiss Light Source

Nemšák et al.

# STO/GTO Standing-Wave ARPES @ 833 eV, 20 K



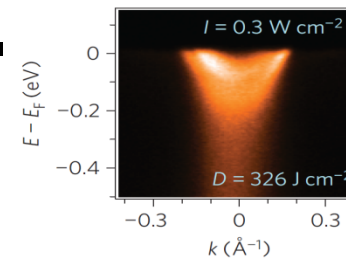
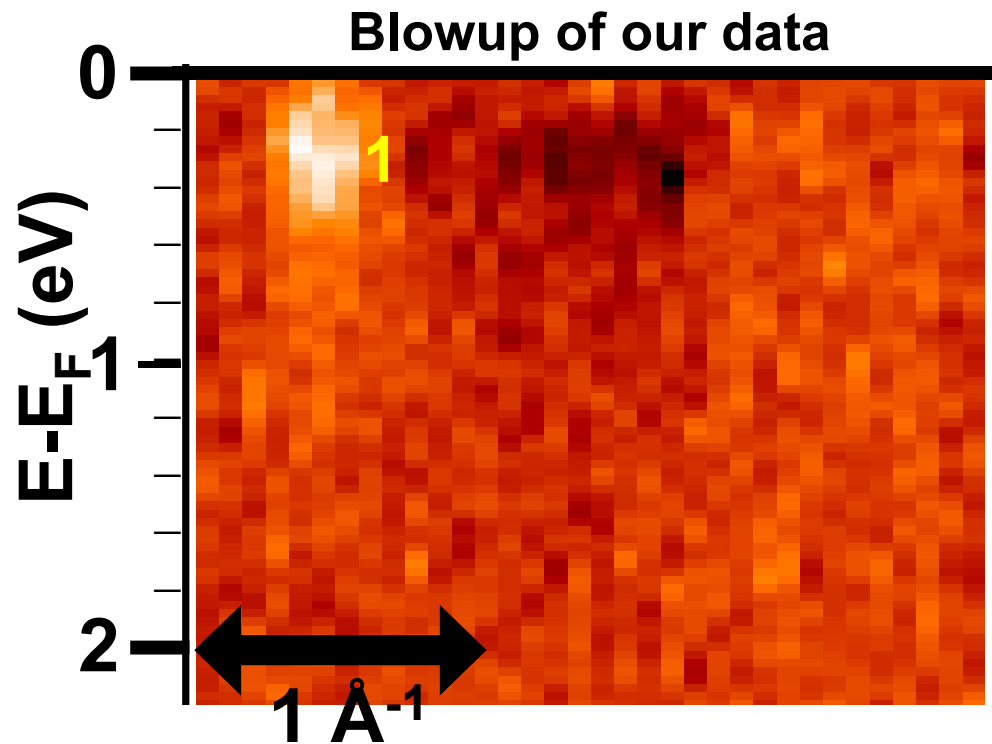
ALS 7.0.2



# STO/GTO multilayer – Peak 1, 1' compared to 2DEG on STO

Creation and control of a two-dimensional electron liquid at the bare SrTiO<sub>3</sub> surface

W. Meevasana<sup>1,2,3,4,5†</sup>, P. D. C. King<sup>3†</sup>, R. H. He<sup>1,2,6</sup>, S-K. Mo<sup>1,6</sup>, M. Hashimoto<sup>1,6</sup>, A. Tamai<sup>3</sup>, P. Songirithigul<sup>4,5</sup>, F. Baumberger<sup>3</sup> and Z-X. Shen<sup>1,2\*</sup>

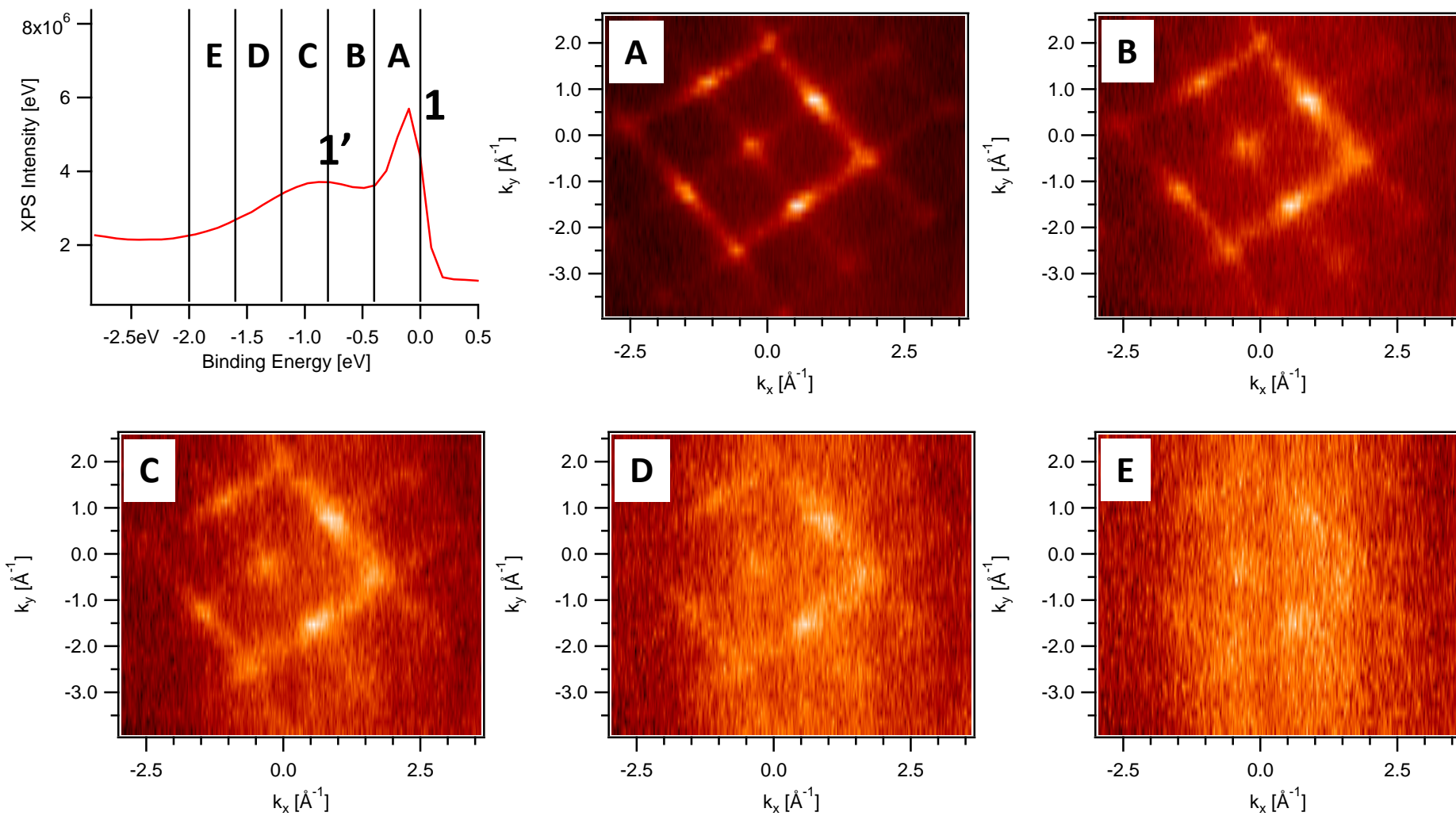


Same scales

Nature Materials 10, 114 (2011)

→1 looks like interface  
2DEG,  
but where is lower  
Hubbard band?

# STO/GTO multilayer-Dispersion of Peaks 1 and 1' : ARPES @ 465.2 eV (Ti resonant)



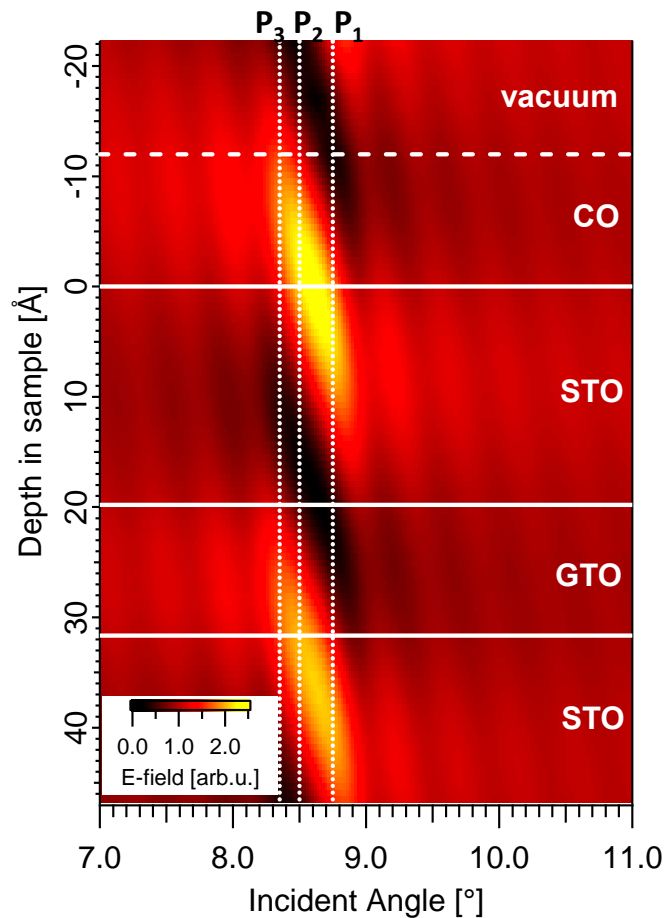
- 1, 1' dispersions identical, states strongly mixed
- 1 has greater or different Ti character

ALS

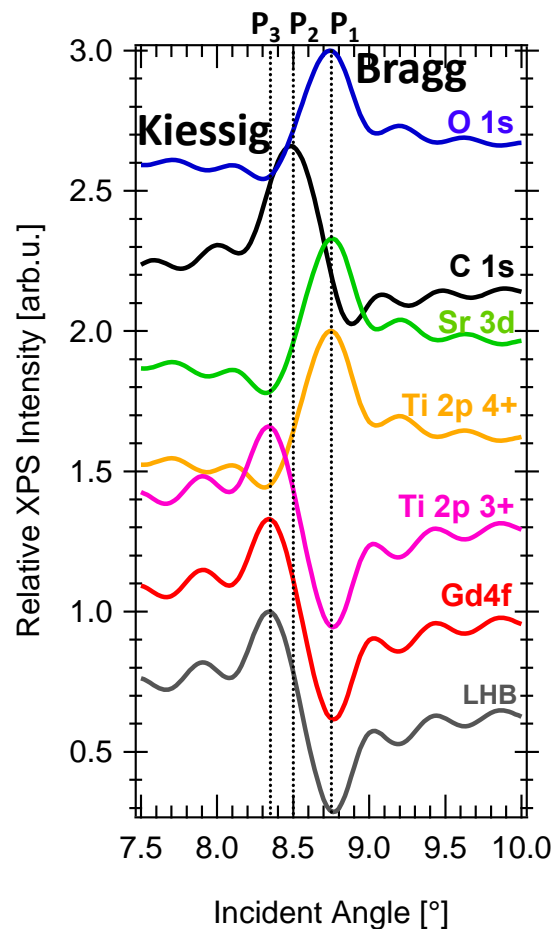
# Theoretical simulations vs. expt.—1182—just below Gd M<sub>5</sub> edge SW emphasizing STO

## Rocking curves

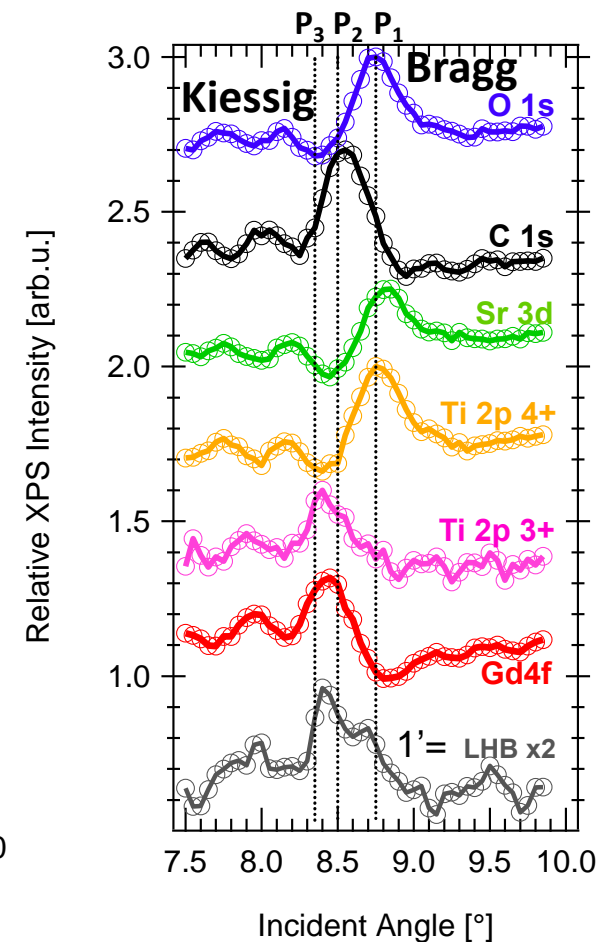
### Theory E<sup>2</sup> -field strength



### Theory



### Experiment

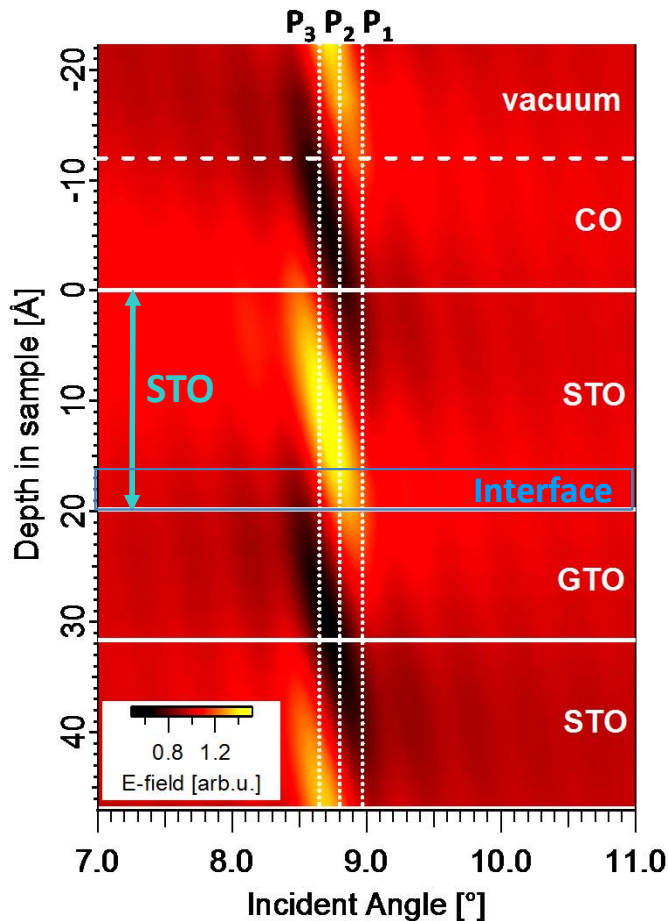


→ Ti 4+ in STO, Ti 3+ in GTO, 1' = LHB in GTO

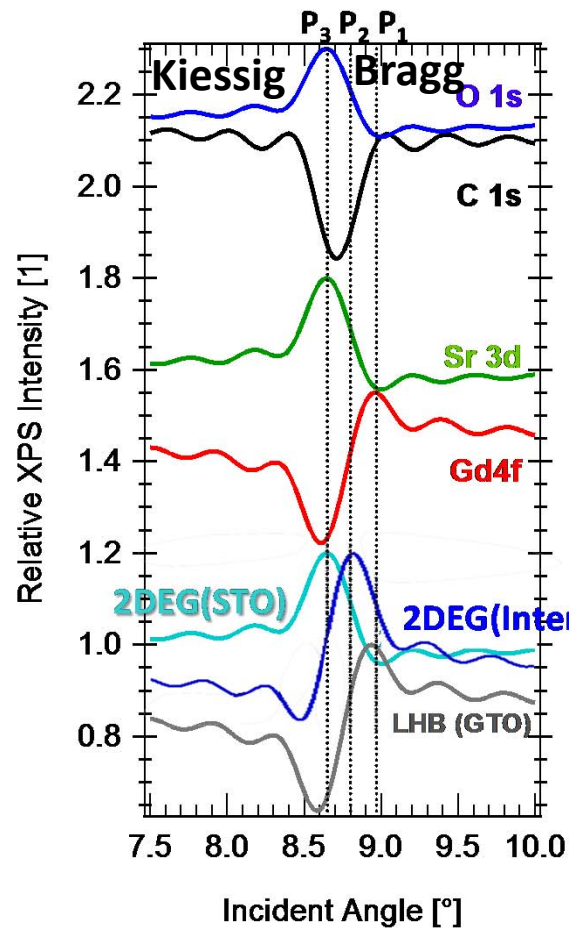
# Theoretical simulations vs. expt.—1187—just above Gd M<sub>5</sub> edge SW emphasizing STO/GTO interface

## Rocking curves

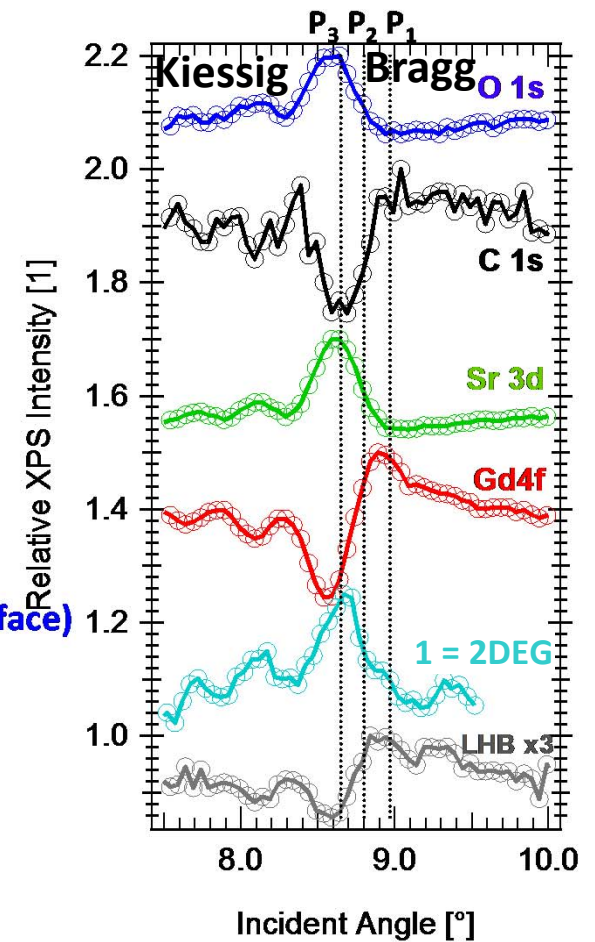
### Theory: E<sup>2</sup> -field strength



### Theory

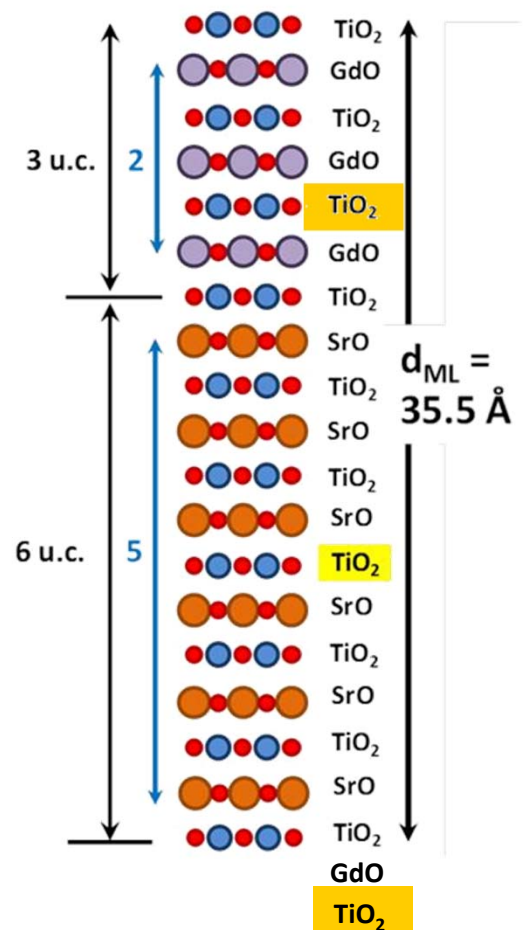
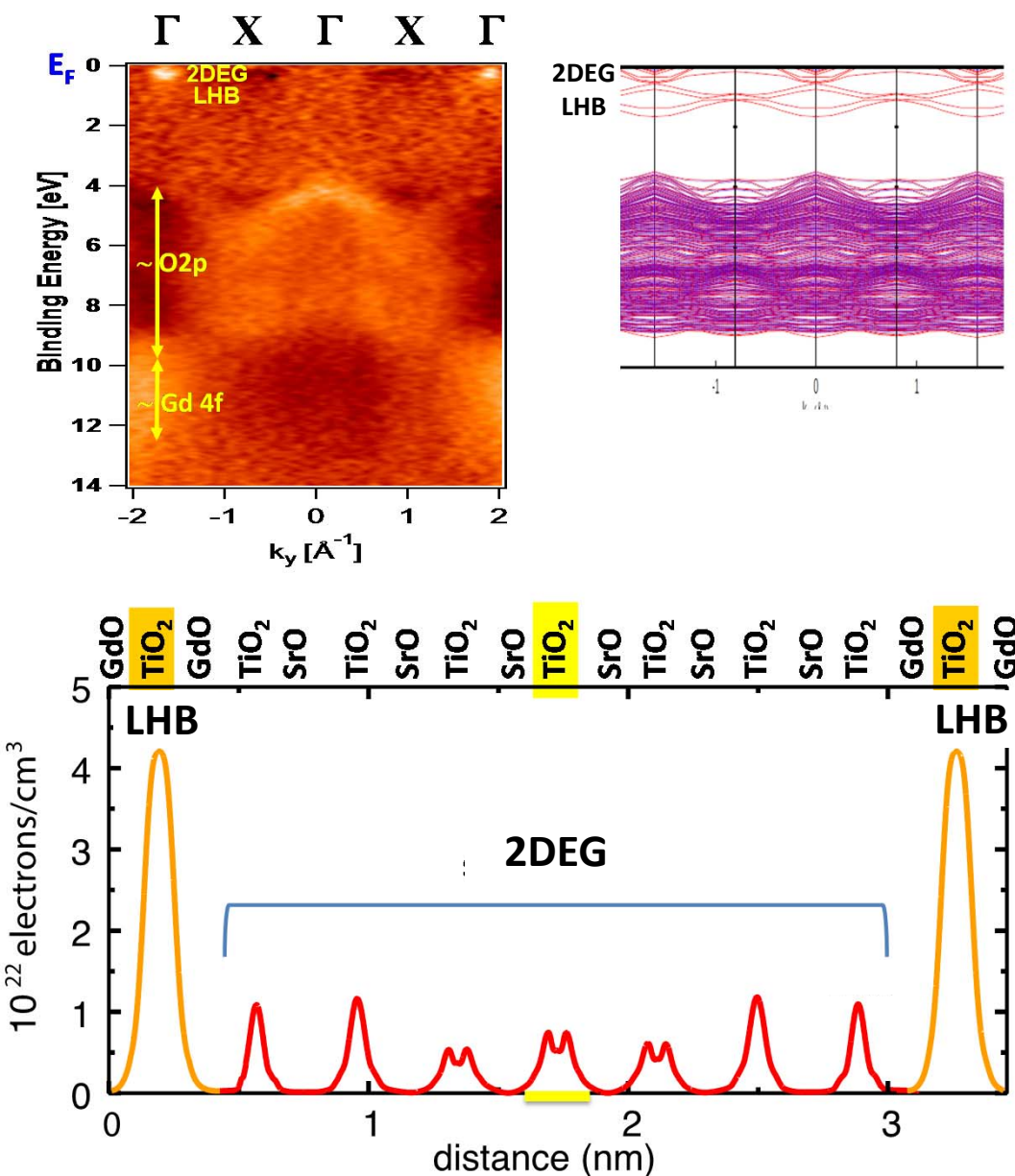


### Experiment



→ Peak 1 = 2DEG & 2DEG occupies the full STO layer

# Theory/expt. comparison: (STO)<sub>5</sub>(GTO)<sub>2</sub> superlattice



**LDA+hybrid functional theory agrees: 2DEG occupies full STO layer**

*A. Janotti, L. Bjaalie, C. Van de Walle*

## Conclusions: Standing-Wave and Resonant XPS and ARPES of SrTiO<sub>3</sub>/GdTiO<sub>3</sub>

- k-resolved bands of GTO LHB and 2DEG, evidence for intermixing of the two
- 2DEG extends through the entire STO layer from standing-wave rocking curve analysis
- Results consistent with 2DEG tunneling subband spacing measurements and tight binding- or LDA + hybrid functional- calculations
- Rocking curve forms very sensitive measure of depth distributions near buried interfaces → future applications to other systems
- Bilayer data identify critical thickness for 2DEG formation

CSF and S. Nemšák, J. Electron Spect. , 195, 409–422 (2014);  
S. Nemšák, et al., Appl. Phys. Lett. 107 (23), 231602, 2015;  
<http://arxiv.org/abs/1508.01832>

**Soft → hard x-rays and standing waves:  
a few example studies**

**Fe/MgO-tunnel junction**

Depth-resolved composition, chemical states,  
magnetization

**SrTiO<sub>3</sub>/La<sub>2/3</sub>Sr<sub>1/3</sub>MnO<sub>3</sub>-tunnel junction**

Depth-resolved composition, dielectric properties, bonding,  
k-resolved electronic structure

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Using standing wave XPS to probe the solid/gas and solid/liquid  
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**BiFeO<sub>3</sub>/(Ca,Ce)MnO<sub>3</sub> interface (Ferroelectric/Mott insulator)**

Depth-resolved electronic structure from  
near-total-reflection (NTR) angle scans

# Standing wave photoemission from a liquid-like layer: CsOH and NaOH on Fe<sub>2</sub>O<sub>3</sub>



Slavo

**S. Nemšák**, A. Shavorskiy,  
O. Karslioglu, I. Zegkinoglou, A.  
Rattanachata, C.S. Conlon, A. Keçi,  
P.K. Greene, E.C. Burks, K. Liu, F.  
Salmassi, E.M. Gullikson, S.-H. Yang,  
K. Liu, H. Bluhm, C.S.F., *Nature Comm.*  
5, 5441 (2014).



Andrey



Ioannis



Aru



Catherine



Armela



Hendrik



Osman

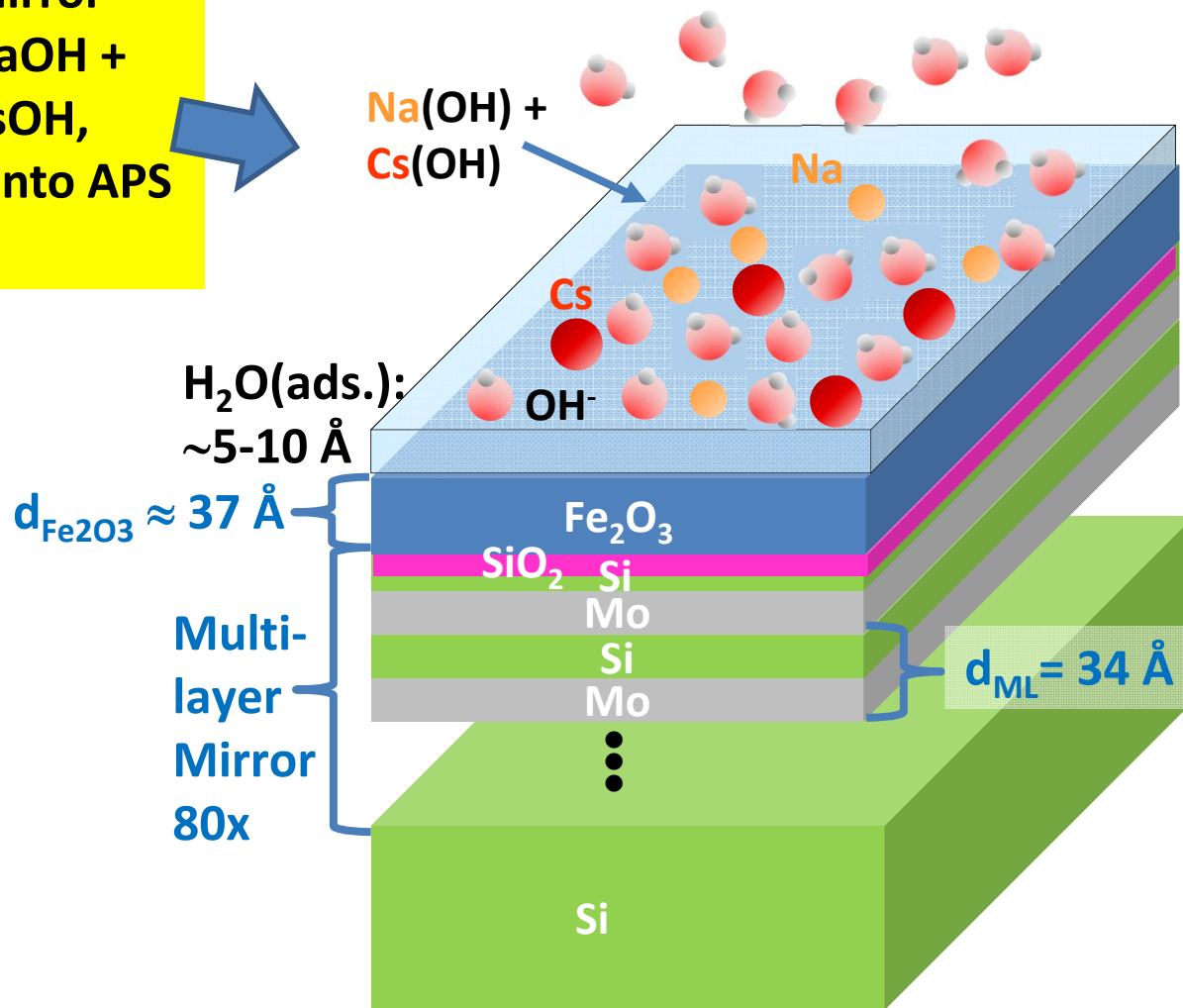
**+Samples: Liu Group UCD**  
**+Mirrors: CXRO LBNL**



# Standing-wave photoemission at the solid-liquid interface: some first experiments at ambient pressure

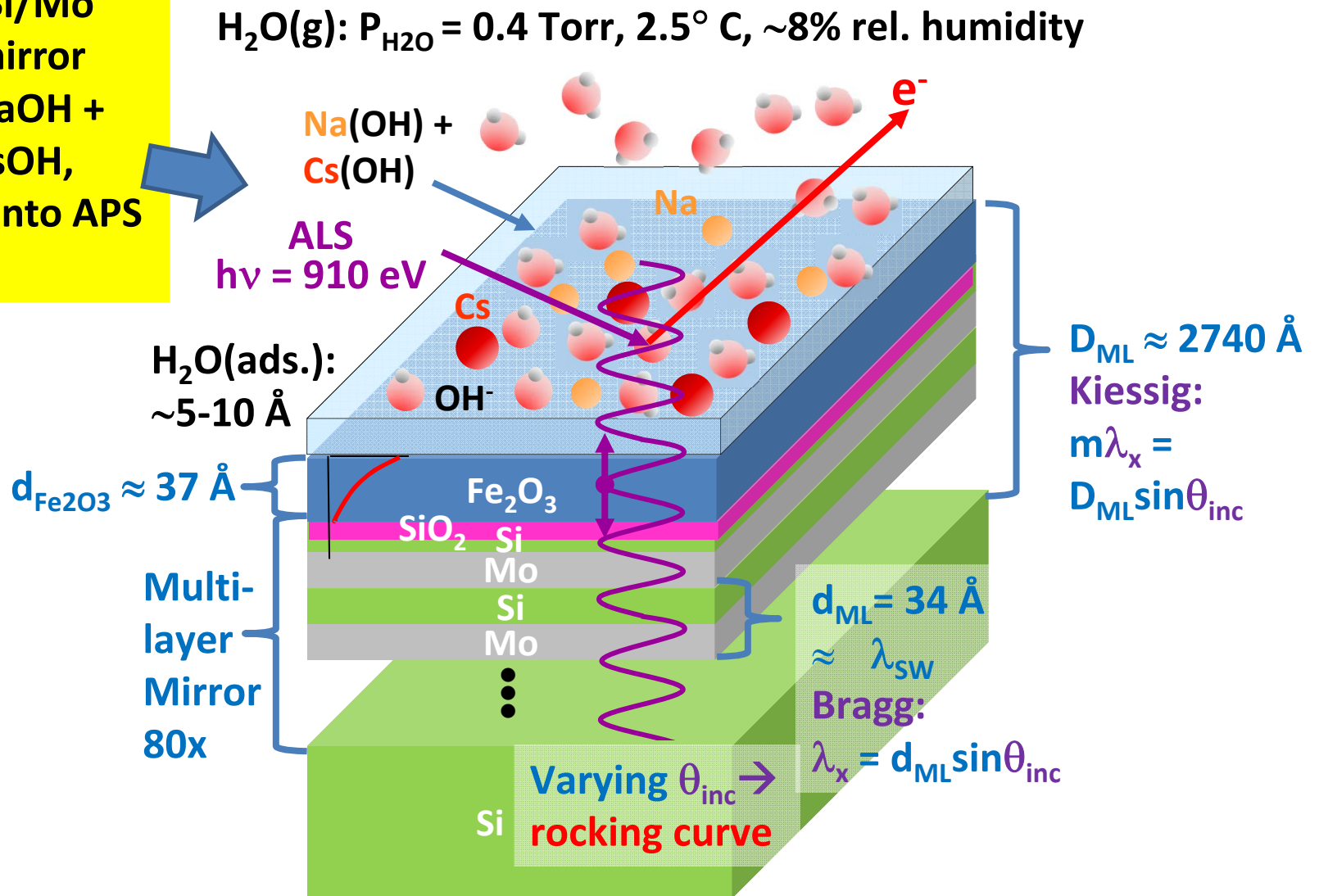
- $\text{Fe}_2\text{O}_3$  on Si/Mo multilayer mirror
- $\sim 0.01\text{M}$  NaOH +  $\sim 0.01\text{M}$  CsOH, dried in air, into APS chamber

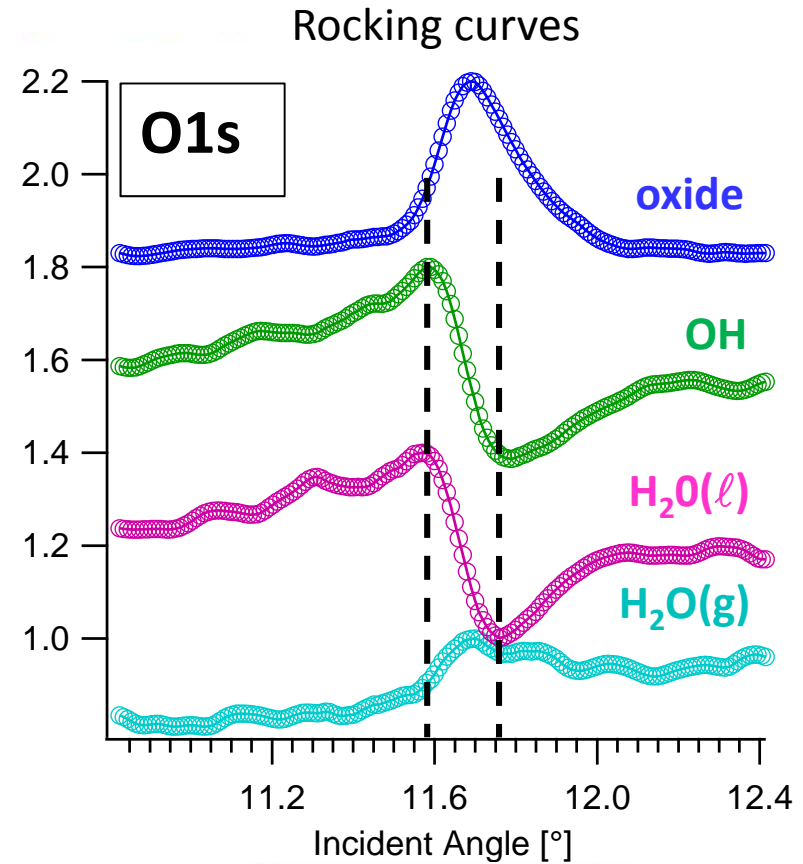
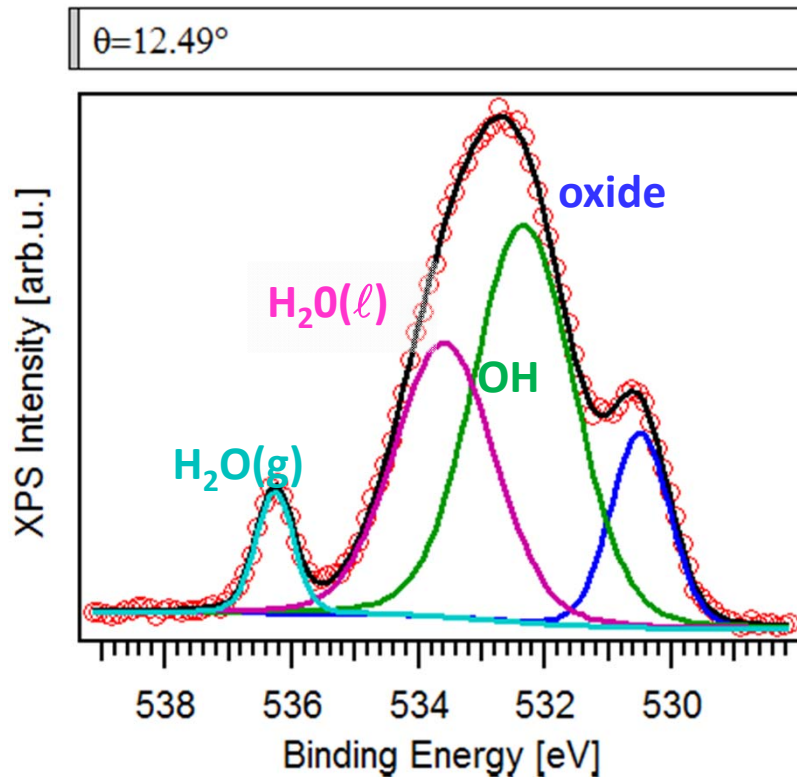
$\text{H}_2\text{O}(\text{g}): P_{\text{H}_2\text{O}} = 0.4 \text{ Torr}, 2.5^\circ \text{ C}, \sim 8\% \text{ rel. humidity}$



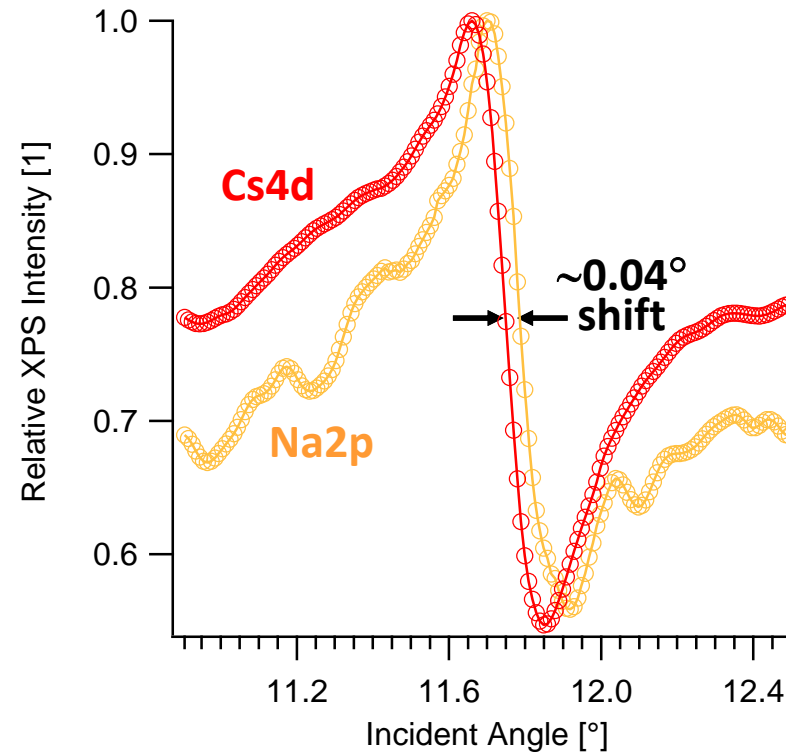
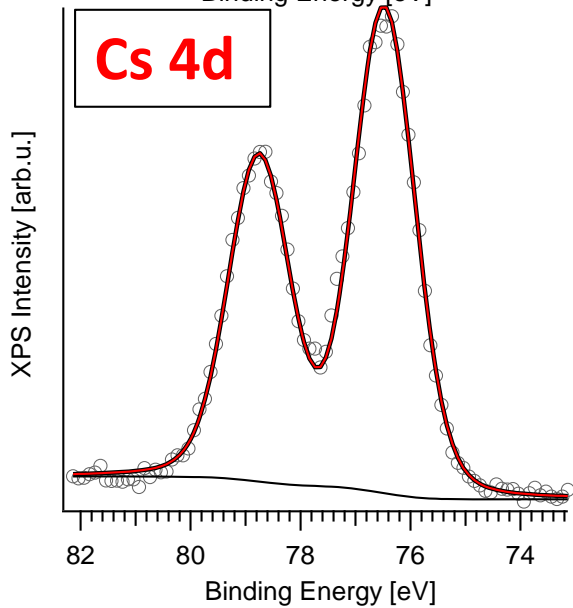
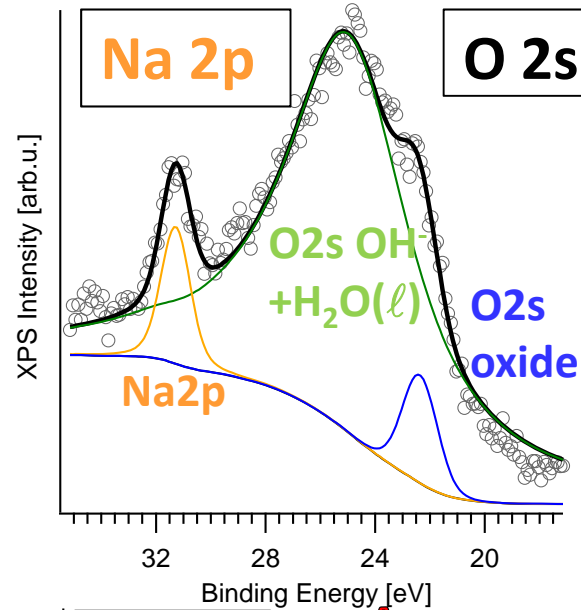
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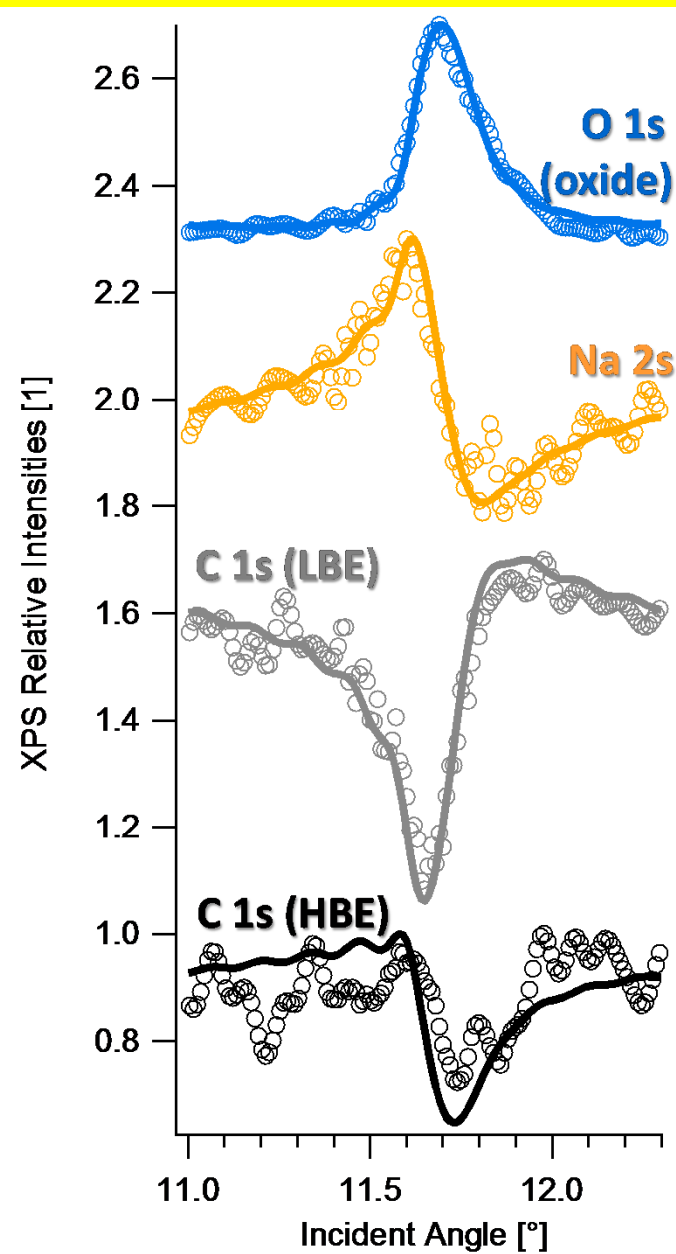
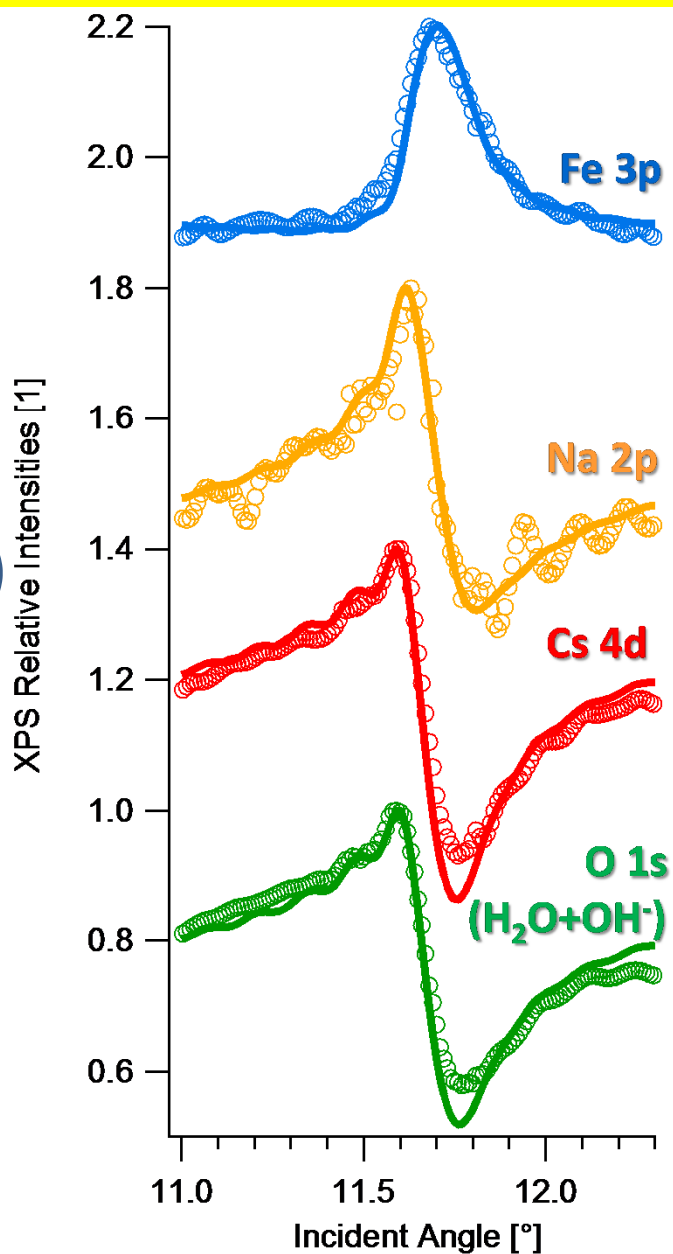
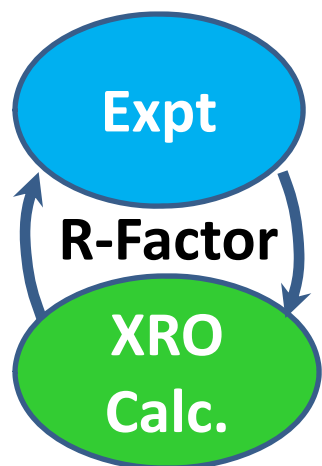


→ Clearly four components in O 1s from rocking curve data

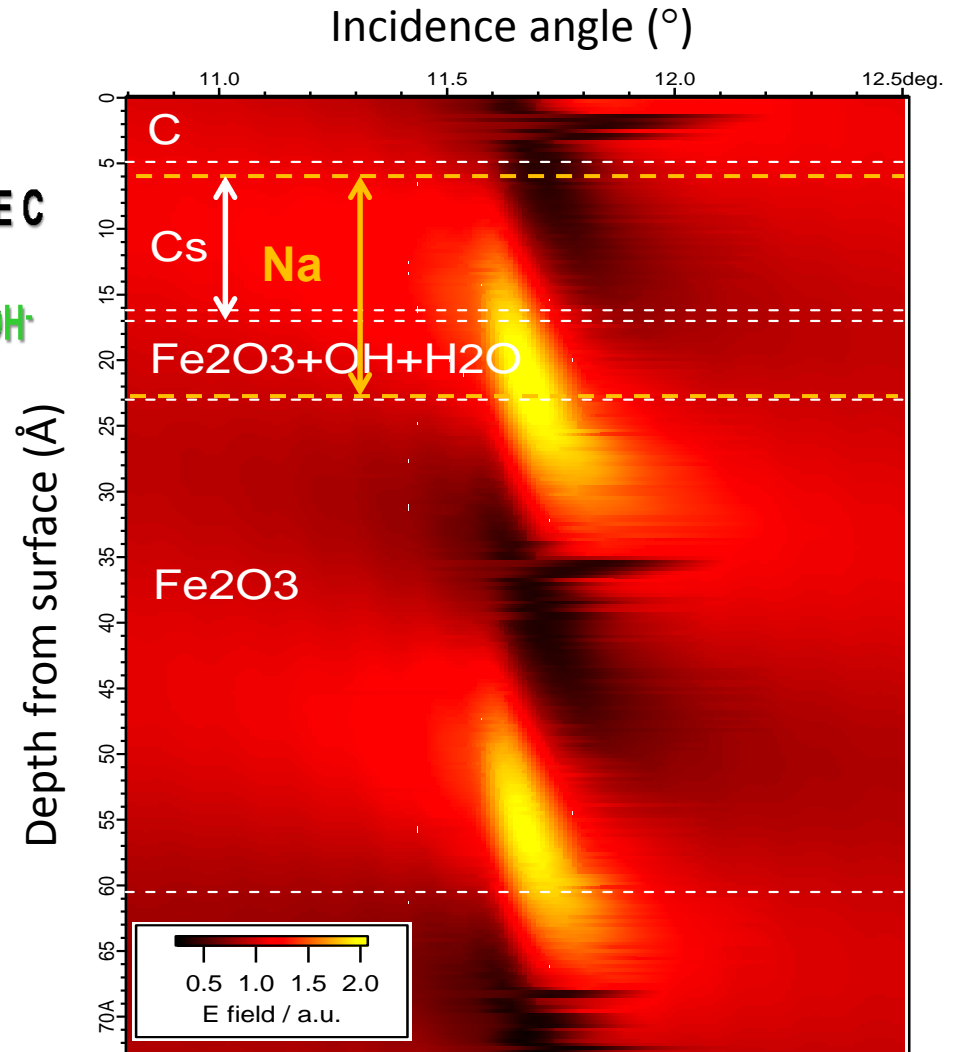
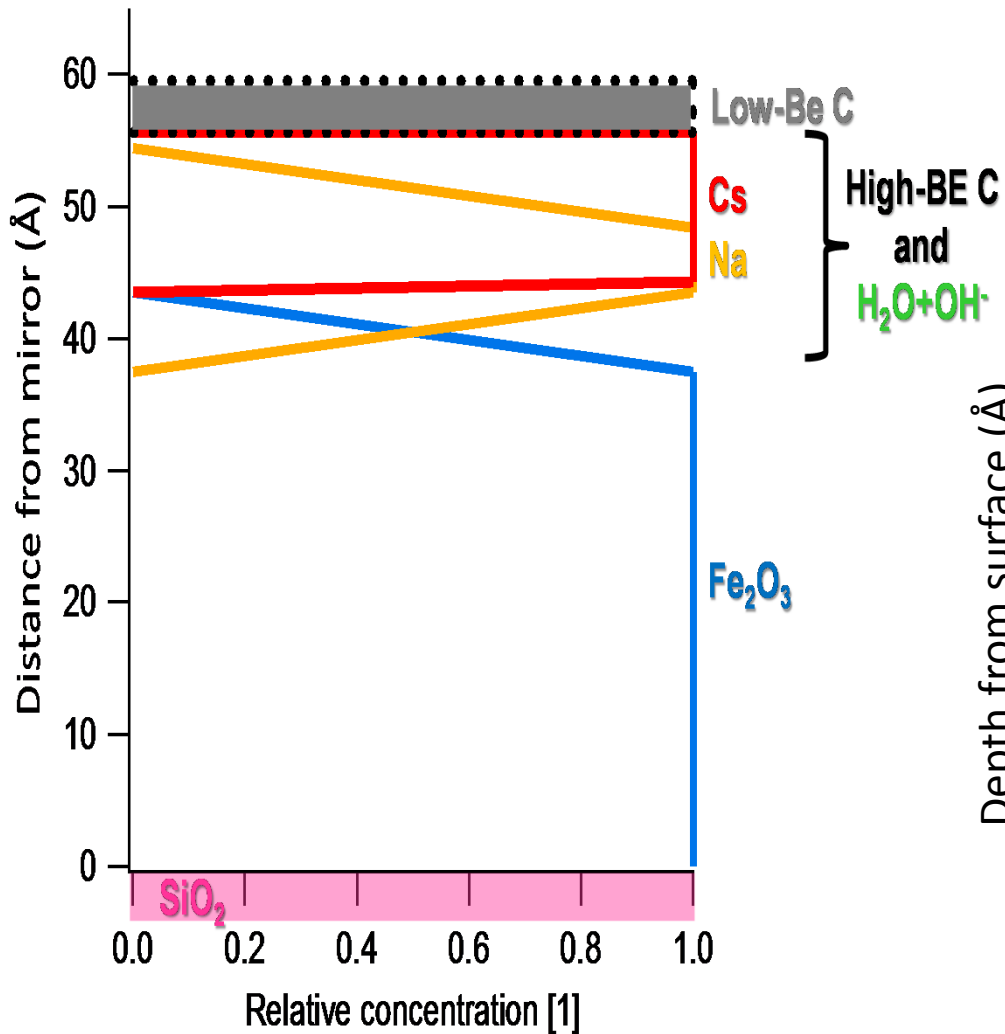


→ Clear differences in position and wings of **Cs** and **Na**, indicating different depth distributions

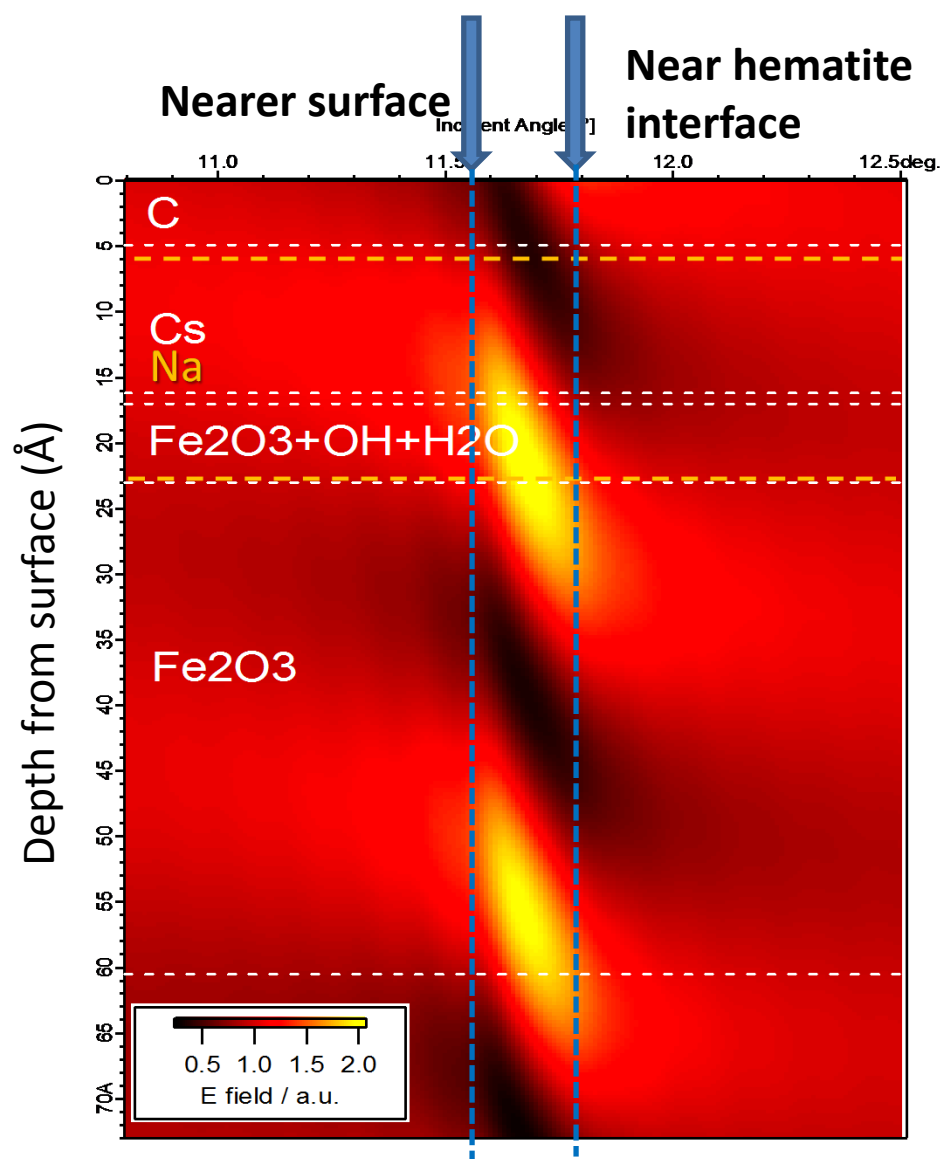
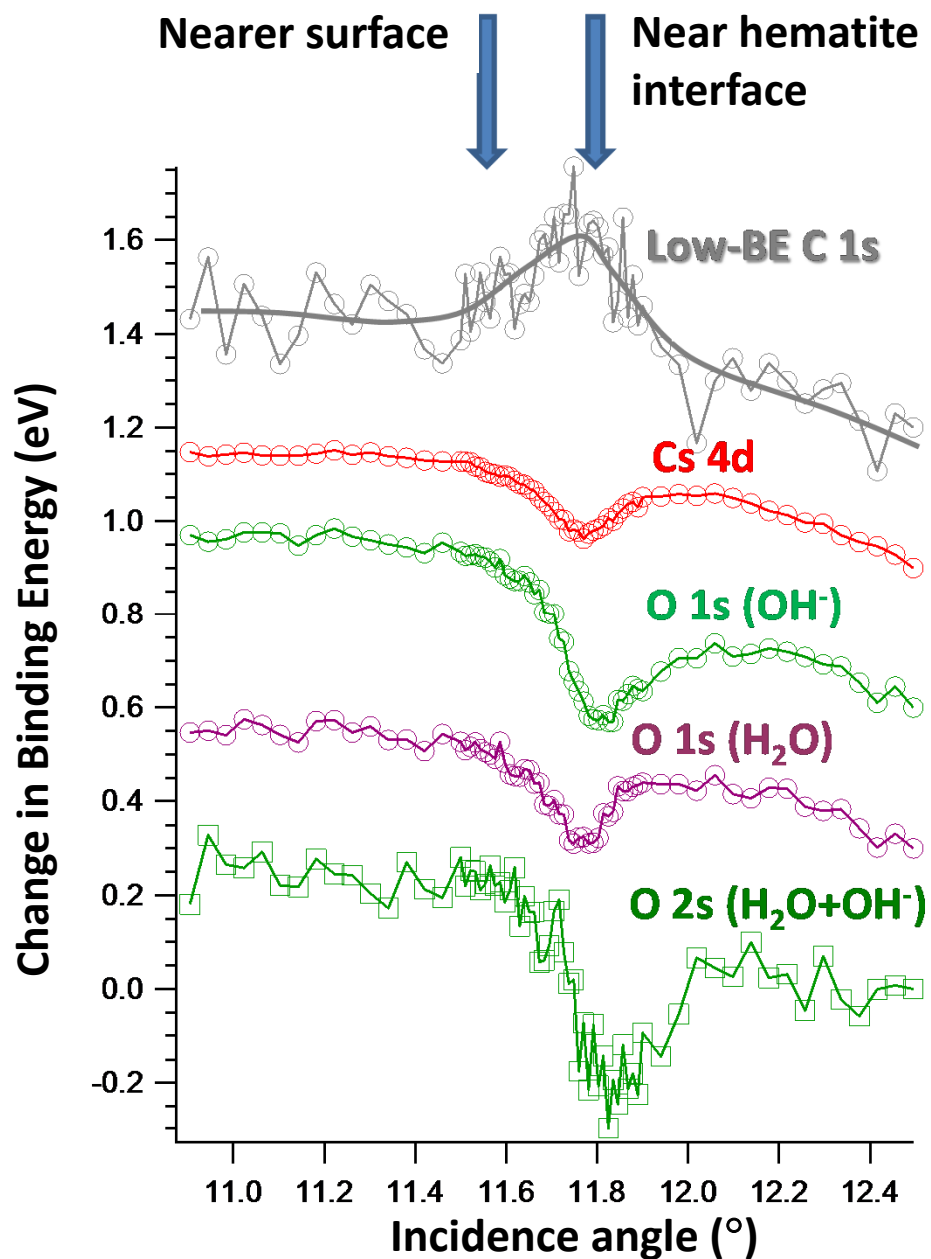
# Final structure optimization after fitting x-ray optical calculations to rocking curves



# Final structure and the standing wave



# Depth-dependent binding energies and the standing wave



## Conclusions: Standing Wave Ambient Pressure Photoemission (SWAPPS) of NaOH + CsOH + H<sub>2</sub>O on Fe<sub>2</sub>O<sub>3</sub>

From standing-wave rocking curves of all elements present:

- Fe<sub>2</sub>O<sub>3</sub> surface--effective roughness of ~6 Å, agrees with AFM
- Na<sup>+</sup>: average distance ~5.5 Å above Fe<sub>2</sub>O<sub>3</sub>, total distribution over ~11 Å
- Cs<sup>+</sup>: larger average distance of ~9.5 Å above Fe<sub>2</sub>O<sub>3</sub>, total distribution over ~12 Å → Cs<sup>+</sup> and Na<sup>+</sup> separated by ~ 5 Å.
- Low-binding-energy C: very thin ~5 Å layer on the surface of the sample → hydrocarbons?
- High-binding-energy C: spread over the entire depth range of the “wet” layer, H<sub>2</sub>O+CO<sub>2</sub> → carboxylic or bicarbonate?
- OH<sup>-</sup> + H<sub>2</sub>O: Very nearly the same depth distribution
- Quantitative analysis for atomic concentrations possible
- Depth-dependent binding energies → depth dependent chemistry and potentials
- Provided that the sample can be grown on a multilayer mirror, SWAPPS a powerful new technique for looking at solid/solid and solid/liquid interfaces, with resolution ~±2 Å

S. Nemšák, et al., Nature Comm. 5, 5441 (2014)



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Depth-resolved composition, chemical states,  
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**SrTiO<sub>3</sub>/La<sub>2/3</sub>Sr<sub>1/3</sub>MnO<sub>3</sub>-tunnel junction**

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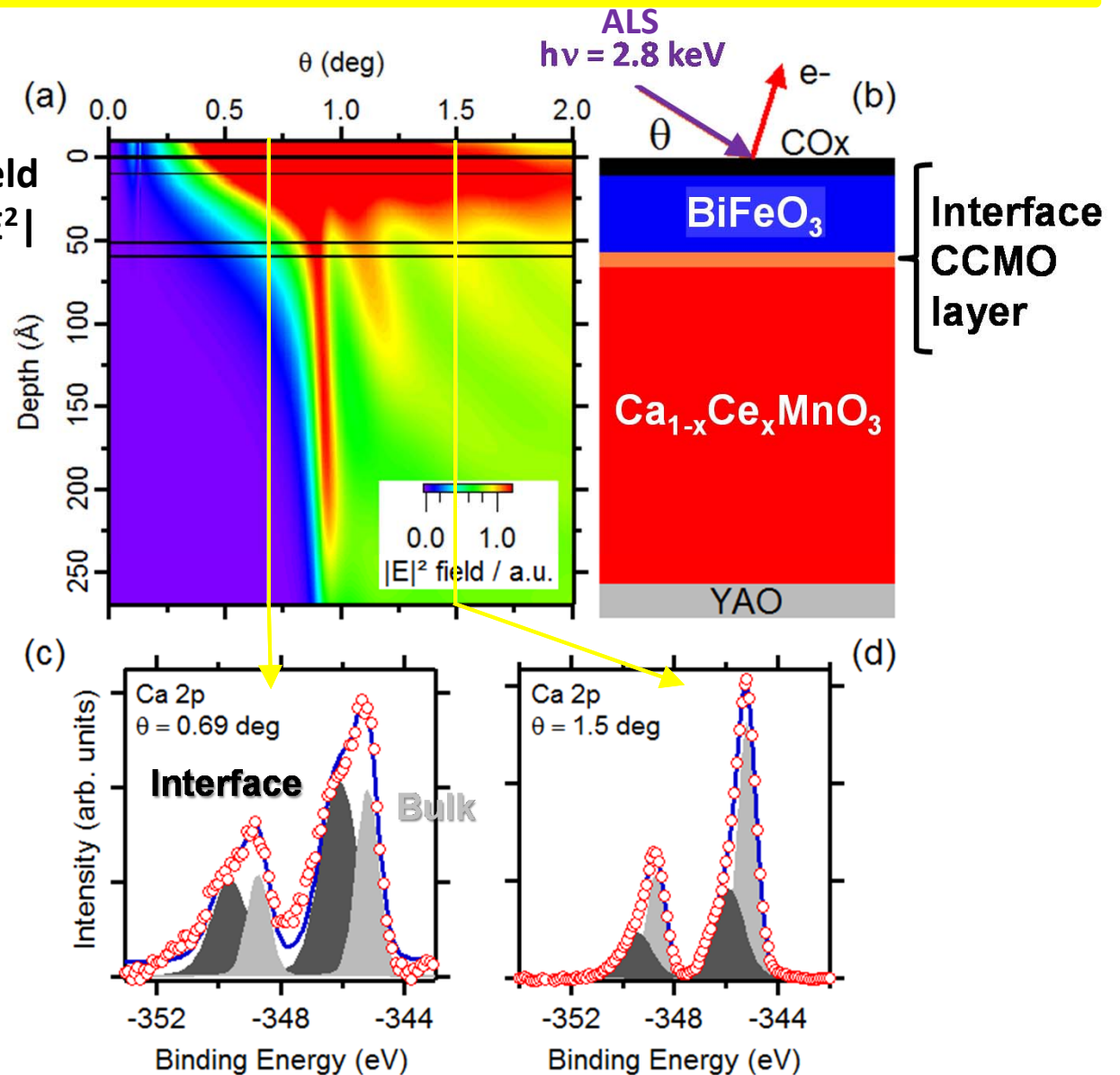
Depth-resolved electronic structure from  
near-total-reflection (NTR) angle scans

# You don't need a multilayer!: Depth-resolved electronic structure at the $\text{BiFeO}_3/(\text{Ca,Ce})\text{MnO}_3$ interface (Ferroelectric/Mott insulator) from near-total-reflection (NTR) angle scans

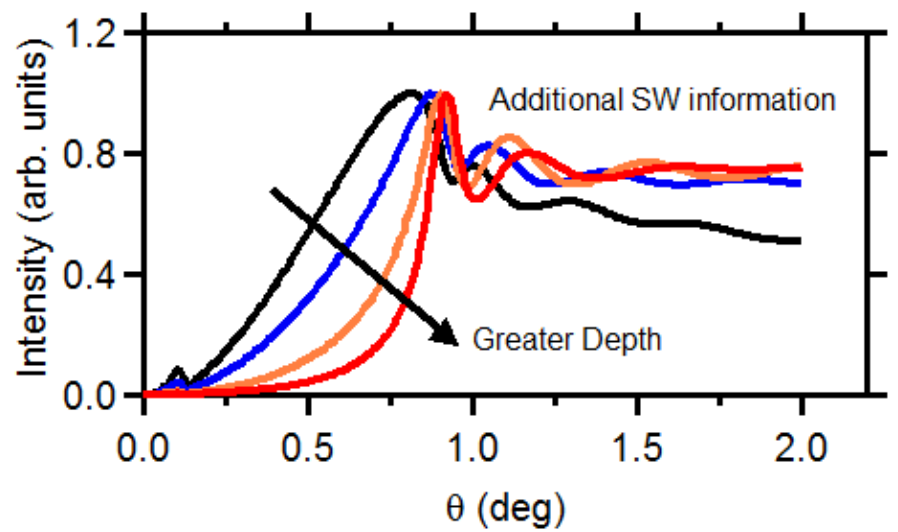
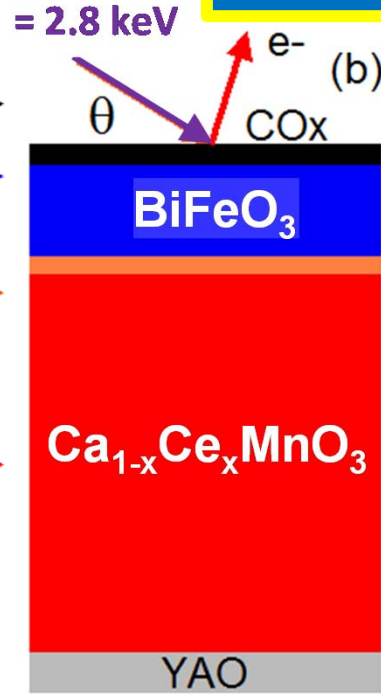
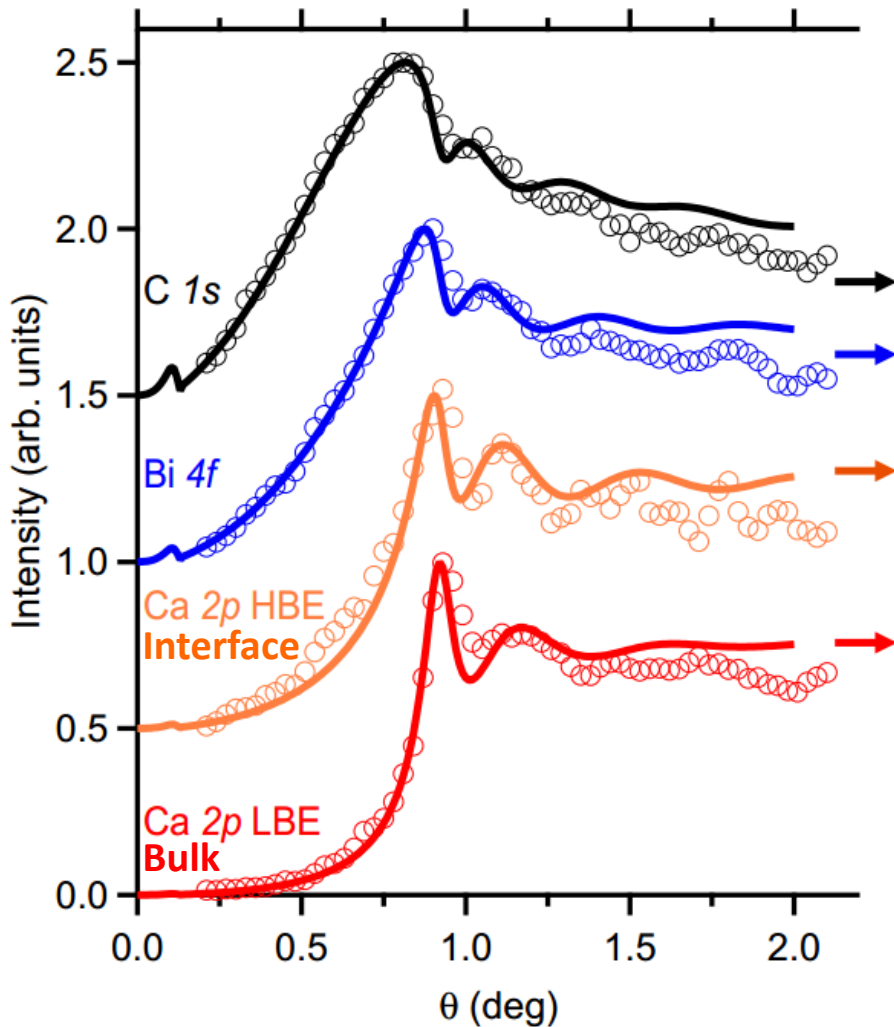


Calculated Field strength  $|E^2|$

J. E. Rault, M. Marinova, S. Nemšák, G. K. Palsson, J.-P. Rueff, CSF, A. Gloter, C. Carrétéro, H. Yamada, K. March, V. Garcia, S. Fusil, A. Barthélémy, M. Bibes, O. Stéphan and C. Colliex, *Nano Letters* 15, 2533–2541 (2015).



Fitting of experimental NTR photoemission scans to theory → structure



## Conclusions: Overall

- Combining soft and hard x-ray photoemission, with standing-wave excitation and resonant effects, is a powerful new suite of techniques for studying buried layers and interfaces, including solid/gas and solid/liquid at ambient pressures, and core-shell nanoclusters
- Future possibilities include:
  - Using hard x-rays for deeper interfaces and higher ambient pressures
  - Identifying particular angles/photon energies for different SW positions and doing time-dependent studies
  - For few-layer samples, or those which cannot be grown on a suitable mirror, going into total reflection, where standing waves are again produced
  - Varying polarization to select different orbital contributions, magnetism (via PMCD and XMCD)
  - [ -Doing the measurement in a photoelectron microscope (scanning photon energy) provides lateral resolution over devices (Gray, Kronast, et al., APL 97,062503 (2010)) ]